# 503575336 11/18/2015

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3621963

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

## **CONVEYING PARTY DATA**

Name	Execution Date
MARK A. LIVINGSTON	11/17/2015
STEPHEN RUSSELL	11/16/2015
JONATHAN W. DECKER	11/16/2015
SULEYMAN GULEYUPOGLU	11/18/2015
ANTONIO GILLIAM JR.	11/17/2015

## **RECEIVING PARTY DATA**

Name:	THE GOVERNMENT OF THE UNITED STATES OF AMERICA, AS REPRESENTED BY THE SECRETARY OF THE NAVY
Street Address:	875 NORTH RANDOLPH STREET
Internal Address:	SUITE 1425
City:	ARLINGTON
State/Country:	VIRGINIA
Postal Code:	22203

# **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	14944802

## **CORRESPONDENCE DATA**

**Fax Number:** (202)404-7380

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 2024041557

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Correspondent Name: WILLIAM LADD

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ATTORNEY DOCKET NUMBER:	NC 103,317-US2
NAME OF SUBMITTER:	WILLIAM LADD
SIGNATURE:	/William Ladd 64,646/
DATE SIGNED:	11/18/2015

PATENT 503575336 REEL: 037074 FRAME: 0102

# Total Attachments: 13 source=assignmentsasfiled#page1.tif source=assignmentsasfiled#page2.tif source=assignmentsasfiled#page3.tif source=assignmentsasfiled#page4.tif source=assignmentsasfiled#page5.tif source=assignmentsasfiled#page6.tif source=assignmentsasfiled#page7.tif source=assignmentsasfiled#page8.tif source=assignmentsasfiled#page9.tif source=assignmentsasfiled#page10.tif source=assignmentsasfiled#page11.tif source=assignmentsasfiled#page12.tif source=assignmentsasfiled#page12.tif source=assignmentsasfiled#page13.tif

PATENT REEL: 037074 FRAME: 0103

# ASSIGNMENT BY INVENTORS (UNITED STATES PATENTS)

THIS ASSIGNMENT, made by Mark A. Livingston; Stephen Russell; and Jonathan W. Decker (hereinafter referred to as Assignors), residing at 5300 Holmes Run Pkwy #609, Alexandria, Virginia 22304; 9585 Chaton Road, Laurel, Maryland 20723; and 1110 Fidler Lane, Apt. 718, Silver Spring, Maryland 20910, respectively;

WHEREAS, Assignors, while employed by the Government of the United States, have invented certain new and useful improvements in Recommender System for Exploratory Data Analysis, set forth in a Provisional application for Letters Patent of the United States, filed on November 19, 2014 as U.S. Application No. 62/081706, and in Recommender System for Exploratory Data Analysis, set forth in a Patent application for Letters Patent of the United States, filed herewith; and

WHEREAS, The Government of the United States of America, as represented by the Secretary of the Navy, a Federal Agency (hereinafter referred to as the Government), is desirous of acquiring the entire right, title and interest in and to said inventions and said Application(s) for Letters Patent of the United States, and in and to any Letters Patent of the United States to be obtained therefor and thereon;

WHEREAS, by acquiring the Assignors' entire right, title, and interest in and to the invention the Government will be enabled to license the invention and share the income from the licensing with the Assignors as allowed by law;

WHEREAS, the conditions under which the invention was made are such as to entitle the Government under Paragraph 1(a) of Executive Order 10096, to the Assignors' entire right, title, and interest therein, including the foreign rights; and

**WHEREAS**, as to foreign rights, it is the policy of the Government to obtain an option to exercise such rights;

NOW, THEREFOR, in consideration of the premises and other good and sufficient consideration, the receipt of which is hereby acknowledged, Assignors have assigned, transferred and set over, and by these presents do assign, transfer and set

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**REEL: 037074 FRAME: 0104** 

over, unto the Government, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions and application(s) for Letters Patent, and in and to any and all direct and indirect divisions, continuations and continuations-in-part of said application(s), and any and all Letters Patent in the United States which may be granted therefor and thereon, and reissues, reexaminations and extensions of said Letters Patent, the same to be held and enjoyed by the Government, for its own use and benefit and the use and benefit of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent may be granted and/or extended, as fully and entirely as the same would have been held and enjoyed by Assignors, had this assignment not been made.

AND for the same consideration, Assignors hereby represent and warrant to the Government, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, except for any rights, titles and/or interests that have arisen to the Government under law or that have already been transferred to the Government, Assignors are the sole and lawful owners of the entire right, title and interest in and to the said inventions and application(s) for Letters Patent abovementioned, and that the same are unencumbered and that Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, Assignors hereby covenant and agree to and with the Government, its successors, legal representatives and assigns, that Assignors will sign all papers and documents, take all lawful oaths and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of any Letters Patent and applications for Letters Patent for said inventions in the U.S. or any foreign country, without charge to the Government, its successors, legal representatives and assigns, whenever counsel of the Government, or counsel of its successors, legal representatives and assigns, shall advise: that any proceeding in connection with said inventions, or said Patent application(s) for Letters Patent, or any proceeding in connection with any Letters Patent or applications for Letters Patent for said inventions including but not limited to interference proceedings, is lawful and desirable; or, that any division, continuation or continuation-in-part of any

application(s) for Letters Patent, or any reissue, reexamination or extension of any Letters Patent, to be obtained thereon, is lawful and desirable.

