

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3609893

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	CHANGE OF NAME
CONVEYING PARTY DATA	
Name	Execution Date
NDI, INC.	02/07/2013
RECEIVING PARTY DATA	
Name:	NEW DIMENSION ONE SPAS, INC.
Street Address:	13925 CITY CENTER DRIVE
Internal Address:	SUITE 200
City:	CHINO HILLS
State/Country:	CALIFORNIA
Postal Code:	91709
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14834625
CORRESPONDENCE DATA	
Fax Number:	(412)945-5933
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	412-471-8815
Email:	assignments@webblaw.com
Correspondent Name:	THE WEBB LAW FIRM, P.C.
Address Line 1:	ONE GATEWAY CENTER
Address Line 2:	420 FT. DUQUESNE BLVD, SUITE 1200
Address Line 4:	PITTSBURGH, PENNSYLVANIA 15222
ATTORNEY DOCKET NUMBER:	7036-155942
NAME OF SUBMITTER:	PAUL M. REZNICK
SIGNATURE:	/Paul M. Reznick/
DATE SIGNED:	11/10/2015
Total Attachments: 13	
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**RECORDATION FORM COVER SHEET
PATENTS ONLY**

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

ND1, INC.

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) February 7, 2013

- Assignment Merger
- Security Agreement Change of Name
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other _____

2. Name and address of receiving party(ies)

Name: NEW DIMENSION ONE SPAS, INC.

Internal Address: _____

Street Address: 13925 City Center Drive

Suite 200

City: Chino Hills

State: CA

Country: US Zip: 91709

Additional name(s) & address(es) attached? Yes No

4. Application or patent number(s):

This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

14/834,625

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Paul M. Reznick

Internal Address: The Webb Law Firm

Street Address: One Gateway Center

420 Ft. Duquesne Blvd., Ste 1200

City: Pittsburgh

State: PA Zip: 15222

Phone Number: 412-471-8815

Docket Number: 7036 - 155942

Email Address: assignments@webblaw.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ _____

- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

8. Payment Information

Deposit Account Number _____

Authorized User Name _____

9. Signature:



Signature

November 10, 2015

Date

Paul M. Reznick, Reg. No. 33,059

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

13

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

OCTOBER 17, 2013

PTAS

THE WEBB LAW FIRM
ONE GATEWAY CENTER
420 FT. DUQUESNE BLVD., SUITE 1200
PITTSBURGH, PA 15222

502532859

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT RECORDATION BRANCH OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE ASSIGNMENT RECORDATION BRANCH AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT RECORDATION BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 10/15/2013

REEL/FRAME: 031407/0455
NUMBER OF PAGES: 5

BRIEF: CHANGE OF NAME (SEE DOCUMENT FOR DETAILS).

DOCKET NUMBER: 7036-130480

ASSIGNOR:
NDI, INC.

DOC DATE: 02/07/2013

ASSIGNEE:
NEW DIMENSION ONE SPAS, INC.
13925 CITY CENTER DRIVE
SUITE 200
CHINO HILLS, CALIFORNIA 91709

APPLICATION NUMBER: 07716585
PATENT NUMBER: 5131102
TITLE: SPA COVER LIFT ASSEMBLY

FILING DATE: 06/17/1991
ISSUE DATE: 07/21/1992

APPLICATION NUMBER: 08147171
PATENT NUMBER: 5495627
TITLE: COMBINATION ADJUSTABLE JET VALVE

FILING DATE: 11/02/1993
ISSUE DATE: 03/05/1996

APPLICATION NUMBER: 08356451
PATENT NUMBER: 5517703
TITLE: SPA COVER LIFT

FILING DATE: 12/14/1994
ISSUE DATE: 05/21/1996

APPLICATION NUMBER: 08421437 FILING DATE: 04/12/1995
PATENT NUMBER: 5584081 ISSUE DATE: 12/17/1996
TITLE: ADJUSTABLE LIFTING APPARATUS FOR A SPA

APPLICATION NUMBER: 08445411 FILING DATE: 05/19/1995
PATENT NUMBER: 5634218 ISSUE DATE: 06/03/1997
TITLE: ADJUSTABLE LIFTING APPARATUS FOR A SPA

APPLICATION NUMBER: 08595488 FILING DATE: 02/01/1996
PATENT NUMBER: 5682625 ISSUE DATE: 11/04/1997
TITLE: HOT TUB WITH ADJUSTABLE HEADREST WITH WATER JET

