

Form PTO-1595 (Rev. 07/05)
OMB No. 0651-0027 (exp. 6/30/2008)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

CAR

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

S-110,990

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

ADA Technologies, Inc.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) October 6, 2015

- ☐ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☒ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: United States Department of Energy

Internal Address: _____

Street Address: 1000 Independence Ave., S.W.

City: Washington

State: D.C.

Country: USA Zip: 20585

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

Serial No. 11/832,089

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Michael J. Dobbs

Internal Address: U. S. Dept. of Energy, IPLD

Street Address: 9800 S. Cass Ave

City: Argonne

State: IL Zip: 60439

Phone Number: 630-252-2164

Fax Number: 630-252-2779

Email Address: Confirmatory.License@ch.doe.gov

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ _____

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☐ Enclosed
☒ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number _____

Authorized User Name _____

9. Signature:



Signature

10/26/2015

Date

John D. Cravero #71,245
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

2

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450



Department of Energy Office of
Science
science.energy.gov/lsc/services/legal/

CONFIRMATORY LICENSE

Title of invention: High Performance Ultracapacitors with Carbon Nanomaterials and
Inventor(s): Wen Lu and Kent Henry Ionics Liquids

Patent Application Serial No: 11/832,089

Filing Date 8/1/2007

Patent Number: 8,284,539

Issue Date: 10/9/2012

Name of Contractor (i.e. Contractor, Subcontractor, Grant, or Cooperative Agreement, as applicable):

Name of Contractor: ADA Technologies, Inc.

DOE Contract No. (i.e. Contract, Subcontract, Grant, or Cooperative Agreement, as applicable):

DE-FG02-05ER84218

DOE Case No.: S710,990

Contractor Docket No.: 2006-01

The Contractor has reported the above-identified invention as a Subject Invention to DOE and has elected to retain title thereto.

Accordingly, this document is confirmatory of the nonexclusive, nontransferable, irrevocable, paid-up, worldwide license in this subject invention, patent application and any resulting patent, including any continuation, divisional, reissue, or supplemental application thereof, to practice or to have practiced for or on behalf of the United States throughout the world; and of all other rights acquired by the Government by the patent rights clause in the above-identified contract.

It is understood and agreed that this license does not preclude the Government from asserting rights under the provisions of said contract or of any other agreement between the Government and the Contractor, or from asserting any other rights of the Government with respect to the above-identified subject invention.

The Government is hereby granted an irrevocable power to inspect and make copies of the above-identified patent application.

Signed on: 10/6/2015

Contractor Name ADA Technologies, Inc.

Official's Name James P. Budimlya

Official's Title President & CEO

Business Address 8100 Shaffer Parkway, Suite 130, Littleton, CO 80127

PATENT

RECORDED: 10/26/2015

REEL: 036985 FRAME: 0671