AND Assignors hereby request the Commissioner of Patent and Trademarks to issue said Letters Patent of the United States to Assignee, as Assignee of said inventions and the Letters Patent to be issued thereon, for the sole use and benefit of the Government, its successors, legal representatives and assigns.

AND the Assignors do hereby also grant unto the Government, the option to take the Assignors' entire right, title, and interest in the invention and all patent applications or other forms of protection thereon in all countries foreign to the United States in which the Government may file, or cause to be filed, applications for Letters Patent or other forms of protection, without payment of any consideration; provided, however, that this grant of an option to take foreign rights in the invention, or applications or other forms of protection thereon, shall have force and effect only as to such applications for which a decision to file in foreign countries is made within eight months of the filing date of any application for United States Letters Patent and/or any provisional application covering the invention, or within eight months from the declassification of the invention, whichever is later, and that all foreign rights not exercised under the option are left to Assignors subject to a nonexclusive, irrevocable, royalty-free license to the Government in any patent or other form of protection which may issue on said invention in any foreign country, including the power to issue sublicenses for use in behalf of the Government and/or in furtherance of the foreign policies of the Government.

**AND** the Assignors do hereby also grant unto the Government, the right to claim priority to the application(s) identified above.

AND the Assignors hereby further agree to make, execute, and deliver to the Government, any and all papers, documents, affidavits, statements, or other instruments that may be necessary in the prosecution of the application(s) and of any continuation, division, or substitution of the application(s), or any application(s) for reissue or extension of said Letters Patent, and to assist the Government in every way

in protecting the invention as may be requested, provided that any expense arising through such efforts will be paid by the Government.

AND Assignors hereby appoint all practitioners at Customer Number 26384, all of US Naval Research Laboratory, 4555 Overlook Ave, SW, Code 1008.2, Washington, DC 20375, jointly, and each of them severally, our attorneys at law/patent agent(s), with full power of substitution, delegation and revocation, to prosecute the above-mentioned application(s), to make alterations and amendments therein, and to transact all business in the U.S. Patent and Trademark Office connected therewith.

AND Assignors hereby grant the following individuals the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document:

US NAVAL RESEARCH LABORATORY

All practitioners at Customer Number 26384.

Date: 17 November 2015 Signature: Mark A. Livingston; Assign

Date: 1/16/2015 Signature:

Date: 11/16/2015

Signature: \_ Sonathan Decker

## **ASSIGNMENT BY INVENTORS**

THIS ASSIGNMENT, made by Suleyman Guleyupoglu and Antonio Gilliam Jr. (hereinafter referred to as Assignors), residing at 7348 Hooes Rd., Springfield, Virginia; and 2263 York Dr., Apt 431, Woodbridge, Virginia 22191, respectively;

WHEREAS, Assignors, have invented certain new and useful improvements in Recommender System for Exploratory Data Analysis, set forth in a Provisional application for Letters Patent of the United States, filed on November 19, 2014 as U.S. Application No. 62/081706, and in Recommender System for Exploratory Data Analysis, set forth in a Patent application for Letters Patent of the United States, filed herewith; and

WHEREAS, The Government of the United States of America, as represented by the Secretary of the Navy, a Federal Agency (hereinafter referred to as the Government), is desirous of acquiring the entire right, title and interest in and to said inventions and said Application(s) for Letters Patent of the United States, and in and to any Letters Patent of the United States to be obtained therefor and thereon;

WHEREAS, by acquiring the Assignors' entire right, title, and interest in and to the invention the Government will be enabled to license the invention and share the income from the licensing with the Assignors as allowed by law;

NOW, THEREFOR, in consideration of the premises and other good and sufficient consideration, the receipt of which is hereby acknowledged, Assignors have assigned, transferred and set over, and by these presents do assign, transfer and set over, unto the Government, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions and application(s) for Letters Patent, and in and to any and all direct and indirect divisions, continuations and continuations-in-part of said application(s), and any and all Letters Patent in the which may be granted therefor and thereon, and reissues, reexaminations and extensions of said Letters Patent, the same to be held and enjoyed by the Government, for its own use and benefit and the use and benefit of its successors, legal representatives and assigns, to the full end of the term or terms for

which Letters Patent may be granted and/or extended, as fully and entirely as the same would have been held and enjoyed by Assignors, had this assignment not been made.