APPLICATION NUMBER: 08730145 FILING DATE: 10/15/1996
PATENT NUMBER: 5665228 ISSUE DATE: 09/09/1997
TITLE: OZONE MIXING SYSTEM FOR A HYDROTHERAPY SPA

APPLICATION NUMBER: 10211078 FILING DATE: 08/01/2002
PATENT NUMBER: 7597652 ISSUE DATE: 10/06/2009
TITLE: HYDROTHERAPY MOUNTING APPARATUS AND EXERCISE SYSTEM

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PATENT NUMBER: 6857139 ISSUE DATE: 02/22/2005
TITLE: TACTILE THERAPY SYSTEM FOR SPAS

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PATENT NUMBER: 6839919 ISSUE DATE: 01/11/2005
TITLE: CURVILINEAR SPA FRAME

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TITLE: SPA OR POOL SWITCH

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TITLE: AUXILIARY SPA MODULE

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TITLE: FLEXING SUPPORT IN A SPA

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PATENT NUMBER: 7178178 ISSUE DATE: 02/20/2007
TITLE: INDUCTIVE PERIPHERAL

APPLICATION NUMBER: 11034427 FILING DATE: 01/11/2005
PATENT NUMBER: 7013503 ISSUE DATE: 03/21/2006
TITLE: TACTILE THERAPY SYSTEM FOR SPAS

APPLICATION NUMBER: 11097717 FILING DATE: 04/01/2005
PATENT NUMBER: 7000264 ISSUE DATE: 02/21/2006
TITLE: AUXILIARY SPA MODULE

APPLICATION NUMBER: 11669844 FILING DATE: 01/31/2007
PATENT NUMBER: ISSUE DATE:
TITLE: CURVILINEAR SPA

APPLICATION NUMBER: 11676931 FILING DATE: 02/20/2007
PATENT NUMBER: 8069505 ISSUE DATE: 12/06/2011
TITLE: JET FOR WATER CONTAINMENT VESSELS

APPLICATION NUMBER: 11676972 FILING DATE: 02/20/2007
PATENT NUMBER: 7604364 ISSUE DATE: 10/20/2009
TITLE: LIGHTING FIXTURE

APPLICATION NUMBER: 12016841 FILING DATE: 01/18/2008
PATENT NUMBER: 7884335 ISSUE DATE: 02/08/2011
TITLE: WATER TREATMENT SYSTEM

APPLICATION NUMBER: 29049365 FILING DATE: 01/24/1996
PATENT NUMBER: D384479 ISSUE DATE: 09/30/1997
TITLE: LIFTING FRAME FOR A SPA COVER

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PATENT NUMBER: D482126 ISSUE DATE: 11/11/2003
TITLE: SPA BODY SUPPORT

APPLICATION NUMBER: 29169713 FILING DATE: 10/23/2002
PATENT NUMBER: D484607 ISSUE DATE: 12/30/2003
TITLE: SPA SHELL

APPLICATION NUMBER: 29189806 FILING DATE: 09/10/2003
PATENT NUMBER: D495802 ISSUE DATE: 09/07/2004
TITLE: SPA SHELL

APPLICATION NUMBER: 29190770 FILING DATE: 09/25/2003
PATENT NUMBER: D501010 ISSUE DATE: 01/18/2005
TITLE: SPA SHAPED CD

APPLICATION NUMBER: 29208642 FILING DATE: 06/30/2004
PATENT NUMBER: D594560 ISSUE DATE: 06/16/2009
TITLE: CURVILINEAR SPA SHELL

APPLICATION NUMBER: 29218268 FILING DATE: 11/29/2004
PATENT NUMBER: D547876 ISSUE DATE: 07/31/2007
TITLE: TRIANGULAR CURVILINEAR SPA SHELL

APPLICATION NUMBER: 29218273 FILING DATE: 11/29/2004
PATENT NUMBER: D547877 ISSUE DATE: 07/31/2007
TITLE: TRIANGULAR CURVILINEAR SPA SHELL WITH STEPS

