# 503582974 11/24/2015

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

EPAS ID: PAT3629602

SUBMISSION TYPE:		NEW ASSIGNMENT	
ATURE OF CONVEYAN	NCE:	ASSIGNMENT	
CONVEYING PARTY D	ΑΤΑ		
		Name	Execution Date
GREGORY T CARROLL		06/14/2007	
DENONG WANG			06/07/2007
NICHOLAS J TURRO			06/26/2007
JEFFREY T KOBERSTE	IN		06/11/2007
	<b></b>		
RECEIVING PARTY DA	1		
Name:	YORK	THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK	
Street Address:	412 LO	412 LOW MEMORIAL LIBRARY	
Internal Address:	535 WE	535 WEST 116TH STREET	
City:	NEW Y	ORK	
State/Country:	NEW Y	NEW YORK	
Postal Code:	10027	10027	
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PROPERTY NUMBERS	Total: 1		-
PROPERTY NUMBERS Property Type	Total: 1	Number	
		<b>Number</b> 14589306	
Application Number:			
Property Type Application Number: CORRESPONDENCE D	ATA	14589306	
Property Type Application Number: CORRESPONDENCE D Fax Number:	ΑΤΑ	(212)230-8888	
Property Type Application Number: CORRESPONDENCE D Fax Number: <i>Correspondence will be</i>	ATA e sent to	14589306	
Property Type Application Number: CORRESPONDENCE D Fax Number: <i>Correspondence will be</i>	ATA e sent to provided	14589306 (212)230-8888 the e-mail address first; if that is un	
Property Type Application Number: CORRESPONDENCE D Fax Number: Correspondence will be using a fax number, if p	ATA e sent to provided	14589306 (212)230-8888 the e-mail address first; if that is un ; if that is unsuccessful, it will be se	

Address Line 2: WILMERHALE Address Line 4: BOSTON MASSACHUSETTS 02109

Address Line 4.	BOSTON, MASSACHOSETTS 02109
ATTORNEY DOCKET NUMBER	19240 411 US4

<b>T</b>	
DATE SIGNED:	11/24/2015
SIGNATURE:	/Megan L. Stembridge/
NAME OF SUBMITTER:	MEGAN L. STEMBRIDGE
ATTORNEY DOCKET NUMBER:	19240.411 054

## **Total Attachments: 5**

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#### ASSIGNMENT

WHEREAS, we, Gregory T. CARROLL, residing at 512 West 112<sup>th</sup> Street, Apt. 6F, New York, NY 10025, USA; Denong WANG residing at 964 Varian Way, Palo Alto, CA 94304 USA; Nicholas J. TURRO residing at 3000 Broadway, MC3119, New York, NY 10027, USA; and Jeffrey T. KOBERSTEIN residing at 116 Wildwood Road, Storrs, CT 06268, USA, (collectively, "the Assignors") are the inventors of a certain new and useful invention and discovery entitled "Photochemical Methods and Photoactive Compounds for Modifying Surfaces" ("the Invention") for which a nonprovisional patent application was filed with the United States Patent and Trademark Office on November 9, 2006 as assigned Application Number 11/595,292 ("the Application").

WHEREAS, The Trustees of Columbia University in the City of New York whose address is 412 Low Memorial Library, 535 West 116<sup>th</sup> Street, New York, NY 10027, which, together with its successors and assigns is hereinafter called "Assignee," is desirous of acquiring the title, rights, benefits, and privileges hereinafter recited;

For valuable consideration, receipt of which is acknowledged, Assignors hereby assign to Assignee all of Assignors' right, title and interest in and to the Invention, all improvements therein, the Application and all priority rights arising therefrom, and any applications and/or patents, and any reissues and extensions thereof, which issue in any country upon any patent applications which correspond with any of the following: the Application; any application that claims priority from the Application (including divisionals, continuation-in-parts, or as an application claiming priority from a provisional application); or any application based in whole or in part on any of the foregoing.

