## 503558742 11/06/2015

# PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
SEQUENCE:	1

## **CONVEYING PARTY DATA**

Name	Execution Date
HUIXIANG ZHANG	12/08/2014
CHARLES BORING	12/10/2014
YUHONG ZENG	12/09/2014
LI WAN	11/04/2015
ALOK K KULSHRESHTHA	11/02/2015
LAMAN ALANI	11/06/2015

## **RECEIVING PARTY DATA**

Name:	ALCON RESEARCH, LTD.	
Street Address:	6201 SOUTH FWY	
City:	FORT WORTH	
State/Country:	TEXAS	
Postal Code:	76134	

## **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	14934666

## **CORRESPONDENCE DATA**

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 817-551-8649

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ATTORNEY DOCKET NUMBER: PAT056450

ATTORNEY DOCKET NUMBER:	PAT056450
NAME OF SUBMITTER:	TAMIKA ADAMS
SIGNATURE:	/Tamika Adams/
DATE SIGNED:	11/06/2015

This document serves as an Oath/Declaration (37 CFR 1.63).

#### **Total Attachments: 19**

source=PAT056450-2015-11-06 ASN Inventors to ARL#page1.tif source=PAT056450-2015-11-06 ASN Inventors to ARL#page2.tif source=PAT056450-2015-11-06 ASN Inventors to ARL#page3.tif source=PAT056450-2015-11-06 ASN Inventors to ARL#page4.tif source=PAT056450-2015-11-06\_ASN\_Inventors\_to\_ARL#page5.tif source=PAT056450-2015-11-06 ASN Inventors to ARL#page6.tif source=PAT056450-2015-11-06 ASN Inventors to ARL#page7.tif source=PAT056450-2015-11-06\_ASN\_Inventors\_to\_ARL#page8.tif source=PAT056450-2015-11-06\_ASN\_Inventors\_to\_ARL#page9.tif source=PAT056450-2015-11-06 ASN Inventors to ARL#page10.tif source=PAT056450-2015-11-06 ASN Inventors to ARL#page11.tif source=PAT056450-2015-11-06\_ASN\_Inventors\_to\_ARL#page12.tif source=PAT056450-2015-11-06 ASN Inventors to ARL#page13.tif source=PAT056450-2015-11-06 ASN Inventors to ARL#page14.tif source=PAT056450-2015-11-06 ASN Inventors to ARL#page15.tif source=PAT056450-2015-11-06\_ASN\_Inventors\_to\_ARL#page16.tif source=PAT056450-2015-11-06 ASN Inventors to ARL#page17.tif source=PAT056450-2015-11-06 ASN Inventors to ARL#page18.tif source=PAT056450-2015-11-06 ASN Inventors to ARL#page19.tif

## ASSIGNMENT

This Assignment Agreement is entered into by and between Huixiang Zhang, Charles Boring, Alok K. Kulshreshtha, and Yuhong Zeng, c/o 6201 South Freeway, Fort Worth, Texas 76134, citizens of the United States (hereinafter "Inventor(s)"), and Alcon Research, Ltd., 6201 South Freeway, Fort Worth, TX 76134-2099, a company organized under the laws of the State of Delaware.

For good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, I/We the Inventor(s) do hereby confirm and agree that, by operation of law and/or contract, and/or by the fulfillment of any and all legal requirements regarding the transfer of employee inventions in my/our country of employment, Alcon Research, Ltd. is the true and lawful owner of all right, title and interest in all inventions, discoveries, patents/utility models, applications, and rights described in paragraphs (1) through (8) below.