APPLICATION NUMBER: 29218456 FILING DATE: 12/01/2004
PATENT NUMBER: D544611 ISSUE DATE: 06/12/2007
TITLE: TILE-LINE LOGO

ASSIGNMENT RECORDATION BRANCH
PUBLIC RECORDS DIVISION

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT2578171

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	CHANGE OF NAME
CONVEYING PARTY DATA	
Name	Execution Date
ND1, INC.	02/07/2013
RECEIVING PARTY DATA	
Name:	NEW DIMENSION ONE SPAS, INC.
Street Address:	13925 CITY CENTER DRIVE
Internal Address:	SUITE 200
City:	CHINO HILLS
State/Country:	CALIFORNIA
Postal Code:	91709
PROPERTY NUMBERS Total: 30	
Property Type	Number
Patent Number:	5131102
Patent Number:	5495627
Patent Number:	5517703
Patent Number:	5584081
Patent Number:	5634218
Patent Number:	D384479
Patent Number:	5682625
Patent Number:	5665228
Patent Number:	7597652
Patent Number:	6839919
Patent Number:	D482126
Patent Number:	D484607
Patent Number:	6775863
Patent Number:	6857139

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FILED
Secretary of State
State of California
FEB 12 2013

CERTIFICATE OF AMENDMENT
OF
ARTICLES OF INCORPORATION

Dave Hellman and Nicole Simetz certify that:

1. They are a Vice President and the Assistant Secretary, respectively, of NDI, Inc., a California corporation.

2. The Articles of Incorporation of NDI, Inc. are hereby amended as follows:

Article I of the Articles of Incorporation is hereby amended to read as follows:

"The name of the Corporation is New Dimension One Spas, Inc."

3. The foregoing amendment of the Articles of Incorporation has been duly approved by the Board of Directors.

4. The foregoing amendment of the Articles of Incorporation has been duly approved by the required vote of shareholders in accordance with Section 902 of the California Corporations Code; At the time of the required vote the total number of outstanding shares of the corporation was 100. The number of shares voting in favor of the amendment exceeded the vote required. The percentage vote required was more than fifty percent (50%).

We further declare under penalty of perjury under the laws of the State of California that the matters set forth in this Certificate are true and correct of our own knowledge.

Dated: February 7, 2013


Dave Hellman, Vice President


Nicole Simetz, Assistant Secretary

RECORDED: 06/13/2013

TRADEMARK
REEL: 005047 FRAME: 0027

PATENT
REEL: 037004 FRAME: 0230

Exhibit A

Patent No.

5,131,102
5,495,627
5,517,703
5,584,081
5,634,218
D384,479
5,682,625
5,665,228
7,597,652
6,839,919
D482,126
D484,607
6,775,863
6,857,139
6,868,563
6,904,625
D495,802
D501,010
7,310,835
D594,560
7,178,178
D547,876
D547,877
D544,611
7,013,503
7,000,264
8,069,505
7,604,364
7,884,335

Application No.

11/669,844



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

OCTOBER 8, 2013

PTAS

THE WEBB LAW FIRM
ONE GATEWAY CENTER
420 FT. DUQUESNE BLVD., SUITE 1200
PITTSBURGH, PA 15222

502503998

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RECORDATION DATE: 09/20/2013

REEL/FRAME: 031252/0165
NUMBER OF PAGES: 7

BRIEF: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

DOCKET NUMBER: 7036-130480

ASSIGNOR:

DIMENSION ONE SPAS, INC.

DOC DATE: 09/03/2013

ASSIGNEE:

ND1, INC.
13925 CITY CENTER DRIVE
SUITE 200
CHINO HILLS, CALIFORNIA 91709

APPLICATION NUMBER: 07716585

PATENT NUMBER: 5131102

TITLE: SPA COVER LIFT ASSEMBLY

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PATENT NUMBER: 7597652 ISSUE DATE: 10/06/2009
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TITLE: FLEXING SUPPORT IN A SPA

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PATENT NUMBER: 7178178 ISSUE DATE: 02/20/2007
TITLE: INDUCTIVE PERIPHERAL

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TITLE: CURVILINEAR SPA

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PATENT NUMBER: 8069505 ISSUE DATE: 12/06/2011
TITLE: JET FOR WATER CONTAINMENT VESSELS

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PATENT NUMBER: 7604364 ISSUE DATE: 10/20/2009
TITLE: LIGHTING FIXTURE

APPLICATION NUMBER: 12016841 FILING DATE: 01/18/2008
PATENT NUMBER: 7884335 ISSUE DATE: 02/08/2011
TITLE: WATER TREATMENT SYSTEM

APPLICATION NUMBER: 29049365 FILING DATE: 01/24/1996
PATENT NUMBER: D384479 ISSUE DATE: 09/30/1997
TITLE: LIFTING FRAME FOR A SPA COVER