AND for the same consideration, Assignors hereby represent and warrant to the Government, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, except for any rights, titles and/or interests that have arisen to the Government under law or that have already been transferred to the Government, Assignors are the sole and lawful owners of the entire right, title and interest in and to the said inventions and application(s) for Letters Patent abovementioned, and that the same are unencumbered and that Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, Assignors hereby covenant and agree to and with the Government, its successors, legal representatives and assigns, that Assignors will sign all papers and documents, take all lawful oaths and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of any Letters Patent and applications for Letters Patent for said inventions, without charge to the Government, its successors, legal representatives and assigns, whenever counsel of the Government, or counsel of its successors, legal representatives and assigns, shall advise: that any proceeding in connection with said inventions, or said Patent application(s) for Letters Patent, or any proceeding in connection with any Letters Patent or applications for Letters Patent for said inventions including but not limited to interference proceedings, is lawful and desirable; or, that any division, continuation or continuation-in-part of any application(s) for Letters Patent, to be obtained thereon, is lawful and desirable.

AND Assignors hereby request the Commissioner of Patent and Trademarks to issue said Letters Patent of the United States to Assignee, as Assignee of said inventions and the Letters Patent to be issued thereon, for the sole use and benefit of the Government, its successors, legal representatives and assigns.

AND the Assignors do hereby also grant unto the Government, the right to claim priority to the application(s) identified above.

AND the Assignors hereby further agree to make, execute, and deliver to the Government, any and all papers, documents, affidavits, statements, or other instruments that may be necessary in the prosecution of the application(s) and of any continuation, division, or substitution of the application(s), or any application(s) for reissue or extension of said Letters Patent, and to assist the Government in every way in protecting the invention as may be requested, provided that any expense arising through such efforts will be paid by the Government.

AND Assignors hereby appoint all practitioners at Customer Number 26384, all of US Naval Research Laboratory, 4555 Overlook Ave, SW, Code 1008.2, Washington, DC 20375, jointly, and each of them severally, our attorneys at law/patent agent(s), with full power of substitution, delegation and revocation, to prosecute the above-mentioned application(s), to make alterations and amendments therein, and to transact all business in the U. S. Patent and Trademark Office connected therewith.

AND Assignors hereby grant the following individuals the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document:

## US NAVAL RESEARCH LABORATORY

All practitioners at Customer Number 26384.

Date:	Signature:
	Suleyman Guleyupoglu, Assignor

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US NAVAL RESEARCH LABORATORY

All practitioners at Customer Number 26384.

Date:	Signature:
	Antonio Gilliam, Assignor

REEL: 037074 FRAME: 0112

## **ASSIGNMENT BY INVENTORS**

THIS ASSIGNMENT, made by Suleyman Guleyupoglu and Antonio Gilliam (hereinafter referred to as Assignors), residing at 7348 Hooes Rd., Springfield, Virginia; and 9044 Lorton Station Blvd, Unit 305, Lorton, Virginia 22079, respectively;

WHEREAS, Assignors, have invented certain new and useful improvements in Recommender System for Exploratory Data Analysis, set forth in a Provisional application for Letters Patent of the United States, filed on November 19, 2014 as U.S. Application No. 62/081706, and in Recommender System for Exploratory Data Analysis, set forth in a Patent application for Letters Patent of the United States, filed herewith; and

WHEREAS, The Government of the United States of America, as represented by the Secretary of the Navy, a Federal Agency (hereinafter referred to as the Government), is desirous of acquiring the entire right, title and interest in and to said inventions and said Application(s) for Letters Patent of the United States, and in and to any Letters Patent of the United States to be obtained therefor and thereon;

WHEREAS, by acquiring the Assignors' entire right, title, and interest in and to the invention the Government will be enabled to license the invention and share the income from the licensing with the Assignors as allowed by law;

NOW, THEREFOR, in consideration of the premises and other good and sufficient consideration, the receipt of which is hereby acknowledged, Assignors have assigned, transferred and set over, and by these presents do assign, transfer and set over, unto the Government, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions and application(s) for Letters Patent, and in and to any and all direct and indirect divisions, continuations and continuations-in-part of said application(s), and any and all Letters Patent in the which may be granted therefor and thereon, and reissues, reexaminations and extensions of said Letters Patent, the same to be held and enjoyed by the Government, for its own use and benefit and the use and benefit of its successors, legal representatives and assigns, to the full end of the term or terms for

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AND Assignors hereby appoint all practitioners at Customer Number 26384, all of US Naval Research Laboratory, 4555 Overlook Ave, SW, Code 1008.2, Washington, DC 20375, jointly, and each of them severally, our attorneys at law/patent agent(s), with full power of substitution, delegation and revocation, to prosecute the above-mentioned application(s), to make alterations and amendments therein, and to transact all business in the U. S. Patent and Trademark Office connected therewith.

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## US NAVAL RESEARCH LABORATORY

All practitioners at Customer Number 26384.

Date:	Signature:
	Suleyman Guleyupoglu, Assignor

Date:	11/17/2015	Signature: Atomo Silling +
		Antonio Gilliam Jr., Assignor