If, however, under the laws of any country, I/We the Inventor(s) nevertheless have any ownership right, title or interest in any of the items described herein (which I/We do not believe to be the case and make no claim in or to), for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, I/We the Inventor(s) do hereby sell, assign and transfer to Alcon Research, Ltd. and its successors, assigns and legal representatives (hereinafter referred to collectively as the "ASSIGNEE"), all of our right, title and interest for all countries of the world in and to

(1) all of our inventions and discoveries described in the provisional or non-provisional patent, utility model, or other application(s) entitled

STABLE PROTEIN SOLUTION FORMULATION CONTAINING HIGH CONCENTRATION OF AN ANTI-VEGF ANTIBODY

Docket No.: PAT056450

and filed in the U.S. Patent and Trademark Office on December 5, 2014 and accorded Application Number 62/088,061;

(2) the application(s) identified in paragraph (1), and all applications claiming priority from such application(s), directly or indirectly, including all national stages of any international patent application(s);

- (3) the right to file patent, utility model, or other applications on any invention or discovery disclosed in any of the applications identified in paragraphs (1) and (2), including the right to file such applications on said inventions and discoveries in the names of ASSIGNEE or their designees or in our/my name, at ASSIGNEE's election and in accordance with applicable law in all countries and regions; and the right to file all patent, utility model, or other applications in all countries and regions claiming the priority of any of the provisional or non-provisional application(s) identified in paragraphs (1) or (2);
- (4) all rights to claim priority from any of the applications referred to in paragraphs (1), (2), and (3) or from any application from which any of the applications referred to in paragraphs (1), (2) and (3) claim priority in all countries and regions under the Paris Convention for the Protection of Industrial Property, the WTO GATT TRIPS Agreement, the Inter-American Convention relating to Inventions, Patents, Designs, and Industrial Models and all other international agreements to which the United States now is or hereafter becomes a signatory and, if the United States patent application is a provisional patent application, under 35 USC 119(e);
- (5) all continuations, continuations-in-part, and divisionals of any United States patent application(s) or international patent application(s) designating the United States, any national stages of any international application(s), and any other patent application(s) described in paragraphs (1) through (4) hereof, including further continuations, continuations-in-part, and divisionals such as, but not limited to, continuations of continuations and continuations of divisionals;
- (6) all patents, utility models, or other grants that issue from any of the applications referred to herein and all rights and remedies associated therewith including the right to sue for and recover past damages and to recover under 35 U.S.C. § 154 (d) or any other law permitting remedies for infringement prior to issuance of the patent;
- (7) all registrations and confirmations of, and importation certificates based upon, one or more of said patents, utility models, or other grants, and applications for such registrations, confirmations and importation certificates and;
- (8) all reissues, renewals and extensions of said patents, utility models, registrations, confirmations and importation certificates, reexamination certificates issued for said patents and supplementary protection certificates based upon said patents and applications for such reissues, renewals, extensions, reexamination certificates and supplementary protection certificates, the same to be held and enjoyed by said ASSIGNEE to the full ends of the terms for which said patents,

I/We the Inventor(s) hereby authorize ASSIGNEE and their representatives to insert in paragraph (1) of this Assignment the filing date(s) and Application Number(s) of said patent, utility model, or other application(s) when notified thereof.

I/We the Inventor(s) hereby covenant and agree that I/We will, at any time, (i) upon the request, but at the expense, of ASSIGNEE, execute and deliver all documents that may be necessary or desirable to perfect the title to the foregoing inventions and discoveries, applications, patents, utility models, registrations, certificates. reissues. renewals. importation confirmations. reexamination certificates, supplementary protection certificates, and applications within the scope of (7) and (8), in ASSIGNEE, including the execution and procurement of all further documents evidencing this sale, assignment and transfer as may be necessary or desirable for recording the same in the patent office or other intellectual property office, agency or the like of any country or region, (ii) upon the request, but at the expense, of ASSIGNEE execute all additional applications within the scope of paragraphs (1) through (6) and all applications within the scope of (7) or (8), and (iii) make all rightful oaths and declarations and do all lawful acts requisite for procuring the same or for aiding therein, without further compensation, but at the expense of ASSIGNEE.

This Assignment is effective as from the earliest priority date as stated above.

Executed this 3th day of Dec., 2014

Huixiang Zhang

WITNESS Signature

HUAHUA JIAN

WITNESS Printed Name

Executed this 8th day of Qec., 2014

Alcon Research, Ltd.

