

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT3577874

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
SHU TAO CHEN	05/05/2010
MICHAEL S. PAQUETTE	05/05/2010
MARY A. LEUGERS	05/04/2010
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	DOW GLOBAL TECHNOLOGIES LLC
<b>Street Address:</b>	2040 DOW CENTER
<b>City:</b>	MIDLAND
<b>State/Country:</b>	MICHIGAN
<b>Postal Code:</b>	48674
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	14172124
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(989)636-3237
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	9896331963
<b>Email:</b>	FGLMFRR@DOW.COM
<b>Correspondent Name:</b>	BARB STIEVE
<b>Address Line 1:</b>	2040 DOW CENTER
<b>Address Line 4:</b>	MIDLAND, MICHIGAN 48674
<b>ATTORNEY DOCKET NUMBER:</b>	67421-US-CNT
<b>NAME OF SUBMITTER:</b>	BARB STIEVE
<b>SIGNATURE:</b>	/Barb Stieve/
<b>DATE SIGNED:</b>	10/20/2015
<b>Total Attachments: 6</b>	
source=67421-US-CNT 20151020 CAJ Inventors to DGTL#page1.tif	
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This Assignment ID is in the ENGLISH language and has the same meaning in other languages with the same ID number and RDate

FORM 110 (R 3-97)

I/we verily believe I am/we are the original, first and sole/joint inventor(s) of the subject matter which is embraced by and for which a patent is sought on the invention entitled: NITRATE SALT-BASED PROCESS FOR MANUFACTURE OF GRAPHITE OXIDE

which invention was made and invented by me/us out of and in the course of my/our employment with my/our respective employers as stated below my/our name(s). I/we acknowledge that I/we hold the invention and all patent and other rights and powers obtainable or exercisable in respect thereof in trust for our respective employers. As trustee(s), and for good and valuable consideration and/or the remuneration received for my/our work, I/we sell, assign and transfer unto:

**Dow Global Technologies Inc.**  
2040 Dow Center  
Midland, Michigan 48674  
United States of America

a corporation organized and existing under the laws of the State of Delaware (hereinafter referred to as the "Assignee"); my/our entire right, title and interest throughout the world, in and to the invention and all rights and powers to make applications, including provisional applications when permitted by law, for Letters Patent in its own name, or in the name of its designee (or nominee(s)); and all rights and powers to file any corresponding or equivalent applications in any and all countries, including all rights to claim priority under all United States domestic laws, International Convention(s) and Treaties in the name of Assignee, and do hereby authorize Assignee or its designee to insert here the Priority Number, Filing Date, and Filing Country

of the application, on which the claim for priority will be based; and in and to any Letters Patent that may be issued for the invention in any and all countries. I/we do hereby agree to assist Assignee in the prosecution of the application(s) and in any interference, conflict, opposition or litigation which may arise involving the invention or the patent or patents thereon, and to execute for any and all countries any document relating to applications derived from, corresponding to or equivalent to the above identified application as Assignee may request; and I/we do hereby authorize Assignee or its designee (or nominee(s)) to *insert here any corresponding equivalent Application Number, Filing Date, and Filing Country*

to the above Priority application of any country where that country's patent property must be identified and entered hereinabove for recordal of this Assignment. I/we authorize Assignee or its designee to translate this Assignment into all languages necessary; and we request that any such Letters Patent be issued to Assignee; and consent to the recordal of this Assignment, or a Notarial True Copy thereof, being under covenant not only that I/we have full power to make the same, but also that such assigned rights are not encumbered by any grant, license, or other right heretofore given.

Subscribed and Declared by me/us:

At: Midland, Michigan, 48604, USA  
this 5th day of May, 2010  
Effective as of January 19, 2010

Signature: Michael S. Paquette  
Full Name: Michael S. Paquette  
Residence: 3803 Greenfield Court  
Midland, Michigan 48642  
Country: United States of America  
Citizenship: United States of America  
P.O. Address: Same as residence  
Employed By: The Dow Chemical Company  
Of Country: United States of America

At: \_\_\_\_\_  
this \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_\_

Signature: \_\_\_\_\_

Full Name: \_\_\_\_\_

Residence: \_\_\_\_\_

Country: \_\_\_\_\_

Citizenship: \_\_\_\_\_

P.O. Address: \_\_\_\_\_

Employed By: \_\_\_\_\_

Of Country: \_\_\_\_\_

\*At the time of invention.

# Delaware

PAGE 2

*The First State*

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE DO HEREBY CERTIFY THAT THE ATTACHED IS A TRUE AND CORRECT COPY OF CERTIFICATE OF FORMATION OF "DOW GLOBAL TECHNOLOGIES LLC" FILED IN THIS OFFICE ON THE TWENTY-THIRD DAY OF DECEMBER, A.D. 2010, AT 10:40 O'CLOCK A.M.

