

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3583542

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
HEATHER ELIZABETH BURKS	01/30/2014
MICHAEL A. DECHANTSREITER	01/30/2014
GUO HE	01/30/2014
JILL NUNEZ	01/30/2014
STEFAN PEUKERT	01/31/2014
CLAYTON SPRINGER	01/30/2014
YINGCHUAN SUN	01/31/2014
NOEL MARIE-FRANCE THOMSEN	01/30/2014
GEORGE SCOTT TRIA	01/30/2014
BING YU	01/30/2014
RECEIVING PARTY DATA	
Name:	NOVARTIS INSTITUTES FOR BIOMEDICAL RESEARCH INC.
Street Address:	250 MASSACHUSETTS AVENUE
City:	CAMBRIDGE
State/Country:	MASSACHUSETTS
Postal Code:	02139
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14768341
CORRESPONDENCE DATA	
Fax Number:	(617)871-5786
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	6178715372
Email:	stephen.wolfolds@novartis.com
Correspondent Name:	STEPHEN M. WOLFOLDS
Address Line 1:	NOVARTIS
Address Line 2:	700 MAIN STREET
Address Line 4:	CAMBRIDGE, MASSACHUSETTS 02139
ATTORNEY DOCKET NUMBER:	PAT055410-US-PCT

PATENT

NAME OF SUBMITTER:	STEPHEN M. WOLFOLDS
SIGNATURE:	/Stephen M. Wolfolds/
DATE SIGNED:	10/23/2015
Total Attachments: 14 source=PAT055410 assignment inventors to NIBR-563752#page1.tif source=PAT055410 assignment inventors to NIBR-563752#page2.tif source=PAT055410 assignment inventors to NIBR-563752#page3.tif source=PAT055410 assignment inventors to NIBR-563752#page4.tif source=PAT055410 assignment inventors to NIBR-563752#page5.tif source=PAT055410 assignment inventors to NIBR-563752#page6.tif source=PAT055410 assignment inventors to NIBR-563752#page7.tif source=PAT055410 assignment inventors to NIBR-563752#page8.tif source=PAT055410 assignment inventors to NIBR-563752#page9.tif source=PAT055410 assignment inventors to NIBR-563752#page10.tif source=PAT055410 assignment inventors to NIBR-563752#page11.tif source=PAT055410 assignment inventors to NIBR-563752#page12.tif source=PAT055410 assignment inventors to NIBR-563752#page13.tif source=PAT055410 assignment inventors to NIBR-563752#page14.tif	

ASSIGNMENT

This Assignment Agreement is entered into by and between

Heather Elizabeth BURKS	citizen of United States of America	Novartis Institutes for BioMedical Research, Inc. 250 Massachusetts Avenue Cambridge, MA 02139 US
Michael A. DECHANTSREITER	Citizen of Germany	Novartis Institutes for BioMedical Research, Inc. 250 Massachusetts Avenue Cambridge, MA 02139 US
Guo HE	citizen of United States of America	Novartis Institutes for BioMedical Research, Inc. 250 Massachusetts Avenue Cambridge, MA 02139 US
Jill NUNEZ	citizen of United States of America	Novartis Institutes for BioMedical Research, Inc. 250 Massachusetts Avenue Cambridge, MA 02139 US
Stefan PEUKERT	Citizen of Germany	Novartis Institutes for BioMedical Research, Inc. 250 Massachusetts Avenue Cambridge, MA 02139 US
Clayton SPRINGER	citizen of United States of America	Novartis Institutes for BioMedical Research, Inc. 250 Massachusetts Avenue Cambridge, MA 02139 US
Yingchuan SUN	Citizen of China	Novartis Institutes for BioMedical Research, Inc. 250 Massachusetts Avenue Cambridge, MA 02139 US
Noel Marie-France THOMSEN	Citizen of United States of America	Novartis Institutes for BioMedical Research, Inc. 250 Massachusetts Avenue Cambridge, MA 02139 US
George Scott TRIA	Citizen of United States of America	Novartis Institutes for BioMedical Research, Inc. 250 Massachusetts Avenue Cambridge, MA 02139 US
Bing YU	Citizen of Canada	Novartis Institutes for BioMedical Research, Inc. 250 Massachusetts Avenue Cambridge, MA 02139 US

(hereinafter "Inventor(s)"), and Novartis Institutes for Biomedical Research Inc., 250 Massachusetts Ave, Cambridge, MA 02139, USA, a company organized under the laws of Delaware.

For good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, I/We the Inventor(s) do hereby confirm and agree that, by operation of law and/or contract, and/or by the fulfillment of any and all legal requirements regarding the transfer of employee inventions in my/our country of employment, Novartis Institutes for Biomedical Research Inc. is the true and lawful owner of all right, title and interest in all inventions, discoveries, patents/utility models, applications, and rights described in paragraphs (1) through (8) below.

