

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3637850

SUBMISSION TYPE:	RESUBMISSION
NATURE OF CONVEYANCE:	DECLARATION TO CORRECT AN IMPROPERLY RECORDED ASSIGNMENT UNDER M.P.E.P. 323.01(C) AT REEL 033453, FRAME 0170
RESUBMIT DOCUMENT ID:	503570380

CONVEYING PARTY DATA

Name	Execution Date
EPIMMUNE INC.	11/16/2015

RECEIVING PARTY DATA

Name:	EPIMMUNE INC.
Street Address:	5727 AMARO DRIVE
City:	SAN DIEGO
State/Country:	CALIFORNIA
Postal Code:	92124

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	10817970

CORRESPONDENCE DATA

Fax Number: (202)371-2540

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 2023712600

Email: PCALVO@SKGF.COM, PKHANDURI@SKGF.COM, DENISEB@SKGF.COM

Correspondent Name: STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C

Address Line 1: 1100 NEW YORK AVENUE, NW

Address Line 4: WASHINGTON, D.C. 20005

ATTORNEY DOCKET NUMBER:	2473.0500000/PAC/P-K/RXS
NAME OF SUBMITTER:	PAUL A. CALVO
SIGNATURE:	/Paul A. Calvo 57913/
DATE SIGNED:	12/01/2015

Total Attachments: 6

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PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3617007

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	DECLARATION TO CORRECT AN IMPROPERLY RECORDED ASSIGNMENT UNDER M.P.E.P. 323.01(C)
CONVEYING PARTY DATA	
Name	Execution Date
EPIMMUNE INC.	11/16/2015
RECEIVING PARTY DATA	
Name:	EPIMMUNE INC.
Street Address:	5727 AMARO DRIVE
City:	SAN DIEGO
State/Country:	CALIFORNIA
Postal Code:	92124
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	10817970
CORRESPONDENCE DATA	
Fax Number:	(202)371-2540
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	2023712600
Email:	PCALVO@SKGF.COM, PKHANDURI@SKGF.COM, DENISEB@SKGF.COM
Correspondent Name:	STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C
Address Line 1:	1100 NEW YORK AVENUE, NW
Address Line 4:	WASHINGTON, D.C. 20005
ATTORNEY DOCKET NUMBER:	2473.0500000/PAC/P-K/RXS
NAME OF SUBMITTER:	PAUL A. CALVO
SIGNATURE:	/Paul A. Calvo #57,913/
DATE SIGNED:	11/16/2015
Total Attachments: 5	
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

GREY *et al.*

Appl. No.: 10/817,970

Filed: April 6, 2004

For: **HLA Binding Motifs and Peptides
and Their Uses**

Confirmation No.: 3165

Art Unit: 1644

Examiner: Ronald B. Schwadron

Atty. Docket: 2473.0500000/PAC/P-K/RXS

**Declaration to Correct An Improperly Recorded Assignment Under
M.P.E.P. 323.01(c)**

Mail Stop Assignment Services Division

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

I, the undersigned, declare and state that,

1. I, Sang Jae Kim, am the CEO of Epimmune Inc. located at 5727 Amaro Drive, San Diego, California 92124, USA.
2. In 2004, the entire right, title, and interest of all the inventors – Howard M. Grey, Alessandro Sette, John Sidney, Scott Southwood, Ralph T. Kubo, Esteban Celis, Robert Chesnut, and W. Martin Kast – in U.S. Appl. No. 10/817,970 (and its corresponding Patent Application Publication No. 2007/0055049 A1) were assigned to Epimmune Inc. The assignment was recorded under Reel/Frame 015718/0382 on March 1, 2005.
3. In a merger executed on August 15, 2005 and recorded on February 3, 2006 under Reel/Frame 017115/0312, Epimmune Inc. assigned the right, title, and interest in the '970 application (and its corresponding '049 publication) to IDM Pharma, Inc.

