

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3607526

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
ANDREW MCGILLICUDDY	09/30/2015
ANDY H. LEVINE	02/25/2014
NEIL F. DUFFY JR.	10/02/2015
RECEIVING PARTY DATA	
Name:	ENDOCELLUTIONS, INC.
Street Address:	475 SCHOOL STREET
Internal Address:	S12
City:	MARSHFIELD
State/Country:	MASSACHUSETTS
Postal Code:	02050
PROPERTY NUMBERS Total: 1	
Property Type	Number
PCT Number:	US2013067358
CORRESPONDENCE DATA	
Fax Number:	(978)341-0136
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	978-341-0036
Email:	rose.goguen@hbsr.com
Correspondent Name:	JAMES M. SMITH, ESQ.
Address Line 1:	530 VIRGINIA ROAD, P. O. BOX 9133
Address Line 2:	HAMILTON BROOK SMITH & REYNOLDS, P.C.
Address Line 4:	CONCORD, MASSACHUSETTS 01742-9133
ATTORNEY DOCKET NUMBER:	3978.1004-003
NAME OF SUBMITTER:	ROSE GOGUEN
SIGNATURE:	/Rose Goguen/
DATE SIGNED:	11/06/2015
Total Attachments: 9	
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source=3978.1004-003 PCT, executed Assignment#page9.tif

JointASSIGNMENT

WHEREAS, we, **Andrew McGillicuddy, Andy H. Levine, and Neil F. Duffy, Jr.**, have invented a certain improvement in **Apparatus and Methods For Aspirating Tissue** described in an application for Patent,

- ☐ the specification of which is about to be filed in the United States Patent Office (*use for utility (37 CFR § 1.53(b)) and design filings only*);
- ☐ is about to be filed in the United States Patent Office as a Provisional Application;
- ☐ the specification of which is United States Application No. [], filed [];
- ☒ the specification of which is a Patent Cooperation Treaty Application, International Application No. PCT/US2013/067358, filed October 29, 2013, which designates the United States of America;
- ☐ which was patented under United States Patent No. [].

WHEREAS, **EndoCellutions, Inc.** (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the **State of Delaware**, and having a usual place of business at **475 School Street, S12, Marshfield, Massachusetts 02050 US** desires to confirm its interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with the entire right, title and interest in and to said application and such Letters Patent as may issue on said invention; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of the entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for any and all related provisional and nonprovisional, international and national phase applications, including any and all substitution, reissue, divisional, continuation, and continuation-in-part applications, and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein; we hereby expressly authorize the filing of an International Patent Application under the Patent Cooperation Treaty which corresponds to and claims the priority of the above-identified application;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of any future applications including substitution, reissue, divisional or continuation, continuation-in-part applications, and all related provisional and nonprovisional, international and national phase applications, and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

AND, we do hereby authorize and request each Patent Office and the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said invention to said ASSIGNEE, its successors, assigns, and legal representatives.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.

Inventor's Signature: _____

Andrew McGillicuddy

State/Commonwealth
of MA

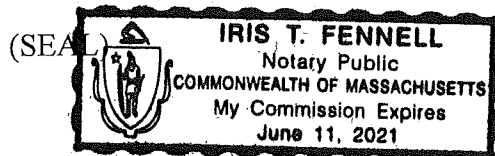
County of Suffolk

On this 30th day of September 2015, before me, the undersigned notary public, personally appeared Andrew McGillicuddy,

☐ personally known to me, or

☒ proved to me through satisfactory evidence of identification, which was driver's license

to be the person whose name was signed on the foregoing instrument in my presence, and acknowledged that he/she signed the foregoing instrument as his/her free act and deed.



Notary Public _____

Iris T. Fennell (print name)

My Commission expires 06 / 11 / 2021

Inventor's Signature: _____

Andy H. Levine

State/Commonwealth

of _____

County of _____

On this _____ day of _____ 20____, before me, the undersigned notary public, personally appeared Andy H. Levine,

☐ personally known to me, or

☐ proved to me through satisfactory evidence of identification, which was

to be the person whose name was signed on the foregoing instrument in my presence, and acknowledged that he/she signed the foregoing instrument as his/her free act and deed.

(SEAL)

Notary Public _____

(print name)

My Commission expires ____/____/____

Inventor's Signature: _____

Neil F. Duffy, Jr.

State/Commonwealth

of _____

County of _____

On this _____ day of _____ 20____, before me, the undersigned notary public, personally appeared Neil F. Duffy, Jr.,

☐ personally known to me, or

☐ proved to me through satisfactory evidence of identification, which was

to be the person whose name was signed on the foregoing instrument in my presence, and acknowledged that he/she signed the foregoing instrument as his/her free act and deed.

