# PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
DARREN BRANCH	08/24/2015
THAYNE EDWARDS	09/18/2015
DAVID WHEELER	09/17/2015

#### **RECEIVING PARTY DATA**

Name:	SANDIA CORPORATION	
Street Address:	P.O. BOX 5800, MS-0161	
City:	ALBUQUERQUE	
State/Country:	NEW MEXICO	
Postal Code:	87185	

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	12069284

#### CORRESPONDENCE DATA

Fax Number: (505)844-9955

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

505-844-7283 Phone: Email: dcates@sandia.gov

SANDIA NATIONAL LABORATORIES **Correspondent Name:** 

Address Line 1: P.O. BOX 5800, MS-0161

Address Line 4: ALBUQUERQUE, NEW MEXICO 87185

ATTORNEY DOCKET NUMBER:	SD10953.1/S114130	
NAME OF SUBMITTER:	DIANA SCHANNING	
SIGNATURE:	/Diana Schanning/	
DATE SIGNED:	09/28/2015	

### **Total Attachments: 6**

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PATENT REEL: 036666 FRAME: 0766

# **ASSIGNMENT**

WHEREAS, Richard S. Larson of Albuquerque, NM, Brian Hjelle of Placitas, NM, Pam R. Hall, David C. Brown, Marco Bisoffi, Susan M. Brozik, Darren W. Branch, Thayne L. Edwards and David Wheeler of Albuquerque, NM (hereinafter "Inventor(s)") has(have) made an invention relating to Method for the Surface Functionalization of a Polycarbonate or Polyester in the course of the work authorized by Contract DE-AC04-94AL85000 between SANDIA CORPORATION and the UNITED STATES DEPARTMENT OF ENERGY, said invention being disclosed and claimed in Application Serial No. 12/069,284 for United States patent, filed February 8, 2008 now Patent No. 8,709,791 issued April 29, 2014 and identified as DOE Docket No: S-114,130 duly executed by said inventor(s); and

WHEREAS Inventor(s), in consideration of employment by Sandia Corporation, has(have) assigned to Sandia Corporation, its successors and assigns, all of Inventor(s) rights to inventions, discoveries, or improvements made or conceived in the course of their employment; and

WHEREAS, title to the above-identified invention vests in the Government of the United States, as represented by the U.S. Department of Energy, as governed by 42 U.S.C. 5908; and

WHEREAS, the Government of the United States, as represented by the U.S. Department of Energy has granted a waiver of Government rights in the above-identified invention to Sandia Corporation; and

THEREFORE, Inventor(s) hereby sells, assigns, and transfers unto Sandia Corporation their entire right, title, and interest in and to said invention in the United States of America and all foreign countries, including, without limitation, said invention as described in the aforesaid application, and in and to any United States Letters Patent therefor, when issued together with all improvements thereon and betterments thereof, all related applications including, but not limited to, utilities, divisions, continuations, continuations-in-part, reissues and reexaminations thereof and substitutions of or for said application, and all foreign rights including the right to make application for Letters Patent for said invention in any and all foreign countries and the right to claim priority as to the filing date under the International Convention on the basis for the aforesaid application for United States Letters Patent, to the extent that Inventor(s) right, title, and interest in and to said invention has(have) not already been sold, assigned, or transferred to Sandia Corporation by Inventor(s) in consideration of Inventor(s) employment by Sandia Corporation. Inventor(s) hereby authorizes and requests the Commissioner of Patents to issue all Letters Patent issuing therefrom to Sandia Corporation, for its interest as Sandia Corporation, its successors and assigns.

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Inventor(s) covenants with Sandia Corporation that, except for the rights, if any, of the Government, his/her interest in the rights and property herein conveyed is free and clear of any encumbrance and that they have full right to convey the same as herein expressed. Inventor(s) agrees, at the request of Sandia corporation, to make, execute, and deliver to Sandia Corporation, the Government, or other party prosecuting patent applications on this invention, any and all papers, documents, affidavits, applications, statements or other instruments relating to said invention, take all rightful oaths and do all acts as may reasonably be required of them in or incident to the prosecution of any or all U.S. and foreign applications on said invention or in the adjustment or settlement of any interferences or other actions or proceedings that said applications or patents thereon may encounter or in which they may become involved.

Signed at Albuquerque, New Mexico.

Darren Rranch

Date

8/24/15 1/18/15

Thavne Edwards

Date

STATE OF NEW MEXICO

) ss:

COUNTY OF BERNALILLO)

On the above dates, personally appeared before me, Diana Schanning, Notary Public **Darren Branch, and Thayne Edwards**, to me known to be the individual(s) described in and who executed the foregoing instrument, and who acknowledged to me that they executed the same.

