Form PTO-1595 (Rev. 07/05) U.S. DEPARTMENT OF COMMERCE OMB No. 0651-0027 (exp. 6/30/2008) United States Patent and Trademark Office RECORDATION FORM COVER SHEET CAR S-140,010 PATENTS ONLY To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below. Name of conveying party(les) 2. Name and address of receiving party(ies) Alliance for Sustainable Energy, LLC Name: United States Department of Energy Internal Address: Additional name(s) of conveying party(les) attached? Yes 🗸 No 3. Nature of conveyance/Execution Date(s): Street Address: 1000 Independence Ave., S.W Execution Date(s) August 18, 2015 Assignment Merger City: Washington Security Agreement Change of Name __ Joint Research Agreement State: D.C. Government Interest Assignment Country: USA Zip: 20585 Executive Order 9424, Confirmatory License Other Additional name(s) & address(es) attached? ☐ Yes ✓ No 4. Application or patent number(s): This document is being filed together with a new application. A. Patent Application No.(s) B. Patent No.(s) Serial No. 14/484,420 Additional numbers attached? Yes ✓ No 5. Name and address to whom correspondence 6. Total number of applications and patents concerning document should be mailed: involved: 1 Name: Michael J. Dobbs 7. Total fee (37 CFR 1.21(h) & 3.41) \$ Internal Address: U. S. Dept. of Energy, IPLD Authorized to be charged by credit card Authorized to be charged to deposit account **Enclosed** Street Address: 9800 S. Cass Ave ✓ None required (government interest not affecting title) 8. Payment Information City: <u>Argonne</u> a. Credit Card Last 4 Numbers _____ State: IL Zip:60439 Expiration Date __ Phone Number: 630-252-2164 b. Deposit Account Number_____ Fax Number: 630-252-2779 Authorized User Name _____ Email Address: Confirmatory.License@ch.doe.gov 9. Signature: 16/7/2015 Signature Total number of pages including cover John D. Cravero #71,245 2 sheet, attachments, and documents: Name of Person Signing

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or malled to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

PATENT

REEL: 036815 FRAME: 0405



DOE Docket No.: S-140,010

CONFIRMATORY LICENSE

Application for: HYBRID RADICAL ENERGY STORAGE DEVICE AND METHOD OF

MAKING

Inventor(s): Thomas GENNETT, David S. GINLEY, Wade BRAUNECKER, Chunmei

BAN, Zbyslaw OWCZARCZYK

Serial No.:

14/484,420

Filing Date: Contract No.: September 12, 2014 DE-AC36-08GO28308

Contractor:

Alliance for Sustainable Energy, LLC

The invention identified above is a "Subject Invention" under the above-numbered contract. A copy of the Subject Invention has been submitted to the U.S. Department of Energy.

The Contractor hereby confirms that under the provisions of the above-numbered contract governing patent rights, it has granted to the Government a nonexclusive, nontransferable, irrevocable, paid-up license to practice or to have practiced for or on behalf of the United States the Subject Invention throughout the world. This license applies to the invention in the above-identified patent application and any and all divisions or continuations thereof and any resulting patent or reissue patent which may be granted thereon.

It is understood and agreed that this document does not preclude the Government from asserting rights under the provisions of said contract or any other agreement between the Government and the Contractor, or any other rights of the Government with respect to the above-identified invention.

The Contractor hereby grants the Government an irrevocable power to inspect and make copies of the above-identified application.

Signed this 18th day of August 2015.

John C. Stolpa

Associate General Counsel,

Intellectual Property

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NREL is a national laboratory of the U.S. Department of Energy
Office of Energy Efficiency & Renewable Energy
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