

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3548210

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
PATRICK A. KELLY	06/25/2015
PATRICK E. LUTZ	07/13/2015
ROBERT G. SVITEK PHD.	07/10/2015
JERRY STOKES	07/10/2015
RECEIVING PARTY DATA	
Name:	CARDIACASSIST, INC.
Street Address:	240 ALPHA DRIVE
City:	PITTSBURGH
State/Country:	PENNSYLVANIA
Postal Code:	15238
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14869506
CORRESPONDENCE DATA	
Fax Number:	(412)945-5933
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	412-471-8815
Email:	assignments@webblaw.com
Correspondent Name:	THE WEBB LAW FIRM
Address Line 1:	ONE GATEWAY CENTER, 420 FT DUQUESNE BLVD
Address Line 2:	SUITE 1200
Address Line 4:	PITTSBURGH, PENNSYLVANIA 15222
ATTORNEY DOCKET NUMBER:	6333-155688
NAME OF SUBMITTER:	CHRISTIAN E. SCHUSTER
SIGNATURE:	/Christian E. Schuster/
DATE SIGNED:	09/29/2015
Total Attachments: 6	
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**RECORDATION FORM COVER SHEET
PATENTS ONLY**

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Patrick A. Kelly
Patrick E. Lutz
Robert G. Svitek, PhD.
Jerry Stokes

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 6/25/2015; 7/13/2015; 7/10/2015; 7/10/2015

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: CardiacAssist, Inc.

Internal Address: _____

Street Address: 240 Alpha Drive

City: Pittsburgh

State: PA

Country: US Zip: 15238

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☒ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: The Webb Law Firm

Internal Address: _____

Street Address: One Gateway Center
420 Ft. Duquesne Blvd., Ste 1200

City: Pittsburgh

State: PA Zip: 15222

Phone Number: 412-471-8815

Fax Number: 412-945-5933

Email Address: assignments@webblaw.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ _____

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☐ Enclosed
☒ None required (government interest not affecting title)

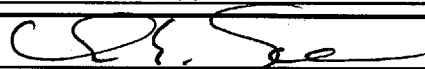
8. Payment Information

a. Credit Card Last 4 Numbers 1916
Expiration Date 05/2018

b. Deposit Account Number 23-0650

Authorized User Name _____

9. Signature:



Signature

September 29, 2015

Date

Christian E. Schsuter, Reg. No. 43,908

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

6

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

Doc. No.

**PATENT
REEL: 036684 FRAME: 0988**

Application No. 13/718,110

Attorney Docket No. 6333-123722

ASSIGNMENT

WHEREAS, as a below named inventor, I have invented certain new and useful improvements in

DUAL LUMEN CANNULA

(Invention Title)

☒ for which a United States Provisional Patent application was filed on 12/19/2011 (mm/dd/yyyy) and bears Application Number 61/577,257 (hereinafter "said application").

AND/OR

☐ for which I have this day executed an application for a United States Patent (hereinafter "said application").

AND/OR

☒ for which an application for a United States Patent was filed on 12/18/2012 (mm/dd/yyyy) and bears Application Number 13/718,110 (hereinafter "said application").

AND/OR

☐ for which an international patent application was filed under the Patent Cooperation Treaty on _____ (mm/dd/yyyy), bearing Application No. _____ (hereinafter "said application").

AND, WHEREAS, CardiacAssist, INC., a corporation of Delaware, having a place of business at 240 Alpha Drive, Pittsburgh, PA, 15238, hereinafter called the "assignee", is desirous of acquiring the entire right, title, and interest in and to said application and the inventions and improvements therein disclosed.

NOW, THEREFORE, for good and valuable consideration paid to me by said assignee, the receipt of which is hereby acknowledged, I as the inventor or as one of the inventors, hereinafter the assignor(s), do hereby assign, sell and transfer unto said assignee the full and exclusive right, title and interest in and to said application and the inventions and improvements therein disclosed for the United States and all foreign countries and any Letters Patent which may issue therefor in the United States and all foreign countries and all divisions, reissues, continuations, continuations-in-part, renewals and/or extensions thereof. Such assignment extends to the full ends of the terms of these applications and patents as fully and entirely as the same would have been held and enjoyed by me had this Assignment not been made.

I covenant that I am the lawful owner(s) of said application, inventions and improvements, that the same are unencumbered, that no license has been granted to make, use or vend the said inventions or improvements or any of them, and that I have the full right to make this Assignment.

