

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3619329

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
MARK GREGORY WOTZAK	12/12/2014
DUANE HOWARD ANSTEAD	12/10/2014
BOJAN LUKOVIC	01/02/2015
JAMES JOHN LUZ	12/11/2014
THOMAS CHARLES MC CARTHY	12/10/2014
KEITH BEATTY	12/10/2014
RECEIVING PARTY DATA	
Name:	GENERAL ELECTRIC COMPANY
Street Address:	1 RIVER ROAD
City:	SCHENECTADY
State/Country:	NEW YORK
Postal Code:	12345
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14940269
CORRESPONDENCE DATA	
Fax Number:	(203)373-7153
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	2033667474
Email:	maureen.silveira@ge.com
Correspondent Name:	GENERAL ELECTRIC COMPANY, GPO
Address Line 1:	3135 EASTON TURNPIKE
Address Line 4:	FAIRFIELD, CONNECTICUT 06828
ATTORNEY DOCKET NUMBER:	269246-2
NAME OF SUBMITTER:	MAUREEN SILVEIRA
SIGNATURE:	/Maureen Silveira/
DATE SIGNED:	11/17/2015
Total Attachments: 8	

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COMBINED DECLARATION AND GLOBAL ASSIGNMENT
FOR UTILITY OR DESIGN PATENT APPLICATION

As the below named inventor(s), I/we hereby declare that:

This declaration and assignment are directed to:

GEARBOX WITH SEALED ACCESSORY VENT

- ☐ the specification of which is attached hereto OR
☒ United States application or PCT international application number

14/940269 filed on November 13, 2015, as
amended on [date] (if applicable).
(We hereby authorize and request the Company or its delegated attorneys or agents to
insert above the application number and filing date of the application when known.)

The above-identified application was made or authorized to be made by us.

We believe that we are original joint inventors of a claimed invention in the application.

We hereby acknowledge that any willful false statement made in this declaration is punishable
under 18 USC § 1001 by fine or imprisonment of not more than five (5) years, or both.

We have reviewed and understand the contents of the above-identified application,
including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to the patentability of this
application as defined in 37 CFR § 1.56, including, for continuation-in-part applications, material
information which became available between the filing date of the prior application and the filing
date of the continuation-in-part application.

In accordance with our obligation(s) under an Employee Innovation and Proprietary Information
Agreement or (as applicable) arising out of other agreements (such as, but not limited to, the
Services Agreement between General Electric Company, a New York corporation, and our
employers), and/or for other good and valuable consideration of which we acknowledge receipt,
I/we,

Inventor First Name	Inventor Middle Name	Inventor Last Name		Residence Town	Residence State	Residence Country
Mark	Gregory	Wotzak	of	Brookline	MA	US
Duane	Howard	Anstead	of	Fairfield	OH	US
Bojan		Lukovic	of	Liberty TWP	OH	US
James	John	Luz	of	Tewksbury	MA	US
Thomas	Charles	McCarthy	of	Cincinnati	OH	US
Keith		Beatty	of	Cincinnati	OH	US

hereby sell and assign to:

Name of Company: <i>General Electric Company</i>
Entity Type (optional): <i>New York Corporation</i>
Address: <i>1 River Road, Schenectady, New York 12345, United States of America</i>

herein referred to as "Company", its successors and assigns our entire respective rights, titles and interests in and to the invention and improvements made or conceived by us described in the application(s) listed above and in the following table:

Country of Filing	Type of Filing	Application Number	Filing Date	Title
US	Provisional	62/089025	December 8, 2014	GEARBOX WITH SEALED ACCESSORY VENT

(We hereby authorize and request the Company or its delegated attorneys or agents to insert above the application number and filing date of the application(s) when known.)