If, however, under the laws of any country, I/We the Inventor(s) nevertheless have any ownership right, title or interest in any of the items described herein (which I/We do not believe to be the case and make no claim in or to), for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, I/We the Inventor(s) do hereby sell, assign and transfer to Novartis Institutes for Biomedical Research Inc. and its successors, assigns and legal representatives (hereinafter referred to collectively as the "ASSIGNEE"), all of our right, title and interest for all countries of the world in and to

(1) all of our inventions and discoveries described in the provisional or non-provisional patent, utility model, or other application(s) entitled

Compounds and Compositions as Selective Estrogen Receptor Degraders
[PAT055410]

and filed in the to be determined Office on to be determined and accorded Application Number to be determined and/or filed as a PCT International Application on to be determined and accorded International Patent Application Number to be determined ; and/or filed in the United States Patent and Trademark Office on February 19, 2013 and accorded Application Number 61/766,439;

(2) the application(s) identified in paragraph (1), and all applications claiming priority from such application(s), directly or indirectly, including all national stages of any international patent application(s);

(3) the right to file patent, utility model, or other applications on any invention or discovery disclosed in any of the applications identified in paragraphs (1) and (2), including the right to file such applications on said inventions and discoveries in the names of ASSIGNEE or their designees or in our/my name, at ASSIGNEE's election and in accordance with applicable law in all countries and regions; and the right to file all patent, utility model, or other applications in all countries and regions claiming the priority of any of the provisional or non-provisional application(s) identified in paragraphs (1) or (2);

(4) all rights to claim priority from any of the applications referred to in paragraphs (1), (2), and (3) or from any application from which any of the applications referred to in paragraphs

(1), (2) and (3) claim priority in all countries and regions under the Paris Convention for the Protection of Industrial Property, the WTO GATT TRIPS Agreement, the Inter-American Convention relating to Inventions, Patents, Designs, and Industrial Models and all other international agreements to which the United States now is or hereafter becomes a signatory and, if the United States patent application is a provisional patent application, under 35 USC 119(e);

(5) all continuations, continuations-in-part, and divisionals of any United States patent application(s) or international patent application(s) designating the United States, any national stages of any international application(s), and any other patent application(s) described in paragraphs (1) through (4) hereof, including further continuations, continuations-in-part, and divisionals such as, but not limited to, continuations of continuations and continuations of divisionals;

(6) all patents, utility models, or other grants that issue from any of the applications referred to herein and all rights and remedies associated therewith including the right to sue for and recover past damages and to recover under 35 U.S.C. § 154 (d) or any other law permitting remedies for infringement prior to issuance of the patent;

(7) all registrations and confirmations of, and importation certificates based upon, one or more of said patents, utility models, or other grants, and applications for such registrations, confirmations and importation certificates and;

(8) all reissues, renewals and extensions of said patents, utility models, registrations, confirmations and importation certificates, reexamination certificates issued for said patents and supplementary protection certificates based upon said patents and applications for such reissues, renewals, extensions, reexamination certificates and supplementary protection certificates, the same to be held and enjoyed by said ASSIGNEE to the full ends of the terms for which said patents, utility models, registrations, confirmations, importation certificates, reexamination certificates, supplementary protection certificates, reissues, renewals and extensions may be granted, as fully and entirely as the same would have been held and enjoyed by me/us if this sale, assignment and transfer had not been made.

I/We the Inventor(s) hereby authorize ASSIGNEE and their representatives to insert in paragraph (1) of this Assignment the filing date(s) and Application Number(s) of said patent, utility model, or other application(s) when notified thereof.

I/We the Inventor(s) hereby covenant and agree that I/We will, at any time, (i) upon the request, but at the expense, of ASSIGNEE, execute and deliver all documents that may be necessary or desirable to perfect the title to the foregoing inventions and discoveries, applications, patents, utility models, registrations, confirmations, importation certificates, reissues, renewals, extensions, reexamination certificates, supplementary protection certificates, and applications within the scope of (7) and (8), in ASSIGNEE, including the execution and procurement of all further documents evidencing this sale, assignment and transfer as may be necessary or desirable for recording the same in the patent office or other intellectual property office, agency or the like of any country or region, (ii) upon the request, but at the expense, of ASSIGNEE execute all additional applications within the scope of paragraphs (1) through (6)

and all applications within the scope of (7) or (8), and (iii) make all rightful oaths and declarations and do all lawful acts requisite for procuring the same or for aiding therein, without further compensation, but at the expense of ASSIGNEE .

Should any provision of this Assignment be deemed invalid or unenforceable by reason of any law, statute, regulation or judgment, existing now or in the future in any jurisdiction, such provision shall be modified in such jurisdiction so as to nearly approximate the intent of the Parties. If this cannot be done, such invalid or unenforceable provision shall be divisible and be deleted in any such jurisdiction, and all other provisions shall remain in full force and effect. The modification or deletion of any provision in one jurisdiction shall have no effect on this Assignment in any other jurisdiction.