Person authorized to execute on behalf of NOVARTIS EMPLOYING COMPANY

Executed this day of 20%
Charle Kang
Charles Boring O
Mar Etz
WITNESS Signature
HuixiANG ZHANG
WITNESS Printed Name
Executed this 10 Hay of 120., 201H
Alcon Research, Ltd.
BY Olly Rose
Person authorized to execute on behalf of NOVARTIS EMPLOYING COMPANY

Executed this day of, 20 .
Alok Kulshreshtha
WITNESS Signature
WITNESS Printed Name
Executed this, 20 .
Alcon Research, Ltd.
BY Person authorized to execute on behalf of NOVARTIS EMPLOYING COMPANY

Executed thise? day oft>_, 2014
Yuhong Zeng
WITNESS Signature
Karen Tou
WITNESS Printed Name
Executed this $6^{f^{2n}}$ day of $12^{n}$ day of $12^{n}$
Alcon Research, Ltd.
BY //WW Lac
Person authorized to execute on behalf of NOVARTIS EMPLOYING COMPANY

## **ASSIGNMENT**

This Assignment Agreement is entered into by and between Li Wan, c/o 6201 South Freeway, Fort Worth, Texas 76134, citizens of the United States (hereinafter "Inventor(s)"), and Alcon Research, Ltd., 6201 South Freeway, Fort Worth, TX 76134-2099, a company organized under the laws of the State of Delaware.

For good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, I/We the Inventor(s) do hereby confirm and agree that, by operation of law and/or contract, and/or by the fulfillment of any and all legal requirements regarding the transfer of employee inventions in my/our country of employment, Alcon Research, Ltd. is the true and lawful owner of all right, title and interest in all inventions, discoveries, patents/utility models, applications, and rights described in paragraphs (1) through (8) below.

If, however, under the laws of any country, I/We the Inventor(s) nevertheless have any ownership right, title or interest in any of the items described herein (which I/We do not believe to be the case and make no claim in or to), for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, I/We the Inventor(s) do hereby sell, assign and transfer to Alcon Research, Ltd. and its successors, assigns and legal representatives (hereinafter referred to collectively as the "ASSIGNEE"), all of our right, title and interest for all countries of the world in and to

(1) all of our inventions and discoveries described in the provisional or non-provisional patent, utility model, or other application(s) entitled

STABLE PROTEIN SOLUTION FORMULATION CONTAINING HIGH CONCENTRATION OF AN ANTI-VEGF ANTIBODY Docket No.: PAT056450

and filed in the U.S. Patent and Trademark Office on December 5, 2014 and accorded Application Number 62/088,061;

(2) the application(s) identified in paragraph (1), and all applications claiming priority from such application(s), directly or indirectly, including all national stages of any international patent application(s);

- (3) the right to file patent, utility model, or other applications on any invention or discovery disclosed in any of the applications identified in paragraphs (1) and (2), including the right to file such applications on said inventions and discoveries in the names of ASSIGNEE or their designees or in our/my name, at ASSIGNEE's election and in accordance with applicable law in all countries and regions; and the right to file all patent, utility model, or other applications in all countries and regions claiming the priority of any of the provisional or non-provisional application(s) identified in paragraphs (1) or (2);
- (4) all rights to claim priority from any of the applications referred to in paragraphs (1), (2), and (3) or from any application from which any of the applications referred to in paragraphs (1), (2) and (3) claim priority in all countries and regions under the Paris Convention for the Protection of Industrial Property, the WTO GATT TRIPS Agreement, the Inter-American Convention relating to Inventions, Patents, Designs, and Industrial Models and all other international agreements to which the United States now is or hereafter becomes a signatory and, if the United States patent application is a provisional patent application, under 35 USC 119(e);
- (5) all continuations, continuations-in-part, and divisionals of any United States patent application(s) or international patent application(s) designating the United States, any national stages of any international application(s), and any other patent application(s) described in paragraphs (1) through (4) hereof, including further continuations, continuations-in-part, and divisionals such as, but not limited to, continuations of continuations and continuations of divisionals;
- (6) all patents, utility models, or other grants that issue from any of the applications referred to herein and all rights and remedies associated therewith including the right to sue for and recover past damages and to recover under 35 U.S.C. § 154 (d) or any other law permitting remedies for infringement prior to issuance of the patent;
- (7) all registrations and confirmations of, and importation certificates based upon, one or more of said patents, utility models, or other grants, and applications for such registrations, confirmations and importation certificates and;
- (8) all reissues, renewals and extensions of said patents, utility models, registrations, confirmations and importation certificates, reexamination certificates issued for said patents and supplementary protection certificates based upon said patents and applications for such reissues, renewals, extensions, reexamination certificates and supplementary protection certificates, the same to be held and enjoyed by said ASSIGNEE to the full ends of the terms for which said patents,