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PATENT NUMBER: D482126 ISSUE DATE: 11/11/2003
TITLE: SPA BODY SUPPORT

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PATENT NUMBER: D484607 ISSUE DATE: 12/30/2003
TITLE: SPA SHELL

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PATENT NUMBER: D495802 ISSUE DATE: 09/07/2004
TITLE: SPA SHELL

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PATENT NUMBER: D501010 ISSUE DATE: 01/18/2005
TITLE: SPA SHAPED CD

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PATENT NUMBER: D547876 ISSUE DATE: 07/31/2007
TITLE: TRIANGULAR CURVILINEAR SPA SHELL

APPLICATION NUMBER: 29218273 FILING DATE: 11/29/2004
PATENT NUMBER: D547877 ISSUE DATE: 07/31/2007
TITLE: TRIANGULAR CURVILINEAR SPA SHELL WITH STEPS

APPLICATION NUMBER: 29218456 FILING DATE: 12/01/2004
PATENT NUMBER: D544611 ISSUE DATE: 06/12/2007
TITLE: TILE-LINE LOGO

ASSIGNMENT RECORDATION BRANCH
PUBLIC RECORDS DIVISION

PATENT ASSIGNMENT CONFIRMATION

This Assignment of Patents and Patent Applications made as of February 6, 2013 by Dimension One Spas, Inc., a California Corporation ("Assignor"), to ND1, Inc., a California Corporation ("Assignee").

WHEREAS, Assignee, Assignor, and Robert Hallam and Linda Hallam, individually and as trustees of the Hallam Revocable Trust dated January 10, 1992, have entered into that certain Asset Purchase Agreement dated as of February 4, 2013 (the "Purchase Agreement"), pursuant to which Assignee is purchasing certain assets from Assignor as set forth in the Purchase Agreement. Capitalized terms not otherwise defined herein shall have the meanings assigned to them in the Purchase Agreement.

WHEREAS, Dimension One Spas, Inc. desires to transfer to Assignee of all right, title, and interest to the inventions in the patent applications and issued patents listed in Exhibit A attached hereto and the foreign patent applications and foreign patents corresponding to the patent applications and patents listed in Exhibit A and the inventions and improvements therein disclosed for the United States and all foreign countries and any Letters Patent which may issue therefor in the United States and all foreign countries and all non-provisional patent applications, divisions, reissues, continuations, continuations-in-part, renewals, and/or extensions thereof (collectively, the "Patents and Patent Applications"),

NOW, THEREFORE, Assignor, for and in exchange for the payment of the purchase price set forth in the Purchase Agreement, the receipt of which is hereby acknowledged, does hereby transfer and assign to Assignee, and Assignee hereby accepts the transfer and assignment of, effective as of the Effective Time (as defined in the Purchase Agreement), said Patents and Patent Applications, all rights to sue for infringement. Dimension One Spas, Inc. covenants that it is the lawful owner of the Patents and Patent Applications set forth in Exhibit A and the inventions and improvements therein disclosed, that the same are unencumbered, and that it has the full right to make this request.

This Assignment shall inure to the benefit of and shall be binding upon each of the parties hereto and the successors and assigns of such parties. The parties hereto shall execute

