

11/02/2015



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U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

11/2/15

FORM COVER SHEET

ENTITIES ONLY

COPIES (not returned)

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Louis A. Serafin, Jr.

2. Name and address of receiving party(ies)

Name: Louis A. Serafin, Jr. Trust

Internal Address: Martha L. Serafin,
Trustee

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) Sep. 11, 23 and 25, 2015

☐ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Joint Research Agreement

☐ Government Interest Assignment

☐ Executive Order 9424, Confirmatory License
w/supporting documentation

☒ Other Memorialization of transfer

Street Address: 7240 Lakeshore Road

City: Lakeport

State: Michigan

Country: U.S.A. Zip: 48059

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

☐ This document is being filed together with a new application.

B. Patent No.(s)

13/507,396
13/507,397

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Christopher John Rudy

Internal Address: CERAMIC MANUFACTURES

CORRECTION TO SERIAL NUMBERS FROM 10/20/15

Street Address: 209 Huron Avenue, Suite 8

City: Port Huron

State: MI Zip: 48060

Phone Number: (810) 982-4221

Fax Number:

Email Address:

6. Total number of applications and patents involved: Two (2)

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 80.00

☐ Authorized to be charged by credit card

☐ Authorized to be charged to deposit account

☒ Enclosed (CJR check No. 1883)

☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers

11/04/2015 EXPIRATION DATE 13507396

01 FC:8021

00.00 UP

b. Deposit Account Number

Authorized User Name

9. Signature:

Christopher John Rudy

Signature

Oct. 27, 2015 A.D.
Date

Christopher John Rudy, USPTO Reg. No. 31,873

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 9

Documents to be recorded (including cover sheet) and documents to be mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450
via 1st class mail 10/27/2015:

ROLL: 03704 FRAME: 0773

Memorialization of Transfer
w/supporting documentation

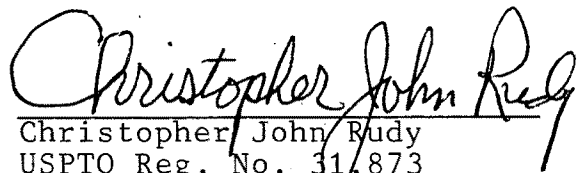
To Whom It May Concern:

The part interest of Louis A. Serafin, Jr., who passed away on Holy Saturday morning, April 4, 2015, is transferred to the Louis A. Serafin, Jr. Trust, Martha L. Serafin, Trustee, 7240 Lakeshore Road, Lakeport, Michigan 48059, U.S.A. This part interest is set forth in an ASSIGNMENT to XYLON, L.L.C., which is dated September 7 and 10, 2007, of international PCT patent application No. PCT/US2004/006908 filed on March 5, 2004, which was made the subject of National Entry in Australia (2004220540); Canada (2,517,623); Europe (04718112.8); Japan (2006-509209); and the United States of America, as a continuation-in-part (11/220,997), entitled CERAMIC MANUFACTURES, AND progeny thereof (EP 13154079.1-1654; JP 2010-178826, with the JP parent 2006-509209 now patent No. 5108301; and US 13/507,395 now Patent No. US 9,162,008 B2; US 13/507,396; US 13/507,397 and US 14/545,871 -- noting also that the Australian and Canadian National Entries issued as patents with the same patent number as their National Entry applications). The ASSIGNMENT has been recorded in the pertinent patent offices, for example, in the United States Patent and Trademark Office on October 12, 2007 on Reel 019962 Frames 0189-0191, and in Canada (CIP0) with registration 05505816.

Attached are copies of supporting documentation.

Respectfully,

Dated: October 20, 2015 A.D.


Christopher John Rudy
USPTO Reg. No. 31,873
CIP0 Reg. No. 10,545
209 Huron Avenue, Suite 8
Port Huron, Michigan 48060

Attmt: Seven (7) sheets

NOTARIAL CERTIFICATE

The undersigned hereby certifies

that the successor of the late, SERAFIN, Louis, A., Jr.

whose earlier address was 7240 Lakeshore Road, Lakeport,

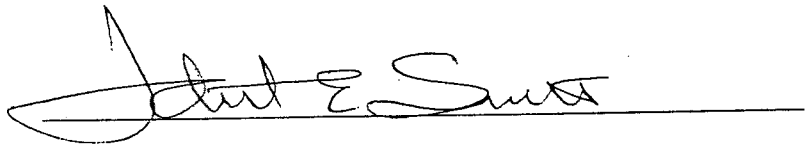
Louis A. Serafin, Jr. Trust,
Michigan 48059, U.S.A. is ~~his widow~~ Martha L. SERAFIN, trustee,

whose address is 7240 Lakeshore Road ~~(M-25)~~, Lakeport,

Michigan 48059, U.S.A.

- can
9/30
2015
A.D.