I/We the Inventor(s) hereby authorize ASSIGNEE and their representatives to insert in paragraph (1) of this Assignment the filing date(s) and Application Number(s) of said patent, utility model, or other application(s) when notified thereof.

I/We the Inventor(s) hereby covenant and agree that I/We will, at any time. (i) upon the request, but at the expense, of ASSIGNEE, execute and deliver all documents that may be necessary or desirable to perfect the title to the foregoing inventions and discoveries, applications, patents, utility models, registrations, confirmations. importation certificates. reissues. renewals, extensions. reexamination certificates, supplementary protection certificates, and applications within the scope of (7) and (8), in ASSIGNEE, including the execution and procurement of all further documents evidencing this sale, assignment and transfer as may be necessary or desirable for recording the same in the patent office or other intellectual property office, agency or the like of any country or region, (ii) upon the request, but at the expense, of ASSIGNEE execute all additional applications within the scope of paragraphs (1) through (6) and all applications within the scope of (7) or (8), and (iii) make all rightful oaths and declarations and do all lawful acts requisite for procuring the same or for aiding therein, without further compensation, but at the expense of ASSIGNEE.

This Assignment is effective as from the earliest priority date as stated above.

Executed this 4 day of November 2015.

Li Wan

FRANKLIN AKONEAH WITNESS Printed Name

Executed this day of Agreember 2015.

Alcon Research, Ltd.

Authorized Signatory

## **ASSIGNMENT**

This Assignment Agreement is entered into by and between Alok K. Kulshreshtha, 3329 Lexington Avenue, Grapevine, TX 76051, citizen of the United States (hereinafter "Inventor(s)"), and Alcon Research, Ltd., 6201 South Freeway, Fort Worth, TX 76134-2099, a company organized under the laws of the State of Delaware.

For good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, I/We the Inventor(s) do hereby confirm and agree that, by operation of law and/or contract, and/or by the fulfillment of any and all legal requirements regarding the transfer of employee inventions in my/our country of employment, Alcon Research, Ltd. is the true and lawful owner of all right, title and interest in all inventions, discoveries, patents/utility models, applications, and rights described in paragraphs (1) through (8) below.

If, however, under the laws of any country, I/We the Inventor(s) nevertheless have any ownership right, title or interest in any of the items described herein (which I/We do not believe to be the case and make no claim in or to), for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, I/We the Inventor(s) do hereby sell, assign and transfer to Alcon Research, Ltd. and its successors, assigns and legal representatives (hereinafter referred to collectively as the "ASSIGNEE"), all of our right, title and interest for all countries of the world in and to

(1) all of our inventions and discoveries described in the provisional or non-provisional patent, utility model, or other application(s) entitled

STABLE PROTEIN SOLUTION FORMULATION CONTAINING HIGH CONCENTRATION OF AN ANTI-VEGF ANTIBODY Docket No.: PAT056450

and filed in the U.S. Patent and Trademark Office on December 5, 2014 and accorded Application Number 62/088,061;

(2) the application(s) identified in paragraph (1), and all applications claiming priority from such application(s), directly or indirectly, including all national stages of any international patent application(s);