(SEAL)

Notary Public _____

(print name)

My Commission expires ____/____/____

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- ☐ the specification of which is United States Application No. [], filed [];
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WHEREAS, **EndoCellutions, Inc.** (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the **State of Delaware**, and having a usual place of business at **475 School Street, S12, Marshfield, Massachusetts 02050** US desires to confirm its interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with the entire right, title and interest in and to said application and such Letters Patent as may issue on said invention; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of the entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for any and all related provisional and nonprovisional, international and national phase applications, including any and all substitution, reissue, divisional, continuation, and continuation-in-part applications, and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein; we hereby expressly authorize the filing of an International Patent Application under the Patent Cooperation Treaty which corresponds to and claims the priority of the above-identified application;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of any future applications including substitution, reissue, divisional or continuation, continuation-in-part applications, and all related provisional and nonprovisional, international and national phase applications, and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

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IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.

Inventor's Signature: _____
 Andrew McGillicuddy

State/Commonwealth
 of _____
 County of _____

On this _____ day of _____, 20____, before me, the undersigned notary public, personally appeared Andrew McGillicuddy,

☐ personally known to me, or

☐ proved to me through satisfactory evidence of identification, which was

to be the person whose name was signed on the foregoing instrument in my presence, and acknowledged that he/she signed the foregoing instrument as his/her free act and deed.

(SEAL)

Notary Public _____
 _____ (print name)

My Commission expires ____ / ____ / ____

Inventor's Signature: _____

Andy H. Levine

State/Commonwealth
of Massachusetts

County of Suffolk

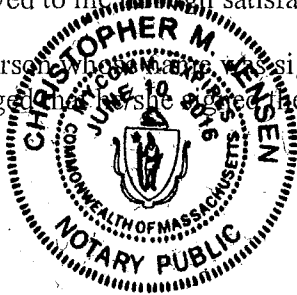
On this 25th day of February 25 20 17, before me, the undersigned notary public, personally appeared Andy H. Levine,

☐ personally known to me, or

☒ proved to me through satisfactory evidence of identification, which was

to be the person whose name was signed on the foregoing instrument in my presence, and acknowledged that he/she signed the foregoing instrument as his/her free act and deed.

(SEAL)



Notary Public _____

Christopher M. Jensen (print name)

My Commission expires 06 / 10 / 2016

Inventor's Signature: _____

Neil F. Duffy, Jr.

State/Commonwealth
of _____

County of _____

On this _____ day of _____, 20____, before me, the undersigned notary public, personally appeared Neil F. Duffy, Jr.,

☐ personally known to me, or

☐ proved to me through satisfactory evidence of identification, which was

to be the person whose name was signed on the foregoing instrument in my presence, and acknowledged that he/she signed the foregoing instrument as his/her free act and deed.

(SEAL)

Notary Public _____

(print name)

My Commission expires ____ / ____ / ____

Joint

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IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.

Inventor's Signature: _____
Andrew McGillicuddy

State/Commonwealth
of _____
County of _____

On this _____ day of _____, 20____, before me, the undersigned notary public, personally appeared Andrew McGillicuddy,

- ☐ personally known to me, or
☐ proved to me through satisfactory evidence of identification, which was

to be the person whose name was signed on the foregoing instrument in my presence, and acknowledged that he/she signed the foregoing instrument as his/her free act and deed.

(SEAL) Notary Public _____

(print name)
My Commission expires ____/____/____

Inventor's Signature: _____
Andy H. Levine

State/Commonwealth
of _____
County of _____

On this _____ day of _____, 20____, before me, the undersigned notary public, personally appeared Andy H. Levine,

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☐ proved to me through satisfactory evidence of identification, which was

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(SEAL) Notary Public _____

(print name)
My Commission expires ____/____/____

Inventor's Signature: _____
Neil F. Duffy, Jr.

State/Commonwealth
of MASSACHUSETTS
County of Suffolk

On this 2nd day of OCTOBER, 2015, before me, the undersigned notary public, personally appeared Neil F. Duffy, Jr.,

- ☐ personally known to me, or
☒ proved to me through satisfactory evidence of identification, which was MA DL

to be the person whose name was signed on the foregoing instrument in my presence, and acknowledged that he/she signed the foregoing instrument as his/her free act and deed.

(SEAL) Notary Public _____

(print name)
My Commission expires 4/16/2021