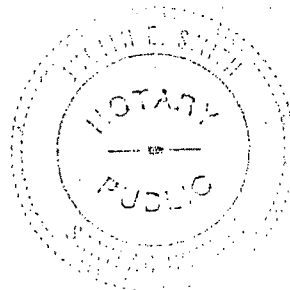
Dated, 9-11-2015



Notary Public

(Seal)

JUDITH E. SMITH, Notary Public
State of Michigan, County of Sanilac
My commission expires 11-5-2018
Acting in the County of St. Clair



NOTARIAL CERTIFICATE

The undersigned hereby certifies

that the successor of the late, SERAFIN, Louis, A., Jr.


whose earlier address was 7240 Lakeshore Road, Lakeport,

Louis A. Serafin, Jr. Trust
Michigan 48059, U.S.A. is ~~his widow~~, Martha L. SERAFIN, trustee

whose address is 7240 Lakeshore Road (~~M-25~~), Lakeport,

Michigan 48059, U.S.A.

- cdr
9/30
2015
A.D.



Martha L. Serafin
Trustee

Dated, 9-11-2015



Notary Public

(Seal) JUDITH E. SMITH, Notary Public
State of Michigan, County of St. Clair
My commission expires 11-5-2018
Acting in the County of St. Clair

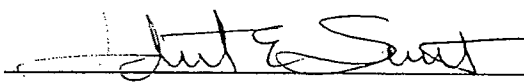


PATENT
REEL: 037044 FRAME: 0776

NOTARIAL CERTIFICATE

The undersigned hereby certifies
that the address of the late, SERAFIN, Louis, A., Jr. who
is indicated on the LETTERS OF AUTHORITY FOR
PERSONAL REPRESENTATIVE to which this certificate
is attached was 7240 Lakeshore Road, Lakeport, Michigan
48059, U.S.A.

Dated, 9-23-2015



Notary Public

(Seal)

JUDITH E. SMITH, Notary Public
State of Michigan, County of Seneca
My commission expires 11-5-2018
Acting in the County of St. Clair

PATENT

REEL: 037044 FRAME: 0777

COPY

Approved, SCAO

JIS CODE: LET

STATE OF MICHIGAN
PROBATE COURT
COUNTY OF ST. CLAIR

LETTERS OF AUTHORITY FOR
PERSONAL REPRESENTATIVE

FILE NO.

2015 0246 01 DE

Estate of Louis A. Serafin, Jr., Deceased

TO:

Name and address

Martha L. Serafin

7240 Lakeshore Road
Lakeport, MI 48059

Telephone no.

(810) 385-4635

You have been appointed and qualified as personal representative of the estate on 05-05-15. You are authorized to perform all acts authorized by law unless exceptions are specified below.
Date

☐ Your authority is limited in the following way:

☐ You have no authority over the estate's real estate or ownership interests in a business entity that you identified on your acceptance of appointment.

☐ Other restrictions or limitations are:

☐ These letters expire: _____
Date

MAY 5, 2015

Date

Kelly Diane Strozski (W)

Judge (formal proceedings)/Register (informal proceedings)

Bar no.

SEE NOTICE OF DUTIES ON SECOND PAGE. KELLY DIANE STROZSKI

Marc W. Oswald

P74204

Attorney name (type or print)

Bar no.

1411 Third Street, Suite F

Address

Port Huron, MI 48060

(810) 987-8444

City, state, zip

Telephone no.

I certify that I have compared this copy with the original on file and that it is a correct copy of the original, and on this date these letters are in full force and effect.

MAY 5, 2015

Date

Martha Esquivel
Deputy register

Do not write below this line - For court use only

STATE OF MICHIGAN JUDICIAL DISTRICT JUDICIAL CIRCUIT COUNTY PROBATE		CERTIFICATION OF RECORDS/ ATTESTATION OF EXEMPLIFIED COPIES	CASE NO. 2015-0246-01 DE
ST. CLAIR Court address 201 MCMORRAN BLVD. RM 2600 PORT HURON MI 48060		Court telephone no. (810) 985-2066	
Plaintiff		v	Defendant
<input type="checkbox"/> Juvenile In the matter of _____ <input checked="" type="checkbox"/> Probate In the matter of <u>LOUIS A. SERAFIN, JR.</u>			

ATTESTATION OF CLERK/REGISTER

I am the clerk/register of the court and I attest that:

1. I am the custodian of the records of the ST. CLAIR COUNTY PROBATE court.
2. I have compared the annexed copies of LETTERS OF AUTHORITY FOR PERSONAL REPRESENTATIVE

from the above case with the originals on file and of record in this court, and I find the copies to be true copies of the whole of such originals.

09/25/2015

Date

Signature

KELLY D. STROZESKI

Clerk/Register (type or print)

By: BEVERLY S. ROBERTS

Deputy clerk/register (type or print)

CERTIFICATION OF JUDGE

I, JOHN D. TOMLINSON, judge of the ST. CLAIR COUNTY PROBATE court, certify that the above attestation is in proper form and that the signature is genuine.

09/25/2015

Date

Judge

JOHN D. TOMLINSON P-45917

Bar no.



NOTE: The Certification of Judge is complete. Records are being sent out of the state.

STATE OF MICHIGAN
PROBATE COURT
COUNTY OF ST. CLAIR

LETTERS OF AUTHORITY FOR
PERSONAL REPRESENTATIVE

FILE NO.