This Assignment shall be governed by the laws of the state of Delaware.

This Assignment is effective as from the earliest priority date as stated above.

Executed this 30th day of January, 2014

By Heather Elizabeth Burks
Heather Elizabeth BURKS

Witnessed by:

[Signature]
Name: Shirley Fitzgerald
Address: 220 Mass Ave
Cambridge, MA 02139

[Signature]
Name: Martin Murphy
Address: 220 Mass Ave
Cambridge MA 02139

Executed this 30 day of January, 2014

BY Michael Dechantsreiter
Michael A. DECHANTSREITER

Witnessed by:

John Prince
Name: John Prince
Address: 220 Massachusetts Ave.
Cambridge MA 02139

Marilyn Murphy
Name: Marilyn Murphy
Address: 220 Mass Ave
Cambridge, MA 02139

Executed this 30th day of January, 2014

BY

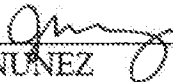
Guo HE

Witnessed by:

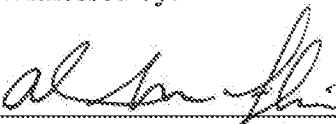

Name: M. W. R. Rogers
Address: 220 Mass Ave
Cambridge, MA 02139

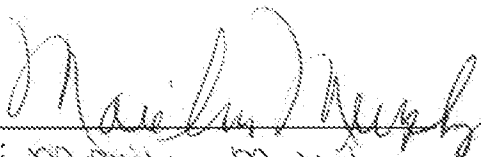

Name: M. W. R. Rogers
Address: 220 Mass Ave
Cambridge, MA 02139

Executed this 30 day of Jan, 2014

BY 
Jill NUNEZ

Witnessed by:


Name: Dawn M. Fitzgerald
Address: 220 MASS Ave.
Cambridge, MA 02139


Name: Marilyn Murphy
Address: 220 MASS Ave
Cambridge, MA 02139

Executed this 31 day of January, 20 14

BY Stefan Peukert
Stefan PEUKERT

Witnessed by:

Alvin M. Fitzgerald
Name: Alvin M. Fitzgerald
Address: 220 MASS. AVE.
CAMBRIDGE, MA 02139

Marvin Murphy
Name: Marvin Murphy
Address: 220 MASS AVE.
CAMBRIDGE, MA 02139

Executed this 30 day of January, 2014

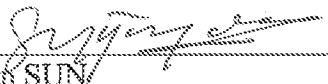
BY Clayton Springer
Clayton SPRINGER

Witnessed by:

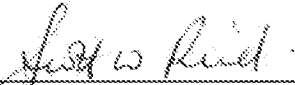
Alvin M. Fitzgerald
Name: Alvin M. Fitzgerald
Address: 220 MASS. AVE
Cambridge, MA 02139

Marilyn Murphy
Name: Marilyn Murphy
Address: 220 MASS AVE
Cambridge, MA 02139

Executed this 31st day of Jan, 2014

BY 
Yingchuan SUN

Witnessed by:


Name: SCOTT W. REID
Address: 220 MASS AVE
CAMBRIDGE
MA 02139


Name: MARILYN MURPHY
Address: 220 MASS AVE
CAMBRIDGE, MA 02139

Executed this 30 day of January, 2014

BY Noel Marie-France Thomsen
Noel Marie-France THOMSEN

Witnessed by:

Alvin M. Bissessar
Name: Alvin M. Bissessar
Address: 220 Mass. Ave
Cambridge, MA 02139

Marilyn Murphy
Name: Marilyn Murphy
Address: 220 MASS AVE
Cambridge, MA 02139

Executed this 30 day of January, 20 14

BY
George Scott TRIA

Witnessed by:

Name: Alan M. Fitzgerald
Address: 220 Mass Ave.
Cambridge, MA 02139

Name: Marilyn Murphy
Address: 220 Mass Ave
Cambridge, MA 02139

Executed this 30th day of January, 2014

BY _____

Bing YU

Witnessed by:


Name: Alan M. Fitzgerald
Address: 220 MASS Ave.
Cambridge, MA 02139


Name: Maudlin Murphy
Address: 220 MASS Ave
Cambridge, MA 02139

NOVARTIS INSTITUTES FOR BIOMEDICAL RESEARCH INC.

Executed this 3rd day of February, 2014

BY Susan M. Dillon

Name: Susan M. Dillon

Title: Authorized Signatory

Witnessed by:



Name: FRANK WU

Address: 220 MASSACHUSETTS AVE
CAMBRIDGE MA 02139



Name: Marilyn Murphy

Address: 220 MASS AVE
Cambridge, MA
02139