

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

EPAS ID: PAT3554093

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
MICHAEL T. KOWARIK	09/24/2015
MICHAEL L. WETTER	09/24/2015
STEFAN J. KEMMLER	09/28/2015
MICHA A. HÄUPTLE	09/24/2015
VERONICA GAMBILLARA	09/25/2015
MANUELA MALLY	09/24/2015
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	GlycoVaxyn AG
<b>Street Address:</b>	Grabenstrasse 3
<b>City:</b>	Schlieren
<b>State/Country:</b>	SWITZERLAND
<b>Postal Code:</b>	CH-8952
<b>PROPERTY NUMBERS Total: 2</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	14628844
<b>PCT Number:</b>	EP2015053739
<b>CORRESPONDENCE DATA</b>	
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<b>Correspondent Name:</b>	JONES DAY
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<b>Address Line 4:</b>	NEW YORK, NEW YORK 10017-6702
<b>ATTORNEY DOCKET NUMBER:</b>	13064-042-999
<b>NAME OF SUBMITTER:</b>	COLIN A. FORESTAL
<b>SIGNATURE:</b>	/Colin A. Forestal/
<b>DATE SIGNED:</b>	10/02/2015

**Total Attachments: 2**

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JOINT

ASSIGNMENT

WHEREAS WE, Michael T. Kowarik, a citizen of Switzerland, residing at Goldbrunnenstrasse 57, Zurich, Switzerland CH-8055; Michael L. Wetter, a citizen of Switzerland, residing at Fellenbergstrasse 277, Zurich, Switzerland CH-8047, Stefan J. Kemmler, a citizen of Germany, residing at Altweg 11, Zurich, Switzerland CH-8047, Micha A. Häuptle, a citizen of Switzerland, residing at Militärstrasse 105, Zurich, Switzerland CH-8004, Veronica Gambillara, a citizen of Switzerland, residing at Bruechst 55, Meilen, Switzerland CH-8706, and Manuela Mally, a citizen of Austria, residing at Paechterriedstrasse 49, Watt, Switzerland CH-8105, ASSIGNORS, are inventors of the invention in NOVEL POLYSACCHARIDE AND USES THEREOF for which we have executed an international patent application in the Patent Cooperation Treaty (PCT) and an application for a Patent of the United States

- which are identified by Jones Day docket nos. 13064-042-228 (PCT) and 13064-042-999 (US)
- which were filed in the PCT on February 23, 2015 as Application No. PCT/EP2015/053739 and in the United States on February 23, 2015 as Application No. 14/628,844

and WHEREAS, GlycoVaxyn AG, ASSIGNEE, having a place of business at Grabenstrasse 3, Schlieren, Switzerland CH-8952, is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said applications:

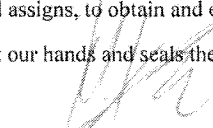

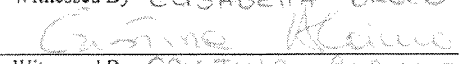
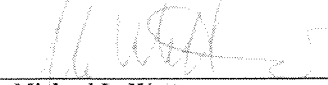

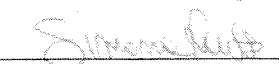
NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said PCT and United States applications and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said PCT and United States applications under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

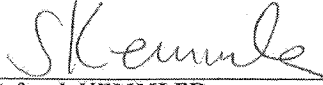


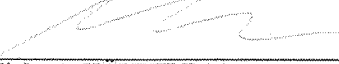
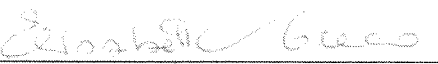

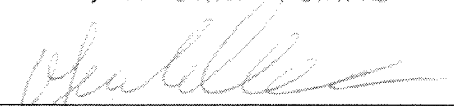





AND WE HEREBY authorize and request the Commissioner for Patents and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.

Date	<u>24 9. 2015</u>	, 2015		L.S.
			<b>Michael T. Kowarik</b>	
Date	<u>24 09.</u>	, 2015		L.S.
			Witnessed By ELISABETTA GRECO	
Date	<u>25 09. =</u>	, 2015		L.S.
			Witnessed By CRISTINA ALAIMO	
Date	<u>24 Sept.</u>	, 2015		L.S.
			<b>Michael L. Wetter</b>	
Date	<u>24. 09.</u>	, 2015		L.S.
			Witnessed By ELISABETTA GRECO	
Date	<u>24 09</u>	, 2015		L.S.
			Witnessed By SIMONA RUSSO	

Date	<u>28.09.</u>	, 2015		L.S.
			<b>Stefan J. KEMMLER</b>	
Date	<u>28.09</u>	, 2015		L.S.
			Witnessed By <b>ELISABETTA GRECO</b>	
Date	<u>28.09</u>	, 2015		L.S.
			Witnessed By <b>GERD LIPOWICKY</b>	
Date	<u>24.09</u>	, 2015		L.S.
			<b>Micha A. HAUPTLE</b>	
Date	<u>24.09</u>	, 2015		L.S.
			Witnessed By <b>ELISABETTA GRECO</b>	
Date	<u>25.09</u>	, 2015		L.S.
			Witnessed By <b>CRISTINA ALAIMO</b>	
Date	<u>25.09</u>	, 2015		L.S.
			<b>Veronica Gambillara</b>	
Date	<u>25.09</u>	, 2015		L.S.
			Witnessed By <b>CRISTINA ALAIMO</b>	
Date	<u>25.09</u>	, 2015		L.S.
			Witnessed By <b>ELISABETTA GRECO</b>	
Date	<u>10-09-24</u>	, 2015		L.S.
			<b>Manuela Mally</b>	
Date	<u>24.09</u>	, 2015		L.S.
			Witnessed By <b>ELISABETTA GRECO</b>	
Date	<u>24.09</u>	, 2015		L.S.
			Witnessed By <b>SIMONA RUSSO</b>	

ACCEPTED AND AGREED TO BY ASSIGNEE, GlycoVaxyn AG:

Signature: 

Date: 1 OCTOBER 2015

Printed Name: MARCUS JONATHAN WILLIAM DALTON

Title: ATTORNEY & AUTHORIZED OFFICIAL