And for the consideration aforesaid, I agree individually and, if applicable, jointly that I will communicate to said assignee or the representatives thereof any facts known to me respecting said inventions and improvements, and will, upon request but without expense to me, testify in any legal proceedings, sign all lawful papers, execute all divisional, reissue, continuation, continuation-in-part, renewal and/or extension applications, make all rightful oaths,

Application No. 13/718.110

Attorney Docket No. 6333-123722

and generally do all other and further lawful acts deemed necessary or expedient by said assignee or by counsel for said assignee to assist or enable said assignee to obtain and enforce full benefits from the rights and interests herein assigned. This Assignment shall be binding upon my heirs, executors, administrators and/or assigns, and shall inure to the benefit of the heirs, executors, administrators, successors and/or assigns, as the case may be, of said assignee.

1. FULL NAME OF ASSIGNOR:
Patrick A. Kelly

RESIDENCE:
North Huntingdon, PA

EXECUTED this 25 day of June, 2015

[Signature]
SIGNATURE

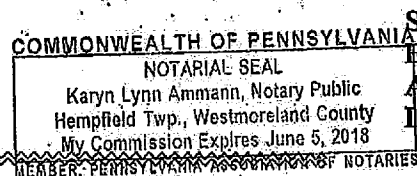
STATE of PENNSYLVANIA

COUNTY of WESTMORELAND

On this 25 day of June, in the year 2015, before me Karyn Lynn Ammann
DAY MONTH YEAR NOTARY PUBLIC NAME
a notary public, personally appeared PATRICK A KELLY
NAME OF DOCUMENT SIGNER

proved on the basis of satisfactory evidence to be the person whose name is subscribed to this instrument, and acknowledged he/she executed the same. Witness my hand and official seal.

[Signature]
NOTARY PUBLIC



☒ Checked Box indicates 3 additional page(s) for inventor signatures.

Application No. 13/718.110

Attorney Docket No. 6333-123722

2. FULL NAME OF ASSIGNOR:

Patrick E. Lutz

RESIDENCE:

Pittsburgh, PA

EXECUTED this 13 day of July, 2013 ^{PL}
2015

Patrick Lutz
SIGNATURE

STATE of Maryland

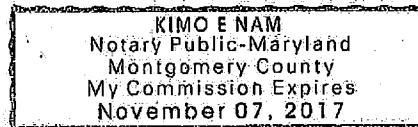
COUNTY of Montgomery

On this 13 day of July, in the year 2015, before me KIMO E. NAM
DAY MONTH YEAR NOTARY PUBLIC NAME

a notary public, personally appeared Patrick E. Lutz,
NAME OF DOCUMENT SIGNER

proved on the basis of satisfactory evidence to be the person whose name is subscribed to this instrument, and acknowledged he/she executed the same. Witness my hand and official seal.

[Signature]
NOTARY PUBLIC



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Application No. 13/718,110

Attorney Docket No. 6333-123722

3. FULL NAME OF ASSIGNOR:
Robert G. Svitek, PhD.

RESIDENCE:
Freeport, PA

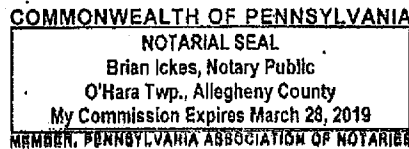
EXECUTED this 10 day of July, ²⁰¹⁵~~2013~~

Robert G. Svitek
SIGNATURE

STATE of Pennsylvania
COUNTY of Allegheny

On this 10 day of July, in the year 2015, before me Brian Ickes
DAY MONTH YEAR NOTARY PUBLIC NAME
a notary public, personally appeared Robert G. Svitek
NAME OF DOCUMENT SIGNER

proved on the basis of satisfactory evidence to be the person whose name is subscribed to this instrument, and acknowledged he/she executed the same. Witness my hand and official seal.



Brian Ickes
NOTARY PUBLIC

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Application No. 13/718,110

Attorney Docket No. 6333-123722

4. FULL NAME OF ASSIGNOR:

RESIDENCE:

Jerry Stokes

Sarver, PA

EXECUTED this 10th day of July, 2013

[Signature]
SIGNATURE

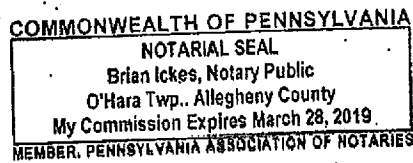
STATE of Pennsylvania

COUNTY of Allegheny

On this 10 day of July, in the year 2015, before me Brian Ickes,
DAY MONTH YEAR NOTARY PUBLIC NAME

a notary public, personally appeared Jerry A. Stokes Jr.,
NAME OF DOCUMENT SIGNER

proved on the basis of satisfactory evidence to be the person whose name is subscribed to this instrument, and acknowledged he/she executed the same. Witness my hand and official seal.



[Signature]
NOTARY PUBLIC

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