2015 0246 01 DE

Estate of Louis A. Serafin, Jr., Deceased

TO:

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☐ Other restrictions or limitations are:

☐ These letters expire: _____

Date

MAY 5, 2015

Date

Kelly Diane Strozski

Judge (formal proceedings) / Register (informal proceedings)

Bar no.

SEE NOTICE OF DUTIES ON SECOND PAGE **KELLY DIANE STROZESKI**

Marc W. Oswald

P74204

Attorney name (type or print)

Bar no.

1411 Third Street, Suite F

Address

Port Huron, MI 48060

(810) 987-8444

City, state, zip

Telephone no.

I certify that I have compared this copy with the original on file and that it is a correct copy of the original, and on this date, these letters are in full force and effect.

9-25-15

Date

Burke Roberts
Deputy register

Do not write below this line - For court use only



20150246DE

Provisions are mandatory reporting duties specified in Michigan law and Michigan court rules and are not duties required of you. See MCL 700.3701 through MCL 700.3722 for other duties. Your failure to comply may result in the court suspending your powers and appointing a special fiduciary in your place. It may also result in your removal as fiduciary.

CONTINUED ADMINISTRATION: If the estate is not settled within 1 year after the first personal representative's appointment, you must file with the court and send to each interested person a notice that the estate remains under administration, specifying the reasons for the continued administration. You must give this notice within 28 days of the first anniversary of the first personal representative's appointment and all subsequent anniversaries during which the administration remains uncompleted. If such a notice is not received, an interested person may petition the court for a hearing on the necessity for continued administration or for closure of the estate. [MCL 700.3703(4), MCL 700.3951(3), MCR 5.144, MCR 5.307, MCR 5.310]

DUTY TO COMPLETE ADMINISTRATION OF ESTATE: You must complete the administration of the estate and file appropriate closing papers with the court. Failure to do so may result in personal assessment of costs. [MCR 5.310]

CHANGE OF ADDRESS: You are required to inform the court and all interested persons of any change in your address within 7 days of the change.

Additional Duties for Supervised Administration

If this is a supervised administration, in addition to the above reporting duties, you are also required to prepare and file with this court the following written reports or information.

INVENTORY: You are required to file with the probate court an inventory of the assets of the estate within 91 days of the date your letters of authority are issued or as ordered by the court. You must send a copy of the inventory to all presumptive distributees and all other interested persons who request it. The inventory must list in reasonable detail all the property owned by the decedent at the time of death. Each listed item must indicate the fair market value at the time of the decedent's death and the type and amount of any encumbrance. Where the decedent's date of death is on or after March 28, 2013, the lien amount will be deducted from the value of the real property for purposes of calculating the inventory fee under MCL 600.871(2). If the value of any item has been obtained through an appraiser, the inventory should include the appraiser's name and address with the item or items appraised by that appraiser. You must also provide the name and address of each financial institution listed on your inventory at the time the inventory is presented to the court. The address for a financial institution shall be either that of the institution's main headquarters or the branch used most frequently by the personal representative. [MCL 700.3706, MCR 5.307, MCR 5.310(E)]

ACCOUNTS: You are required to file with this court once a year, either on the anniversary date that your letters of authority were issued or on another date you choose (you must notify the court of this date) or more often if the court directs, a complete itemized accounting of your administration of the estate. This itemized accounting must show in detail all income and disbursements and the remaining property, together with the form of the property. Subsequent annual and final accountings must be filed within 56 days following the close of the accounting period. When the estate is ready for closing, you are also required to file a final account with a description of property remaining in the estate. All accounts must be served on the required persons at the same time they are filed with the court, along with proof of service.

ESTATE (OR INHERITANCE) TAX INFORMATION: You are required to submit to the court proof that no estate (or inheritance) taxes are due or that the estate (or inheritance) taxes have been paid. **Note:** The estate may be subject to inheritance tax.

Additional Duties for Unsupervised Administration

If this is an unsupervised administration, in addition to the above reporting duties, you are also required to prepare and provide to all interested persons the following written reports or information.

INVENTORY: You are required to prepare an inventory of the assets of the estate within 91 days from the date your letters of authority are issued and to send a copy of the inventory to all presumptive distributees and all other interested persons who request it. The inventory must list in reasonable detail all the property owned by the decedent at the time of death. Each listed item must indicate the fair market value at the time of the decedent's death and the type and amount of any encumbrance. Where the decedent's date of death is on or after March 28, 2013, the lien amount will be deducted from the value of the real property for purposes of calculating the inventory fee under MCL 600.871(2). You are required within 91 days from the date your letters of authority are issued, to submit to the court the information necessary to calculate the probate inventory fee that you must pay to the probate court. You may use the original inventory for this purpose. [MCL 700.3706, MCR 5.307]

ESTATE (OR INHERITANCE) TAX INFORMATION: You may be required to submit to the court proof that no estate (or inheritance) taxes are due or that the estate (or inheritance) taxes have been paid. **Note:** The estate may be subject to inheritance tax.

PATENT

RECORDED: 11/02/2015

REEL: 037044 FRAME: 0781