

PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT3630423

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
BGC PARTNERS, L.P.	11/23/2015
RECEIVING PARTY DATA	
Name:	CHART TRADING DEVELOPMENT, LLC
Street Address:	100 EAST FERGUSON
Internal Address:	SUITE 609
City:	TYLER
State/Country:	TEXAS
Postal Code:	75702
PROPERTY NUMBERS Total: 8	
Property Type	Number
Application Number:	60171442
Patent Number:	7991679
Application Number:	13614412
Patent Number:	8041626
Patent Number:	8060435
Patent Number:	7890416
Application Number:	12789122
PCT Number:	US2000034958
CORRESPONDENCE DATA	
Fax Number:	(212)308-7537
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	212-829-4841
Email:	patentdocketing@cantor.com
Correspondent Name:	LISA KOROVICH - INNOVATIONS DIVISION
Address Line 1:	CANTOR FITZGERALD, LP
Address Line 2:	110 EAST 59TH STREET, 6TH FLOOR
Address Line 4:	NEW YORK, NEW YORK 10022
ATTORNEY DOCKET NUMBER:	99-1013 SERIES
NAME OF SUBMITTER:	LISA KOROVICH

PATENT

SIGNATURE:	/Lisa Korovich/
DATE SIGNED:	11/24/2015
Total Attachments: 3 source=Chart_Trading_Development_Assn#page1.tif source=Chart_Trading_Development_Assn#page2.tif source=Chart_Trading_Development_Assn#page3.tif	

PATENT ASSIGNMENT

This PATENT ASSIGNMENT (this "Assignment") is made and entered into this 23rd day of November 2015 by and between BGC Partners, L.P., organized under the laws of the State of Delaware having an office and place of business at 499 Park Avenue, New York, New York, 10022, United States ("Assignor"), and Chart Trading Development, LLC organized under the laws of the State of Texas having an office and place of business at 100 East Ferguson, Suite 609, Tyler, Texas, 75702, United States ("Assignee") (each a "Party," and collectively, the "Parties").

WHEREAS, Assignor is the owner of the patents and/or applications set forth in the attached Table I (along with any nonprovisional, continuation, divisional, renewal, extension, substitute, reexamination, reissue or continuation-in-part thereof, any application from which priority is claimed for any country or any regional or international patent convention, all treaty and convention rights and all rights of priority arising therefrom, all patents, utility models, invention registrations or any other form of legal protection issuing from any of the aforesaid, and all inventions or discoveries disclosed or claimed in any of the aforesaid, being the "Patents");

WHEREAS Assignor desires to assign to Assignee its entire right, title, and interest in and to the Patents, and any claims relating thereto, and Assignee desires to accept such assignment;

NOW THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Parties agree as follows:

1. Assignment. Assignor hereby assigns all of Assignor's right, title, interest, and benefit in and to the Patents to Assignee, including, without limitation, the right to sue for past infringement of the Patents, and the right to collect and receive any damages, royalties, or settlement for such past infringements and any and all causes of action relating to any of the inventions or discoveries disclosed in the Patents.

2. No Warranties. Assignor makes no warranties, express or implied, with respect to the Patents.

3. Further Assurances. Assignor shall, at the cost and expense of Assignee, take all actions and execute all documents necessary or desirable to record and perfect the interest of Assignee and/or its successors or assigns in and to the Patents, and shall not enter into any agreement in conflict with this Assignment. Assignor shall provide all reasonable assistance to enable the Assignee to pursue any claim, action or proceedings relating to the Patents.

4. Acknowledgement. Assignor hereby authorizes and requests that the competent authorities record this Assignment, and grant and issue any and all patents included in the Patents to the Assignee as the assignee of all right, title and interest therein.

5. Representations and Warranties. Assignor represents and warrants that (a) Assignor has full power and authority to enter into this Assignment, and (b) this Assignment has been duly authorized, executed and delivered by Assignor and constitutes the legal, valid and binding obligation of Assignor, enforceable against it in accordance with the terms hereof.

6. Successors. This Assignment shall be binding upon and inure to the benefit of the Parties hereto and to their respective successors and assigns.

IN WITNESS WHEREOF, Assignor has caused this Assignment to be executed as of the date written below.

ASSIGNOR

By: 

Name: Stephen M. Merkel

Title: Executive Vice President, Chief Legal Officer and Secretary

STATE OF New York)
COUNTY OF Nassau) ss.:

Before me this 23rd day of November 2015, personally appeared Stephen M. Merkel to me personally known to be the person described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

SAMANTHA H. BEJA
NOTARY PUBLIC-STATE OF NEW YORK
No. 018E6290772
Qualified in Queens County
My Commission Expires October 07, 2017

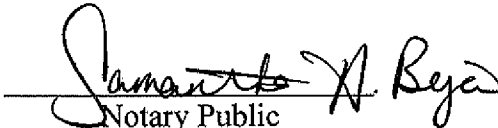

Notary Public

TABLE I

Patent No.	Application Serial No.	Title	Country
	60/171,442	Systems and Methods for Providing a Trading Interface	United States Of America
7991679	09/745,651	Systems and Methods for Providing a Trading Interface	United States Of America
	13/614,412	Systems and Methods for Providing a Trading Interface	United States Of America
8041626	11/756,964	Systems and Methods for Providing a Trading Interface	United States Of America
8060435	12/789,006	Systems and Methods for Providing a Trading Interface	United States Of America
7890416	11/756,875	Systems and Methods for Providing a Trading Interface	United States Of America
	12/789,122	Systems and Methods for Providing a Trading Interface	United States Of America
762794	2591001	Systems and Methods for Providing a Trading Interface	Australia
	2395379	Systems and Methods for Providing a Trading Interface	Canada
	00818586.7	Systems and Methods for Providing a Trading Interface	China
	10085459.1	Systems and Methods for Providing a Trading Interface	Germany
	03102156.3	Systems and Methods for Providing a Trading Interface	Hong Kong
	PCT/US00/34958	Systems and Methods for Providing a Trading Interface	International Application
	2001-547288	Systems and Methods for Providing a Trading Interface.	Japan
	519894	Systems and Methods for Providing a Trading Interface	New Zealand
	200203724-0	Systems and Methods for Providing a Trading Interface	Singapore
	10-2002-7008173	Systems and Methods for Providing a Trading Interface	South Korea / Republic of Korea
158576	89127712	Systems and Methods for Providing a Trading Interface	Taiwan
	0216279.0	Systems and Methods for Providing a Trading Interface	United Kingdom