503508523 10/05/2015

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3555149

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
MICHAEL FRIEDMAN	09/30/2015
JOHN T. GARIBOTTO	08/11/2015
J. CHRISTOPHER FLAHERTY	08/10/2015
WILLIAM J. GORMAN	08/18/2015
JOHN N. IRWIN III	09/28/2015

RECEIVING PARTY DATA

Name:	LUMEN DEVICES LLC
Street Address:	80 FIELDPOINT ROAD, 3RD FLOOR
City:	GREENWICH
State/Country:	CONNECTICUT
Postal Code:	06830

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	14818914

CORRESPONDENCE DATA

Fax Number: (203)327-6401

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 203-327-4500

Email:docketing@ogrp.comCorrespondent Name:PAUL D. GREELEY

Address Line 1: OHLANDT, GREELEY, RUGGIERO & PERLE, LLP

Address Line 2: ONE LANDMARK SQUARE, 10TH FLOOR

Address Line 4: STAMFORD, CONNECTICUT 06901

ATTORNEY DOCKET NUMBER:	0005150USX/3241
NAME OF SUBMITTER:	PAUL D. GREELEY
SIGNATURE:	/Paul D. Greeley/
DATE SIGNED:	10/05/2015
	This document serves as an Oath/Declaration (37 CFR 1.63).

PATENT 503508523 REEL: 036723 FRAME: 0213

Total Attachments: 20
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ONE LANDMARK SQUARE, 10TH FLOOR STAMFORD, CONNECTICUT 06901-2682

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COMBINED DECLARATION AND ASSIGNMENT UNDER 37 C.F.R. §1.63 FOR UTILITY OR DESIGN PATENT APPLICATION USING AN APPLICATION DATA SHEET

OGRP Rev. No. A1A/01a

iis Declaratio	on and Assignment, when present, are directed to:
Title of Inv	ention: DEVICES, SYSTEMS AND METHODS FOR THE TREATMENT OF SLEEP APNEA
	
Attorney D	Pocket No.: 0005150USX/3241
•	The specification of which is attached hereto, or
•	United States application or PCT international application number 14/818,914 filed on August 5, 2015
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• I am aware of the duty to disclose to the United States Patent and Trademark Office all

18 U.S.C. §1001 by fine or imprisonment of not more than five (5) years, or both.

defined in 37 C.F.R. §1.56.

information known to me to be material to the patentability of the above-identified application as

• I hereby acknowledge that any willful false statement made in this declaration is punishable under

OHLANDT, GREELEY, RUGGIERO & PERLE, L.L.P. Attorney Docket No. 0005150USX/3241

COMBINED DECLARATION AND ASSIGNMENT UNDER 37 C.F.R. §1.63 (CONTINUED)

Assignment:

- The above-identified application is not subject to an assignment, or
- The above-identified application is subject to the following assignment.

Whereas, LUMEN DEVICES LLC	, a corporation of
the State of Delaware	having a place of
business at 80 Fieldpoint Road, 3rd Floor; Greenwich, Connecticut 06830 USA	(the "Assignee"),
desires to acquire, and each undersigned inventor desires to grant and he	reby grants to the Assignee, the
entire worldwide right, title, and interest in and to the above-identified ap	plication and in and to any and
all patent applications and patents directed thereto.	

Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, each undersigned inventor hereby sells or has sold, assigns or has assigned, and otherwise transfers or has transferred to the Assignee, its successors, legal representatives, and assigns, the entire worldwide right, title, and interest in and to the invention, the above-identified United States patent application, and any and all other patent applications and patents for the above-identified application that may be applied for or granted therefore in the United States and in all foreign countries and jurisdictions, including all divisions, continuations, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such patents.

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COMBINED DECLARATION AND ASSIGNMENT UNDER 37 C.F.R. §1.63 (CONTINUED)

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Invento	r Information :	**************************************		
	Given Name	Middle Name	Family Name	Suffix
Dr.	Michael		FRIEDMAN	
Signatu	re: Muchael Fr	edne		
Date: _	september 3	0, 2015		
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	or Information :			
Prefix	Given Name	Middle Name	Family Name	Suffix
	John	N.	IRWIN	101
Date: _				
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	Given Name	Middle Name	Family Name	Suffix
1,4,1,1	William	J.	GORMAN	
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	John	Т.	GARIBOTTO	
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OHLANDT, GREELEY, RUGGIERO & PERLE, L.L.P. Attorney Docket No. 0005150USX/3241

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Attorney Docket No. 0005150USX/3241

COMBINED DECLARATION AND ASSIGNMENT UNDER 37 C.F.R. §1.63 (CONTINUED)

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Prefix	Given Name	Middle Name	Family Name	Suffix
Dr.	Michael		FRIEDMAN	
Signatu	ure:			
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Prefix	Given Name	Middle Name	Family Name	Suffly
	John	N.	IRWIN	111
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PATENT REEL: 036723 FRAME: 0221

Inventor Information:				
Prefix	Given Name	Middle Name	Family Name	Suffix
	John	Т,	GARIBOTTO	
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Date:	8/11/19			