- (3) the right to file patent, utility model, or other applications on any invention or discovery disclosed in any of the applications identified in paragraphs (1) and (2), including the right to file such applications on said inventions and discoveries in the names of ASSIGNEE or their designees or in our/my name, at ASSIGNEE's election and in accordance with applicable law in all countries and regions; and the right to file all patent, utility model, or other applications in all countries and regions claiming the priority of any of the provisional or non-provisional application(s) identified in paragraphs (1) or (2);
- (4) all rights to claim priority from any of the applications referred to in paragraphs (1), (2), and (3) or from any application from which any of the applications referred to in paragraphs (1), (2) and (3) claim priority in all countries and regions under the Paris Convention for the Protection of Industrial Property, the WTO GATT TRIPS Agreement, the Inter-American Convention relating to Inventions, Patents, Designs, and Industrial Models and all other international agreements to which the United States now is or hereafter becomes a signatory and, if the United States patent application is a provisional patent application, under 35 USC 119(e);
- (5) all continuations, continuations-in-part, and divisionals of any United States patent application(s) or international patent application(s) designating the United States, any national stages of any international application(s), and any other patent application(s) described in paragraphs (1) through (4) hereof, including further continuations, continuations-in-part, and divisionals such as, but not limited to, continuations of continuations and continuations of divisionals;
- (6) all patents, utility models, or other grants that issue from any of the applications referred to herein and all rights and remedies associated therewith including the right to sue for and recover past damages and to recover under 35 U.S.C. § 154 (d) or any other law permitting remedies for infringement prior to issuance of the patent;
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This Assignment is effective as from the earliest priority date as stated above.

Executed this 2<sup>nd</sup> day of November 2015.

Alok K. Kulshreshtha

WITNESS/Signature

WITNESS Printed Name

Executed this day of love 2015.

Alcon Research, Ltd.

Authorized Signatury

## ASSIGNMENT

This Assignment Agreement is entered into by and between Laman Alani, c/o 6201 South Freeway, Fort Worth, Texas 76134, citizens of the United States (hereinafter "Inventor(s)"), and Alcon Research, Ltd., 6201 South Freeway, Fort Worth, TX 76134-2099, a company organized under the laws of the State of Delaware.

For good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, I/We the Inventor(s) do hereby confirm and agree that, by operation of law and/or contract, and/or by the fulfillment of any and all legal requirements regarding the transfer of employee inventions in my/our country of employment, Alcon Research, Ltd. is the true and lawful owner of all right, title and interest in all inventions, discoveries, patents/utility models, applications, and rights described in paragraphs (1) through (8) below.

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STABLE PROTEIN SOLUTION FORMULATION CONTAINING HIGH CONCENTRATION OF AN ANTI-VEGF ANTIBODY

Docket No.: PAT056450

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- (4) all rights to claim priority from any of the applications referred to in paragraphs (1), (2), and (3) or from any application from which any of the applications referred to in paragraphs (1), (2) and (3) claim priority in all countries and regions under the Paris Convention for the Protection of Industrial Property, the WTO GATT TRIPS Agreement, the Inter-American Convention relating to Inventions, Patents, Designs, and Industrial Models and all other international agreements to which the United States now is or hereafter becomes a signatory and, if the United States patent application is a provisional patent application, under 35 USC 119(e);
- (5) all continuations, continuations-in-part, and divisionals of any United States patent application(s) or international patent application(s) designating the United States, any national stages of any international application(s), and any other patent application(s) described in paragraphs (1) through (4) hereof, including further continuations, continuations-in-part, and divisionals such as, but not limited to, continuations of continuations and continuations of divisionals;
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- (7) all registrations and confirmations of, and importation certificates based upon, one or more of said patents, utility models, or other grants, and applications for such registrations, confirmations and importation certificates and;
- (8) all reissues, renewals and extensions of said patents, utility models, registrations, confirmations and importation certificates, reexamination certificates issued for said patents and supplementary protection certificates based upon said patents and applications for such reissues, renewals, extensions, reexamination certificates and supplementary protection certificates, the same to be held and enjoyed by said ASSIGNEE to the full ends of the terms for which said patents,

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This Assignment is effective as from the earliest priority date as stated above.

Executed this 06 day of 11,2015

Laman Alani

WITNESS Signature

WITNESS Printed Name

Executed this Die day of 11, 201.5

Alcon Research, Ltd.

4