503479111 09/15/2015

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

EPAS ID: PAT3525736

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
XINYU GE	09/03/2015
YONGLI QI	09/04/2015

RECEIVING PARTY DATA

Name:	CATERPILLAR INC.
Street Address:	100 NE ADAMS ST
Internal Address:	AH 9510
City:	PEORIA
State/Country:	ILLINOIS
Postal Code:	61629

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	14854095

CORRESPONDENCE DATA

Fax Number: (309)675-1236

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 309-636-2223

Email: US Docket Clerk@cat.com

Correspondent Name: CATERPILLAR INC. INTELLECTUAL PROPERTY D

Address Line 1: 100 N.E. ADAMS STREET

Address Line 2: AH 9510

Address Line 4: PEORIA, ILLINOIS 61629-9510

ATTORNEY DOCKET NUMBER:	15-1071
NAME OF SUBMITTER:	KELLY A UMHOLTZ
SIGNATURE:	/kelly a umholtz/
DATE SIGNED:	09/15/2015

Total Attachments: 4

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> **PATENT** REEL: 036563 FRAME: 0186 503479111

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PATENT REEL: 036563 FRAME: 0187

ASSIGNMENT

WHEREAS we, the below named inventors, (hereinafter referred to as Assignors), have made an invention entitled:

THERMAL MANAGEMENT SYSTEM FOR AFTERTREATMENT SYSTEM

for which we will or have filed a patent application; and

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention, and in and to the patent application for this invention and any and all patents to be issued upon this application throughout the world;

NOW THEREFORE, be it known that for good and valuable consideration the receipt of which from Assignee is hereby acknowledged, we, as Assignors, have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, our entire right, title, and interest in and to this invention, and this application, and all divisions, and continuations thereof, and all patents which may be granted thereon, and all reissues thereof, as well as all rights to claim priority in any country or jurisdiction or patent office on the basis of this application, and all applications for patents which may hereafter be filed for this invention in any country or jurisdiction or patent office, and all extensions, renewals, and reissues thereof, and we hereby authorize and request any official of any country or jurisdiction or patent office whose duty it is to issue patents on applications as described above, to issue all patents for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, WE HEREBY covenant that we have the full right to convey the interest assigned by this Assignment, and we have not executed and will not execute any agreement in conflict with this Assignment:

AND, WE HEREBY further covenant and agree that we will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in any country or jurisdiction or patent office, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns;

AND, WE HEREBY authorize the attorn to insert here in parentheses the country, applic).		
IN TESTIMONY WHEREOF, we have t	ereunto set our hands.	
1. FULL NAME OF FIRST ASSIGNOR	ASSIGNOR'S SIGNATURE	DATE
Xinyu Ge	-Ain/2d /20	Sep 3, 2015
ADDRESS		CITIZENSHIP
2013 W Forestglen Dr Peoria IL 616	15	CN

1. WITNESS NAME	WITNESS SIGNATURE	DATE
Yun Guo		9/3/15
ADDRESS 11012 N Humters Trail ct.	Dunlan IL 61525	CITIZENSHIP USA
8		
2. WITNESS NAME	WITNESS SIGNATURE	DATE
Nava Euro		9/3/15
ADDRESS		CITIZENSHIP
JUSIA N SKOPY HOLGORD.	uma, zl. 11616	C11,211/A
	* ~ '	

ASSIGNMENT

WHEREAS we, the below named inventors, (hereinafter referred to as Assignors), have made an invention entitled:

THERMAL MANAGEMENT SYSTEM FOR AFTERTREATMENT SYSTEM

for which we will or have filed a patent application; and

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention, and in and to the patent application for this invention and any and all patents to be issued upon this application throughout the world;

NOW THEREFORE, be it known that for good and valuable consideration the receipt of which from Assignee is hereby acknowledged, we, as Assignors, have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, our entire right, title, and interest in and to this invention, and this application, and all divisions, and continuations thereof, and all patents which may be granted thereon, and all relssues thereof, as well as all rights to claim priority in any country or jurisdiction or patent office on the basis of this application, and all applications for patents which may hereafter be filed for this invention in any country or jurisdiction or patent office and all patents which may be granted on this invention in any country or jurisdiction or patent office, and all extensions, renewals, and reissues thereof; and we hereby authorize and request any official of any country or jurisdiction or patent office whose duty it is to issue patents on applications as described above, to issue all patents for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, WE HEREBY covenant that we have the full right to convey the interest assigned by this Assignment, and we have not executed and will not execute any agreement in conflict with this Assignment;

AND, WE HEREBY further covenant and agree that we will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in any country or jurisdiction or patent office, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns;

AND, WE HEREBY authorize the to insert here in parentheses the country,, filed	e attorneys/representatives that we have application number, and official filing da .).	
IN TESTIMONY WHEREOF, we	have hereunto set our hands.	
1. FULL NAME OF SECOND ASSIGNOR	ASSIGNOR'S SIGNATURE	DATE
Yongli Qi		9/4/15
ADDRESS		CITIZENSHIP
5321 W Briarstone Dr Peoria IL 6	S1615	CN

Page 1 of 2

1. WITNESS NAME TO A COMPANY TO A COMPANY	WITNESS SIGNATURE	DATE 9/4/2025
5517 Prookmare St	<u> </u>	CITIZENSHIP
2 WITNESS NAME	WITNESS SIGNATURE	DATE
Yuanhong Li	WITNESS SIGNATURE	DATE 9/4/30/5
ADDRESS		2. / 20 1