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Prefix	Given Name	Middle Name	Family Name	Suffix
	J.	Christopher	FLAHERTY	
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OGRP Rev. No. A1A/01a

This Declaration and Assignment, when present, are directed to:
Title of Invention: DEVICES, SYSTEMS AND METHODS FOR THE TREATMENT OF SLEEP APNEA
Attorney Docket No.: 0005150USX/3241
The specification of which is attached hereto, or
United States application or PCT international application number 14/818,914 filed on August 5, 2015
I hereby authorize and request the delegated attorneys or agents or those of the Assignee, if any, to insert above the application number and filing date of the above-identified application when known.
Declaration: In accordance with the requirements under 35 U.S.C §115, as a below named inventor, I hereby declare that:
 The above-identified application was made or authorized to be made by me.
 I have reviewed and understand the contents of the above-identified application, including the claims, as required by 37 C.F.R. §1.63.
 I believe that I am the original inventor when only one inventor is named below or an original joint inventor when more than one inventor is named below of a claimed invention in the above- identified application.
 I am aware of the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to the patentability of the above-identified application a defined in 37 C.F.R. §1.56.
 I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. §1001 by fine or imprisonment of not more than five (5) years, or both.

PATENT

OHLANDT, GREELEY, RUGGIERO & PERLE, L.L.P. Attorney Docket No. 0005150USX/3241

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	John	N.	IRWIN	111
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Prefix	Given Name	Middle Name	Family Name	Suffix
	John	T.	GARIBOTTO	
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	J.	Christopher	FLAHERTY	
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PATENT

ONE LANDMARK SQUARE, 10TH FLOOR STAMFORD, CONNECTICUT 06901-2682

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COMBINED DECLARATION AND ASSIGNMENT UNDER 37 C.F.R. §1.63 (CONTINUED)

Add The South

Invento	or Information :			
Prefix	Given Name	Middle Name	Family Name	Suffix
Dr.	Michael		FRIEDMAN	
Signatu	ıre:			<u> </u>
Date: _				
TAN SEED VINE	Isveater			
Invento	or Information :			
Prefix	Given Name	Middle Name	Family Name	Suffix
	John	N.	IRWIN	III
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Invent	or Information:			
Prefix	Given Name	Middle Name	Family Name	Suffix
	John	T.	GARIBOTTO	
Signate	ure:			
Date:				
PACE ACCUSATION				

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or Information:			
Given Name	Middle Name	Family Name	Suffix
J.	Christopher	FLAHERTY	
ıre:			
	Given Name J.	Given Name J. Christopher	Given Name Middle Name Family Name J. Christopher FLAHERTY

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Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, each undersigned inventor hereby sells or has sold, assigns or has assigned, and otherwise transfers or has transferred to the Assignee, its successors, legal representatives, and assigns, the entire worldwide right, title, and interest in and to the Invention, the above-identified United States patent application, and any and all other patent applications and patents for the above-identified application that may be applied for or granted therefore in the United States and in all foreign countries and jurisdictions, including all divisions, continuations, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such patents.

In addition, each undersigned inventor hereby authorizes and requests the Director of the United States Patent and Trademark Office to Issue any United States Patent, and foreign patent authorities to issue any foreign patent, granted for the Invention, to the Assignee, its successors, legal representatives, and assigns, the entire worldwide right, title, and interest in and to the same to be held and enjoyed by the Assignee, its successors, legal representatives, and assigns to the full end of the terms for which any and all such patents may be granted, as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made; and each undersigned inventor agrees to execute any and all documents and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the above-identified application and all related patents and applications, in the Assignee, its successors, legal representatives, and assigns, whenever requested by the Assignee, its successors, legal representatives, or assigns.

Each undersigned inventor acknowledges his or her obligations to sell, assign, and transfer the rights under this Assignment to the Assignee and is unaware of any reason why he or she may not have the full and unencumbered right to sell, assign, and transfer the rights hereby sold, assigned, and transferred, and has not executed, and will not execute, any document or instrument in conflict herewith.

Attorney Docket No. 0005150USX/3241

COMBINED DECLARATION AND ASSIGNMENT UNDER 37 C.F.R. §1.63 (CONTINUED)

				Add Inventor
	or Information:			
Prefix	Given Name	Middle Name	Family Name	Suffix
Dr.	Michael		FRIEDMAN	
Signatu	ıre:			
Date: _				
Remové:	inventor			
	or Information :			
Prefix		Middle Name	Family Name	Suffi
	John	N.	IRWIN	III
Signati	ure:	8		
Date: _	September	28, 2015		
Remove	-luventor			
Invent	tor Information :			
Prefix	Given Name	Middle Name	Family Name	Suffi
	William	j.	GORMAN	
Signat	ture:			
Date:				

Removeration

Inventor Information:				
Prefix	Given Name	Middle Name	Family Name	Suffix
	John	T.	GARIBOTTO	
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Date: _				
jenev.	davento s			

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	J.	Christopher	FLAHERTY	
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Banove Inventor

PATENT REEL: 036723 FRAME: 0234

RECORDED: 10/05/2015