Assignors agree that such Assignors and Assignors' heirs and legal representatives will, without further consideration, cooperate with Assignee in the prosecution of all of the above applications, execute, verify, acknowledge and deliver all such further papers, including applications for patents and for reissues and extensions therefor, and instruments of assignment and transfer thereof, and will communicate any facts known to Assignor relating to the Invention, to obtain or maintain or enforce patents for the Invention and improvements therein any and all countries and to vest title thereto in Assignee. Assignors further agree that such Assignors will, without further compensation to Assignors during the term of such Assignors' employment by Assignee and thereafter for reasonable compensation as determined by Assignee, perform such other acts as may be reasonably required when requested by Assignee, including attending

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depositions, preparing and executing declarations and affidavits and testifying as a witness, to obtain or maintain or enforce patents for the Invention and improvements therein in any and all countries and to vest title thereto to Assignee.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this  $\frac{14^{12}}{14^{12}}$  day of

JUNE (Month/Year).

1

Lange Gregory T. CARROLL

On this  $\frac{14^{f_{k}}}{day}$  of  $\frac{1}{2}$  (Month/Year), Gregory T. CARROLL, personally known to me to be the same individual who executed the foregoing Assignment, acknowledged to me that execution of the same was of his/her own free will for the use and purposes therein set forth.

WITNESS:	Ellahe shik	
Printed Name:	Ellane Park	
Residential Address:	511 W. 112th St. #16	
	NY, NY 10025	

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this \_\_\_\_\_ day of

\_(Month/Year).

Denong WANG

On this \_\_\_\_\_ day of \_\_\_\_\_\_ (Month/Year), Denong WANG, personally known to me to be the same individual who executed the foregoing Assignment, acknowledged to me that execution of the same was of his/her own free will for the use and purposes therein set forth.

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WITNESS:

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#### Attorney Docket No.: 19240.411 US2

depositions, preparing and executing declarations and affidavits and testifying as a witness, to obtain or maintain or enforce patents for the Invention and improvements therein in any and all countries and to vest title thereto to Assignee.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this \_\_\_\_\_ day of (Month/Year).

Gregory T. CARROLL

On this \_\_\_\_\_ day of \_\_\_\_\_\_ (Month/Year), Gregory T. CARROLL, personally known to me to be the same individual who executed the foregoing Assignment, acknowledged to me that execution of the same was of his/her own free will for the use and purposes therein set forth.

WITNESS:
Printed Name:
Residential Address:

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this <u>7</u> day of

June [200] (Month/Year).	
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Denong WANG War

On this 1 day of 1

WITNESS:		
Printed Name:	Guang Q.IN	
Residential Address:	358 Coleman Ave Apt	L
	Mente Parks 6hr garies	
	V.S.A	

.2.

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IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this  $\underline{26}$  day of

2-00 (Month/Year).

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Nicholas J. TU	ЛŔŔ	0	

On this 2b day of  $\overline{100c}$  2007 (Month/Year), Nicholas J. TURRO, personally known to me to be the same individual who executed the foregoing Assignment, acknowledged to me that execution of the same was of his/her own free will for the use and purposes therein set forth.

WITNESS:	Arlick
Printed Name:	Krishnaleuites
Residential Add	ress: 412 Los Memorial Library
<u>535 Well</u>	LOM Street New York, NY
10027	· · · ·

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this \_\_\_\_\_ day of

(Month/Year).

## Jeffrey T. KOBERSTEIN

On this \_\_\_\_\_ day of \_\_\_\_\_ (Month/Year), Jeffrey T. KOBERSTEIN, personally known to me to be the same individual who executed the foregoing Assignment, acknowledged to me that execution of the same was of his/her own free will for the use and purposes therein set forth.

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WIT	NESS:	

Printed Name:	
Residential Address:	

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### Attorney Docket No.: 19240.411 US2

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this \_\_\_\_\_ day of

(Month/Year).

#### Nicholas J. TURRO

On this \_\_\_\_\_\_ day of \_\_\_\_\_\_ (Month/Year), Nicholas J. TURRO, personally known to me to be the same individual who executed the foregoing Assignment, acknowledged to me that execution of the same was of his/her own free will for the use and purposes therein set forth.

WITNESS:	·
Printed Name: Residential Ado	iress:

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this <u>//</u> day of

June 2007 (Month/Year).

On this 4 day of 3 day of 3

-3-

WITNESS:	Teresa Co	laizzo
Printed Name:	- Intender	
Residential Address		
		mi Blud. #63
	NYA	11/ 10033
		7

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### PATENT REEL: 037127 FRAME: 0403

**RECORDED: 11/24/2015**