PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3580686

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
DAVID J. MISHELEVICH	07/12/2011
M. BRET SCHNEIDER	07/12/2011

RECEIVING PARTY DATA

Name:	NEOSTIM, INC.	
Street Address:	951 MARINER'S ISLAND BOULEVARD, SUITE 300	
City:	SAN MATEO	
State/Country:	CALIFORNIA	
Postal Code:	94404	

PROPERTY NUMBERS Total: 2

Property Type	Number
Application Number:	14853829
Patent Number:	9132277

CORRESPONDENCE DATA

Fax Number: (650)212-7562

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: (650) 212-1700 Email: info@shayglenn.com Correspondent Name: SHAY GLENN LLP

Address Line 1: 2755 CAMPUS DRIVE, SUITE 210 Address Line 4: SAN MATEO, CALIFORNIA 94403

ATTORNEY DOCKET NUMBER:	10851-730.300 AND 301	
NAME OF SUBMITTER:	MARY BUGGIE	
SIGNATURE:	/Richard D. Shoop, Reg. No. 45,763/	
DATE SIGNED:	10/21/2015	

Total Attachments: 3

source=10851-719-300-301_Assignment#page1.tif source=10851-719-300-301_Assignment#page2.tif source=10851-719-300-301_Assignment#page3.tif

> **PATENT** REEL: 036850 FRAME: 0270 503534059

U.S. DEPARTMENT OF COMMERCE

To THE HONORABLE DIRECTOR OF THE EUNITED STATES PATENT AND TRADEMARK OFFICE. PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF. 1. Name of conveying party(ies): (1) DAVID J. MISHELEVICH (07/12/2011) Additional name(s) of conveying party(ies) attached? Additional name(s) of conveying party(ies) attached? Assignment	PATENTS ONLY PATENT AND TRADEMARK OFFICE		
Name: NEOSTIM, INC. 951 MARINER'S ISLAND BOULEVARD, SUITE 300 SAN MATEO, CA 94404		AND TRADEMARK OFFICE. PLEASE RECORD THE ATTACHED	
Security Agreement	Name of conveying party(ies):	Name and address of receiving party(ies):	
Name Name Name Name Name Name Street Address Street Addres	(2) M. BRET SCHNEIDER (07/12/2011) Additional name(s) of conveying party(ies) attached?	951 MARINER'S ISLAND BOULEVARD, SUITE 300	
Street Address: Zip: Country: Additional name(s) & address(es) attached? Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is:	3. Nature of Conveyance:	Name and address of receiving party(ies):	
Street Address: City: State: Zip: Country: Additional name(s) & address(es) attached? Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s) – 14/853,829 Title: SHAPED COILS FOR TRANSCRANIAL MAGNETIC STIMULATION Additional numbers attached? Yes No 5. Name and address of party to whom correspondence concerning document should be mailed: Richard D. Shoop Shay Glenn LLP 2755 Campus Drive, Suite 210 San Mateo, CA 94403 DO NOT USE THIS SPACE 8. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Richard D. Shoop, Reg. No. 45,763	Assignment Merger	Name:	
City: State: Zip: Country: Additional name(s) & address(se) attached? Yes No 4. Application number(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s) – 14/853,829 Title: SHAPED COILS FOR TRANSCRANIAL MAGNETIC STIMULATION Additional numbers attached? Additional numbers attached? Fes No 5. Name and address of party to whom correspondence concerning document should be mailed: Richard D. Shoop Shay Glenn LLP 2755 Campus Drive, Suite 210 San Mateo, CA 94403 DO NOT USE THIS SPACE 8. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Richard D. Shoop, Reg. No. 45,763 Name of Person Signing Signature City: State: Zip: Country: Additional name(s) & address(s) attached? No No Standardes (s) & address(s) attached? Pes No 6. Total number of applications and patents involved: 2 Charge any required fees to Deposit Account 50-4050.		Street Address:	
Additional name(s) & address(es) attached? 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s) – 14/853,829 Title: SHAPED COILS FOR TRANSCRANIAL MAGNETIC STIMULATION Additional numbers attached? Title: SHAPED COILS FOR TRANSCRANIAL MAGNETIC STIMULATION Additional numbers attached? Yes No 5. Name and address of party to whom correspondence concerning document should be mailed: Richard D. Shoop Shay Glenn LLP 2755 Campus Drive, Suite 210 San Mateo, CA 94403 DO NOT USE THIS SPACE 8. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Richard D. Shoop, Reg. No. 45,763 Name of Person Signing No Cotober 21, 2015 Name of Person Signing	Security Agreement Change of Name	City: State: Zip:	
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MAGNETIC STIMULATION Additional numbers attached? Yes No 5. Name and address of party to whom correspondence concerning document should be mailed: Richard D. Shoop Shay Glenn LLP 2755 Campus Drive, Suite 210 San Mateo, CA 94403 7. Total fee (37 CFR 3.41): \$0.00 Charge any required fees to Deposit Account 50-4050. DO NOT USE THIS SPACE 8. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Richard D. Shoop, Reg. No. 45.763 Name of Person Signing No October 21, 2015 Date	A. Patent Application No.(s) – 14/853,829	B. Patent No.(s) - 9,132,277	
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original document. Richard D. Shoop, Reg. No. 45,763 /Richard D. Shoop/ October 21, 2015 Name of Person Signing Signature Date	8. Statement and signature.		
Name of Person Signing Signature Date		ion is true and correct and any attached copy is a true copy of the	
Name of Person Signing Signature Date	Richard D. Shoop, Reg. No. 45,763 /Richard D. Shoop/	October 21, 2015	
i e e e e e e e e e e e e e e e e e e e	Name of Person Signing	•	

PATENT REEL: 036850 FRAME: 0271

ASSIGNMENT OF PATENT APPLICATION

Docket Number:

10851-719.US0

This Assignment of Patent Application is between: David J. Mishelevich of Playa del Rey, CA; and M. Bret Schneider of Portola Valley, CA, (hereinafter referred to as "Inventor") and NeoStim, Inc. a corporation of the State of Delaware, having a place of business at 951 Mariner's Island Boulevard, Suite 300, San Mateo, CA, 94404, (hereinafter termed "Assignee").

WHEREAS Inventor has invented certain new and useful improvements in:

"SHAPED COILS FOR TRANSCRANIAL MAGNETIC STIMULATION"

for which an application for the United States Patent was filed on June 21, 2011, Application No. 13/141,100.

WHEREAS, Assignee is desirous of acquiring the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered by said Inventor (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventor to have been received in full from said Assignee:

- 1. Said Inventor does hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said application and said invention: (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a divisional, substitution, continuation, or continuation-in-part of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.
- 2. Said Inventor hereby covenants and agrees to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventor shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of the said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contest, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventor in providing such cooperation shall be paid for by said Assignee.
- 3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventor, his heirs, legal representatives and assigns.
- 4. Said Inventor hereby warrants and represents that he has not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

Page 1 of 2

ASSIGNMENT OF PATENT APPLICATION

Docket Number:

10851-719.US0

IN WITNESS WHEREOF, said Inventor has executed and delivered this instrument to said Assignee:

2011-07-12

Date

7/12/2011

David J. Mishelevich

M. Bret Schneider

Page 2 of 2

PATENT REEL: 036850 FRAME: 0273

RECORDED: 10/21/2015