

PATENT ASSIGNMENT COVER SHEET

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 Stylesheet Version v1.2

EPAS ID: PAT3595650

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
JOHN VINCENT FAHY	03/10/2014
SHAOPENG YUAN	03/08/2014
RECEIVING PARTY DATA	
Name:	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA
Street Address:	1111 FRANKLIN STREET, TWELFTH FLOOR
City:	OAKLAND
State/Country:	CALIFORNIA
Postal Code:	94607-5200
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14847439
CORRESPONDENCE DATA	
Fax Number:	(858)314-1501
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	8583141500
Email:	LAHO@MINTZ.COM
Correspondent Name:	STEVEN C. KOERBER
Address Line 1:	3580 CARMEL MOUNTAIN ROAD SUITE 300
Address Line 2:	MINTZ LEVIN
Address Line 4:	SAN DIEGO, CALIFORNIA 92130
ATTORNEY DOCKET NUMBER:	48536-529N01US
NAME OF SUBMITTER:	STEVEN C. KOERBER, REG. NO. 54,233
SIGNATURE:	/STEVEN C. KOERBER, REG. NO. 54,233/
DATE SIGNED:	10/31/2015
Total Attachments: 2	
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source=48536-529N01US Assignment Fahy and Yuan#page2.tif	

ASSIGNMENT

UCSF Case No.: SF12-197-1

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. John Vincent Fahy 2. Shaopeng Yuan

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

THIOSACCHARIDE MUCOLYTIC AGENTS

and which is found in

- (a) _____ U.S. provisional application filed herewith and listing the above named persons as inventors
- (b) _____ U.S. patent application filed herewith and listing the above named persons as inventors
- (c) X U.S. provisional application serial no. 61/784,856 filed on March 14, 2013
- (d) _____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby agrees that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further agrees that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, post grant review, inter partes review, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

1) 10 day of MARCH, 2014

2) _____ day of _____, 20____

Signature of Inventor(s)

[Signature]
John Vincent Fahy

Shaopeng Yuan

Assignee hereby accepts this Assignment:

Date: March 10, 2014

[Signature]
Dan Abel, J.D.
Patent Prosecution & Business Manager
Technology Management
Innovation, Technology & Alliances
UCSF

ASSIGNMENTUCSF Case No.: SF12-197-1

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

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2. Shaopeng Yuan

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AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Inventor(s)

1) _____ day of _____, 20__

John Vincent Fahy

2) 8 day of March, 2014

Shaopeng Yuan

Assignee hereby accepts this Assignment: _____

Date: March 10, 2014

Dan Abel
 Dan Abel, J.D.
 Patent Prosecution & Business Manager
 Technology Management
 Innovation, Technology & Alliances
 UCSF

PATENT