

10/20/2015



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FQ5-782PCT-US

U.S. DEPARTMENT OF COMMERCE
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RECORDATION FORM COVER SHEET

PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Masahiro HAYASHITANI

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) September 10, 2013

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies)

Name: NEC CORPORATION

Internal Address:

Street Address: 7-1, Shiba 5-chome, Minato-ku

City: Tokyo

State:

Country: JAPAN

Zip:

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

14/006,784

☐ This document serves as an Oath/Declaration (37 CFR 1.63).

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Sean M. McGinn, Esq.

Internal Address: McGinn Intellectual Property Law Group, PLLC

Street Address: 8321 Old Courthouse Road, Suite 200

City: Vienna

State: Virginia

Zip: 22182-3817

Phone Number: (703) 761-4100

Docket Number: FQ5-782PCT-US

Email Address: ADMIN@MCGINNIPLAW.COM

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00

- ☒ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 50-0481(deficiencies only)

Authorized User Name: Sean M. McGinn

10/22/2013 10:00 AM 14006784

9. Signature:

Sean M. McGinn, Esq.

Name of Person Signing

Signature

01 FC:8021

40.00 DA
September 25, 2013

Date

Total number of pages including cover sheet, attachments, and documents:

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
 Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

PATENT

REEL: 036922 FRAME: 0793

09.25.2013

ASSIGNMENT OF APPLICATION FOR PATENT**WHEREAS**

NAME AND ADDRESS
OF ASSIGNOR
OF ASSIGNOR

Masahiro HAYASHITANI of
c/o NEC Corporation, 7-1, Shiba 5-chome, Minato-ku,
Tokyo, Japan

(HEREINAFTER REFERRED TO AS ASSIGNOR), have
invented and own a certain new and useful invention
entitled:

TITLE OF INVENTION:

METHOD AND DEVICE FOR PREDICTING AND
DETERMINING FAILURE

WHEREAS

FULL NAME AND ADDRESS
OF ASSIGNEE

NEC Corporation, 7-1, Shiba 5-chome, Minato-ku,
Tokyo, Japan

(hereinafter referred to as ASSIGNEE), is desirous of
acquiring the entire right, title, and interest in, to and under
said invention and the United States Letters Patent to be
obtained therefor:

NOW THEREFORE, TO ALL WHOM IT MAY CONCERN: Be
it known that in consideration of the payment of ASSIGNEE
to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt
of which is hereby acknowledged, and for other good and
valuable consideration, ASSIGNOR, by these presents,
hereby sells, assigns and transfers to ASSIGNEE the entire
and exclusive right, title and interest to said invention and
all Letters Patent of the United States to be obtained
therefor on said application or any continuation, division,
renewal, substitute or reissue thereof for the full term or
terms for which the same may be granted.

ASSIGNOR hereby authorizes and requests the
Commissioner of Patents and Trademarks to issue said
Letters Patent of the
United States Patent obtained therefor on said application or any
(Assignment of Application for Patent -- page 1 of 2)

PATENT

REEL: 036922 FRAME: 0794

continuation, division, renewal, substitute or reissue thereof for the full term or terms to said ASSIGNEE, of the entire right, title, and interest in and to the same, for his sole use and behoof, and for the use and behoof of his legal representatives, to the full end of the term or terms for which said Letters Patent obtained therefor on said application or any continuation, division, renewal, substitute or reissue thereof for may be granted, as full and entirely as the same would have been held by ASSIGNOR had this assignment and sale not been made.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain and enforce said application, said invention and said Letters Patent which may be necessary or desirable to carry out the purposes hereof.

DATE OF SIGNING

IN WITNESS WHEREOF, I/We have hereunto set

hand and seal this 10 day of September 2013.

SIGNATURE

By: Masahiro Hayashitani
Masahiro HAYASHITANI

SIGNATURE

By: _____

SIGNATURE

By: _____

SIGNATURE

By: _____

SIGNATURE

By: _____

WITNESSES

Agosto Kimura
[Signature]
(Assignment of Application for Patent - page 2 of 2)

PATENT

REEL: 036922 FRAME: 0795

19050

40.00

9/25/2013

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40.00

Katt. 059
Attorney's Post Card Filing ReceiptSerial Number: 14/006784Papers Filed On: 9/25/2013Docket Number: PA5-782PCT-45 ☒ Patent ☐ TrademarkName: Hayashitani Application Filing Date: 9/25/13
Herewith:

☐ Request for Extension of Time ☐ CPA Request
☐ Appeal Brief (in triplicate) ☐ Reply Brief
☐ 1449 Form w/ ___ Documents ☐ Priority Document(s)
☒ Recordation Cover Sheet ☐ Formal Drawings
☐ Issue Fee Transmittal ☐ Missing Parts

Fees Filed Herewith: \$ 40.00 ☒ Check ☐ Charge Deposit Account: _____

19050 smm/adp

784; Assignment, Recordation

Katt. 059
Attorney's Post Card Filing ReceiptSerial Number: 14/006784Papers Filed On: 9/25/2013Attorney's Docket Number: PA5-782PCT-45 ☒ Patent ☐ TrademarkApplicant's Name: Hayashitani Application Filing Date: 9/25/13
Papers Filed Herewith:

☐ Amendment ☐ Request for Extension of Time ☐ CPA Request
☐ Notice of Appeal ☐ Appeal Brief (in triplicate) ☐ Reply Brief
☐ IDS ☐ 1449 Form w/ ___ Documents ☐ Priority Document(s)
☒ Assignment ☒ Recordation Cover Sheet ☐ Formal Drawings
☐ Drawing Corrections ☐ Issue Fee Transmittal ☐ Missing Parts
☐ Other _____

Fees Filed Herewith: \$ 40.00 ☒ Check ☐ Charge Deposit Account: _____

19050 smm/adp

PATENT

RECORDED: 09/25/2013

REEL: 036922 FRAME: 0796

Commissioner of Patents & Trademarks
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