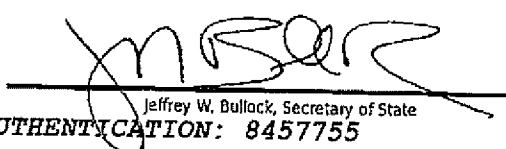
AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF FORMATION IS THE THIRTY-FIRST DAY OF DECEMBER, A.D. 2010.

2332072 8100V

101227862

You may verify this certificate online  
at [corp.delaware.gov/authvar.shtml](http://corp.delaware.gov/authvar.shtml)



  
Jeffrey W. Bullock, Secretary of State  
AUTHENTICATION: 8457755

DATE: 12-29-10

PATENT  
REEL: 036835 FRAME: 0811

State of Delaware  
Secretary of State  
Division of Corporations  
Delivered 10:40 AM 12/23/2010  
FILED 10:40 AM 12/23/2010  
SRV 101227862 - 2332072 FILE

**Certificate of Formation**  
**of**  
**Dow Global Technologies LLC**

This Certificate of Formation of Dow Global Technologies LLC has been duly executed and is being filed by Noreen D. Warrick, as an authorized representative, to form a limited liability company under the Delaware Limited Liability Company Act (6 Del.C. §18-101, et seq.).

1. The name of the limited liability company is:

Dow Global Technologies LLC

2. The address of the limited liability company's registered office in the State of Delaware is Corporation Trust Center, 1209 Orange Street, in the City of Wilmington, County of New Castle. The name of the limited liability company's registered agent for service of process in the State of Delaware at such address is the Corporation Trust Company.
3. The effective date for the formation of this limited liability company is December 31, 2010.

IN WITNESS WHEREOF, the undersigned has executed this Certificate of Formation of Dow Global Technologies LLC this 23<sup>rd</sup> day of December, 2010.



Noreen D. Warrick  
Authorized Representative

# Delaware

PAGE 1

*The First State*

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE DO HEREBY CERTIFY THAT THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF CONVERSION OF A DELAWARE CORPORATION UNDER THE NAME OF "DOW GLOBAL TECHNOLOGIES INC." TO A DELAWARE LIMITED LIABILITY COMPANY, CHANGING ITS NAME FROM "DOW GLOBAL TECHNOLOGIES INC." TO "DOW GLOBAL TECHNOLOGIES LLC", FILED IN THIS OFFICE ON THE TWENTY-THIRD DAY OF DECEMBER, A.D. 2010, AT 10:40 O'CLOCK A.M.

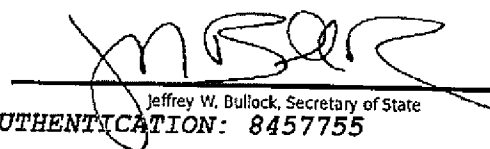
AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF CONVERSION IS THE THIRTY-FIRST DAY OF DECEMBER, A.D. 2010.

2332072 8100V

101227862

You may verify this certificate online  
at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)



  
Jeffrey W. Bullock, Secretary of State  
AUTHENTICATION: 8457755

DATE: 12-29-10

PATENT  
REEL: 036835 FRAME: 0813

State of Delaware  
Secretary of State  
Division of Corporations  
Delivered 10:40 AM 12/23/2010  
FILED 10:40 AM 12/23/2010  
SRV 101227862 - 2332072 FILE

Certificate of Conversion  
of  
Dow Global Technologies Inc.  
(a Delaware corporation)  
to  
Dow Global Technologies LLC  
(a Delaware limited liability company)

Pursuant to Section 18-214 of the Limited Liability Company Act

Dow Global Technologies Inc., a Delaware corporation (the "Corporation"), files this Certificate of Conversion (the "Certificate") and hereby certifies the following:

1. The jurisdiction where the Corporation was first formed is Delaware.
2. The jurisdiction immediately prior to filing this Certificate is Delaware.
3. The date the Corporation was first formed is April 6, 1993.
4. The name of the Corporation immediately prior to filing this Certificate is Dow Global Technologies Inc.
5. The name of the limited liability company as set forth in the Certificate of Formation is Dow Global Technologies LLC.
6. The future effective date of the conversion to a limited liability company is December 31, 2010.

IN WITNESS WHEREOF, the undersigned has executed this Certificate on the 23<sup>rd</sup> day of December, 2010.

Dow Global Technologies Inc.

By: Noreen D. Warrick  
Name: Noreen D. Warrick  
Title: Secretary