Exhibit A

U.S. Patents and Applications

Docket Number	Title	App Serial No.	Patent No.	Date Filed	Date Issued
7036- 131970	"Spa Cover Lift Assembly"	077716,685	6,131,102	6/17/1991	7/21/1992
7036- 131986	"Combination Adjustable Jet Valve"	08/147,171	6,495,627	11/2/1993	3/6/1996
7036- 131985	"Spa Cover Lift"	09/336,461	6,517,703	12/14/1994	6/21/1996
7036- 131913	"Adjustable Lifting Apparatus for a Spa"	08/421,437	6,564,061	4/12/1995	12/17/1996
7036- 131916	"Adjustable Lifting Apparatus for a Spa"	08/445,411	6,634,218	6/10/1995	6/3/1997
7036- 132023	"Lifting Frame for a Spa Cover"	29/049,386	D384,470	1/24/1996	9/30/1997
7036- 131975	"Hot Tub with Adjustable Headrest with Water Jet"	08/398,468	6,662,625	2/1/1996	11/4/1997
7036- 131973	"Ozone Mixing System for a Hydrotherapy Spa"	08/730,146	6,665,226	10/16/1996	9/9/1997
7036- 131883	"Hydrotherapy Mounting Apparatus and Exercise System"	10/211,078	7,597,652	8/1/2002	10/3/2009
7036- 131760	"Curvilinear Spa Frame"	10/279,370	6,839,919	10/23/2002	1/11/2006
7036- 131993	"Spa Body Support"	29/169,683	D482,126	10/23/2002	11/11/2003
7036- 131996	"Spa Shell"	29/169,713	D484,607	10/23/2002	12/30/2003
7036- 131905	"Spa or Pool Switch"	10/279,380	6,775,863	10/23/2002	6/17/2004
7036- 131681	"Tactile Therapy System for Spas"	10/279,282	6,867,139	10/23/2002	2/22/2005
7036- 131684	"Spa-Based Speaker"	10/306,704	6,888,663	11/27/2002	3/22/2005
7036- 131673	"Auxiliary Spa Module"	10/403,108	6,904,625	3/28/2003	6/14/2005
7036- 131997	"Spa Shell"	29/169,806	D486,602	9/10/2003	9/7/2004
7036- 131990	"Spa Shaped CD"	29/190,770	D501,010	9/25/2003	1/18/2005
7036- 131899	"Flexing Support in a Spa"	10/871,331	7,310,836	6/18/2004	12/26/2007
7036- 132022	"Curvilinear Spa Shell"	29/206,642	D594,660	6/30/2004	6/30/2004
7036- 131791	"Inductive Peripheral"	10/987,477	7,176,176	11/12/2004	2/20/2007
7036- 132016	"Triangular Curvilinear Spa Shell"	29/218,268	D647,876	11/29/2004	7/31/2007
7036- 132019	"Triangular Curvilinear Spa Shell with Steps"	29/218,273	D547,677	11/29/2004	7/31/2007
7036- 132015	"Tile-Line Logo"	29/218,466	D644,611	12/1/2004	6/12/2007
7036- 131682	"Tactile Therapy System for Spas"	11/034,427	7,013,803	1/11/2005	3/21/2008
7036- 131679	"Auxiliary Spa Module"	11/097,717	7,000,264	4/1/2005	2/21/2008
7036- 131986	"Curvilinear Spa"	11/669,844		1/31/2007	
7036- 131900	"Jet for Water Containment Vessels"	11/676,931	6,069,605	2/20/2007	12/8/2011
7036- 131906	"Lighting Fixture"	11/876,972	7,604,364	2/20/2007	10/20/2008
7036- 131831	"Water Treatment System"	12/016,641	7,894,335	1/18/2008	2/8/2011

Non- U.S. Patents and Applications

Docket Number	Title	App Serial No.	Patent No.	Date Filed	Date Issued
7036- 131786	"Curvilinear Spa"	04261070.0	EP1667160	02/26/2004	06/26/2011
7036- 131784	"Curvilinear Spa"	602004003361.5	EP1667160	02/26/2004	06/29/2011
7036- 131776	"Curvilinear Spa"	04261070.0	EP1667160	02/26/2004	06/29/2011
7036- 131770	"Curvilinear Spa"	04261070.0	EP1667160	02/26/2004	07/29/2011
7036- 131799	"Inductive Peripheral"	06266474.7	EP1667798	10/19/2005	07/20/2011
7036- 131792	"Inductive Peripheral"	06266474.7	EP1667798	10/19/2005	07/20/2011
7036- 131797	"Inductive Peripheral"	06266474.7	EP1667798	10/19/2005	07/20/2011
7036- 131798	"Inductive Peripheral"	602005029060.5	EP1667798	10/19/2005	07/20/2011

and deliver such further and additional instruments, agreements and other documents as may be necessary to carry out the provisions of this Assignment.

EXECUTED this 3 day of Sept, 2013

By: [Signature]
Title: owner, Dimension One Spas, Inc.
(ASSIGNOR)

STATE of California)
COUNTY of San Diego)

On this 03 day of September, in the year 2013, before me Sonya G. Pluta,
DAY MONTH YEAR NOTARY PUBLIC NAME
a notary public, personally appeared Robert T. Hallam,
NAME OF DOCUMENT SIGNER

proved on the basis of satisfactory evidence to be the person whose name is subscribed to this instrument, and acknowledged he/she executed the same. Witness my hand and official seal.

Sonya G. Pluta
NOTARY PUBLIC



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