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, continuations-in-part, reexaminations, renewals, and reissues thereof, and all rights of priority resulting from the filing of the application(s), and authorize and request any official whose duty it is to issue patents, to issue any patent on the inventions and improvements resulting therefrom to the Company, or its successors or assigns, and agree that on request and without further consideration, we will communicate to the Company or its representatives or nominees any facts known to us respecting the inventions and improvements and testify in any legal proceeding, make all rightful oaths, sign all lawful papers and execute all instruments or documents required or requested for the making and prosecution of any applications of any type for patent, utility model, or other similar rights in all countries including, but not limited to, any provisional, non-provisional, continuation, continuation-in-part, divisional, renewal or substitute thereof, for any derivation proceedings relating thereto, and, as to any patents that issue from such applications, for any supplemental examination, derivation proceeding, opposition, post grant review, reissue, re-examination, inter partes review, or extension thereof, and generally do everything possible to aid the Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for the invention and its improvements in all countries.

Remuneration claims of the inventor(s)/assignor(s) potentially arising from German Law on Employee Inventions, or any other respective country law, remain unaffected by this assignment.

INVENTOR 1

Signature: [Signature]
Mark Gregory WOTZAK

Date: 12/19/14

Witnessed by: [Signature]
Signature

Date: 12/12/14

Michael Richardson-Bach
Printed Name of Witness

Witnessed by: [Signature]
Signature

Date: 12/12/14

JOSEPH KING
Printed Name of Witness

SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY

Mark Gregory WOTZAK

Date: _____

STATE OF

COUNTY OF

This _____ day of _____, _____ before me personally came the above-named Mark Gregory WOTZAK, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)

INVENTOR:

Signature: Duane Howard Anstead
Duane Howard ANSTEAD

Date: 12-12-14

Witnessed by: Mark Zentgraf
Signature

Date: 12/10/2014

MARK ZENTGRAF
Printed Name of Witness

Witnessed by: Matt Zimmer
Signature

Date: 12/10/2014

MATT ZIMMER
Printed Name of Witness

SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY

Duane Howard ANSTEAD

Date: _____

STATE OF

COUNTY OF

This _____ day of _____, _____ before me personally came the above-named Duane Howard ANSTEAD, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)

INVENTOR 3

Signature: Bojan Lukovic
Bojan LUKOVIC

Date: 01/02/2015

Witnessed by: [Signature]
Signature

Date: 01/02/2015

Rajesh Parikh
Printed Name of Witness

Witnessed by: [Signature]
Signature

Date: 01/02/2015

BRUCE A CARTER
Printed Name of Witness

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Bojan LUKOVIC

Date: _____

STATE OF

COUNTY OF

This _____ day of _____, _____ before me personally came the above-named Bojan LUKOVIC, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)

INVENTOR 4

Signature: _____

James John LUZ

Date: _____

11-Dec-2014

Witnessed by: _____

Signature

Date: _____

12/11/14

Lana Luo

Printed Name of Witness

Witnessed by: _____

Signature

Date: _____

12/11/14

Daniel Walus

Printed Name of Witness

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James John LUZ

Date: _____

STATE OF _____

COUNTY OF _____

This _____ day of _____, _____ before me personally came the above-named James John LUZ, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal _____

(Notary Public)

INVENTOR 5

Signature: *Thomas C. McCarthy*
Thomas Charles MCCARTHY

Date: 12/10/14

Witnessed by: *[Signature]*
Signature

Date: 12/10/2014

Mark Hooen
Printed Name of Witness

Witnessed by: *Kelly Engelking*
Signature

Date: 12/10/14

Kelly Engelking
Printed Name of Witness

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Thomas Charles MCCARTHY

Date: _____

STATE OF

COUNTY OF

This _____ day of _____, _____ before me personally came the above-named Thomas Charles MCCARTHY, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)

INVENTOR 6

Signature: Keith Beatty
Keith BEATTY

Date: 12/10/14

Witnessed by: David Berger
Signature

Date: 12/10/14

David Berger
Printed Name of Witness

Witnessed by: Dustin J. Charville
Signature

Date: 12/10/2014

Dustin J. Charville
Printed Name of Witness

SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY

Keith BEATTY

Date: _____

STATE OF

COUNTY OF

This _____ day of _____, _____ before me personally came the above-named Keith BEATTY, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)