Och Schanning Notary Public



Approved and consented by:

SANDIA CORPORATION

Kerry Kampschmidt

Sr. Managing IP Counsel

Legal Technology Transfer Center

STATE OF NEW MEXICO

) ss:

COUNTY OF BERNALILLO)

On the above date, personally appeared before me, Diana Schanning, Notary Public, Kerry Kampschmidt, to me known to be the individual described in and who executed the foregoing instrument, and who acknowledged to me that he executed the same.

) Come Scharging Notary Public & OFFICIAL SEAL

Diana Schanning

NOTABY PUBLIC
STATE OF NEW MEXICO

My Commission Expires:

# **ASSIGNMENT**

WHEREAS, Richard S. Larson of Albuquerque, NM, Brian Hjelle of Placitas, NM, Pam R. Hall, David C. Brown, Marco Bisoffi, Susan M. Brozik, Darren W. Branch, Thayne L. Edwards and David Wheeler of Albuquerque, NM (hereinafter "Inventor(s)") has(have) made an invention relating to Method for the Surface Functionalization of a Polycarbonate or Polyester in the course of the work authorized by Contract DE-AC04-94AL85000 between SANDIA CORPORATION and the UNITED STATES DEPARTMENT OF ENERGY, said invention being disclosed and claimed in Application Serial No. 12/069,284 for United States patent, filed February 8, 2008 now Patent No. 8,709,791 issued April 29, 2014 and identified as DOE Docket No: S-114,130 duly executed by said inventor(s); and

WHEREAS Inventor(s), in consideration of employment by Sandia Corporation, has(have) assigned to Sandia Corporation, its successors and assigns, all of Inventor(s) rights to inventions, discoveries, or improvements made or conceived in the course of their employment; and

WHEREAS, title to the above-identified invention vests in the Government of the United States, as represented by the U.S. Department of Energy, as governed by 42 U.S.C. 5908; and

WHEREAS, the Government of the United States, as represented by the U.S. Department of Energy has granted a waiver of Government rights in the above-identified invention to Sandia Corporation; and

THEREFORE, Inventor(s) hereby sells, assigns, and transfers unto Sandia Corporation their entire right, title, and interest in and to said invention in the United States of America and all foreign countries, including, without limitation, said invention as described in the aforesaid application, and in and to any United States Letters Patent therefor, when issued together with all improvements thereon and betterments thereof, all related applications including, but not limited to, utilities, divisions, continuations, continuations-in-part, reissues and reexaminations thereof and substitutions of or for said application, and all foreign rights including the right to make application for Letters Patent for said invention in any and all foreign countries and the right to claim priority as to the filing date under the International Convention on the basis for the aforesaid application for United States Letters Patent, to the extent that Inventor(s) right, title, and interest in and to said invention has(have) not already been sold, assigned, or transferred to Sandia Corporation by Inventor(s) in consideration of Inventor(s) employment by Sandia Corporation. Inventor(s) hereby authorizes and requests the Commissioner of Patents to issue all Letters Patent issuing therefrom to Sandia Corporation, for its interest as Sandia Corporation, its successors and assigns.

Page 1 of 3

Inventor(s) covenants with Sandia Corporation that, except for the rights, if any, of the Government, his/her interest in the rights and property herein conveyed is free and clear of any encumbrance and that they have full right to convey the same as herein expressed. Inventor(s) agrees, at the request of Sandia corporation, to make, execute, and deliver to Sandia Corporation, the Government, or other party prosecuting patent applications on this invention, any and all papers, documents, affidavits, applications, statements or other instruments relating to said invention, take all rightful oaths and do all acts as may reasonably be required of them in or incident to the prosecution of any or all U.S. and foreign applications on said invention or in the adjustment or settlement of any interferences or other actions or proceedings that said applications or patents thereon may encounter or in which they may become involved.

Signed at Albuquerque, New Mexico.

STATE OF NEW MEXICO

) ss:

COUNTY OF BERNALILLO)

On the above dates, personally appeared before me, Diana Schanning, Notary Public David Wheeler, to me known to be the individual(s) described in and who executed the foregoing instrument, and who acknowledged to me that they executed the same.



Approved and consented by:

Date:

SANDIA CORPORATION

Kerry Kampschmidt

Sr. Managing IP Counsel

Legal Technology Transfer Center

STATE OF NEW MEXICO

) ss:

COUNTY OF BERNALILLO)

On the above date, personally appeared before me, Diana Schanning, Notary Public, **Kerry Kampschmidt**, to me known to be the individual described in and who executed the foregoing instrument, and who acknowledged to me that he executed the same.

Notary Public

OFFICIAL SEAL
Diana Schanning
NOTARY PUBLIC
STATE OF NEW MEXICO.
My Commission Expires:

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