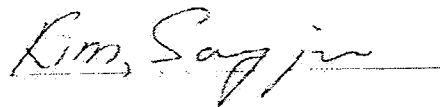
4. In an assignment executed on December 22, 2005 and recorded on February 6, 2006, under Reel/Frame 017115/0860, IDM Pharma, Inc. assigned its entire right, title, and interest in the '970 application (and its corresponding '049 publication) to Pharmexa Inc.
5. A name change document, changing the assignee's name from Pharmexa Inc. to Epimmune Inc., was executed on April 29, 2009 and recorded on August 17, 2012 under Reel/Frame 028807/0656.
6. Accordingly, the entire right, title, and interest in the '970 application (and its corresponding '049 publication) were assigned from all the original inventors to Epimmune Inc. Therefore, Epimmune Inc. is the correct owner of the '970 application (and its corresponding '049 publication), as shown in the Patent Assignment Abstract of Title attached as EXHIBIT A.
7. On July 31, 2014, an erroneous assignment was recorded under Reel/Frame 033453/0170. The assignment was executed on March 31, 2011 and conveyed the rights, title, and interest in the '970 application (and its corresponding '049 publication) from IDM Pharma, Inc. to Biotech Synergy, Inc. This assignment was not authorized by the correct owner of the '970 application (and its corresponding '049 publication), Epimmune Inc., and was submitted with erroneous information.
8. Specifically, IDM Pharma, Inc. had already assigned its entire right, title, and interest in the '970 application (and its corresponding '049 publication) to Pharmexa Inc. on December 22, 2005 in an assignment that was recorded on February 6, 2006 under Reel/Frame 017115/0860. In addition, any or all applications that claim benefit of the '970 application and any or all inventions that described in the '970 application were

assigned by IDM Pharma, Inc. to Pharmexa Inc. As such, IDM Pharma, Inc. no longer had any rights, title, and interest in the '970 application (and its corresponding '049 publication) to assign to Biotech Synergy, Inc. in 2011. Therefore, IDM Pharma, Inc.'s 2011 assignment to Biotech Synergy, Inc. recorded under Reel Frame 033453-0170 is null and void

9. Because the assignment under Reel Frame 033453-0170 is recorded in error, it should not have altered the ownership of the '970 application (and its corresponding '049 publication), and Epimmune Inc. continues to be the rightful owner of the '970 application (and its corresponding '049 publication).

10. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code.

2015. 11. 16
Date



Sang Jae Kim

CEO
Epimmune Inc.
5727 Amaro Drive
San Diego, CA 92124
USA

2191348-2

Exhibit A

Assignments Data

Patent Assignment Abstract of Title

Total Assignments: 5
Application #: 10817970

Filing Dt: 04/06/2004

Patent #: NONE

Issue Dt:
PCT #: NONE

Intl Reg #:
Publication #: US20070055049

Pub Dt: 03/08/2007

Inventors: Howard M. Grey, Alessandro Sette, John Sidney, Scott Southwood, Ralph T. Kubo, Esteban Celis, Robert Chesnut, W. Martin Kast

Title: HLA BINDING MOTIFS AND PEPTIDES AND THEIR USES

Assignment: 1
Reel/Frame: 015718 / 0382

Received: 03/01/2005

Recorded: 03/01/2005

Mailed: 03/02/2005

Pages: 6

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignors: GREY, HOWARD M.

Exec Dt: 07/13/2004

SETTE, ALESSANDRO

Exec Dt: 07/13/2004

SIDNEY, JOHN

Exec Dt: 07/13/2004

SOUTHWOOD, SCOTT

Exec Dt: 07/20/2004

KUBO, RALPH T.

Exec Dt: 07/13/2004

CELIS, ESTEBAN

Exec Dt: 07/14/2004

CHESNUT, ROBERT

Exec Dt: 09/14/2004

KAST, W. MARTIN

Exec Dt: 08/15/2004

Assignee: EPIMMUNE INC.

 5820 NANCY RIDGE DRIVE
 SAN DIEGO, CALIFORNIA 92121

Correspondent: STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.
 1100 NEW YORK AVENUE, N.W.
 WASHINGTON, DC 20005

Assignment: 2
Reel/Frame: 017115 / 0312

Received: 02/03/2006

Recorded: 02/03/2006

Mailed: 02/03/2006

Pages: 9

Conveyance: MERGER (SEE DOCUMENT FOR DETAILS).

Assignor: EPIMMUNE INC.

Exec Dt: 08/15/2005

Assignee: IDM PHARMA, INC.

 5820 NANCY RIDGE DRIVE, SUITE 100
 SAN DIEGO, CALIFORNIA 92121

Correspondent: STERNE KESSLER GOLDSTEIN & FOX PLLC
 1100 NEW YORK AVE, NW
 WASHINGTON, DC 20005

Assignment: 3
Reel/Frame: 017115 / 0860

Received: 02/06/2006

Recorded: 02/06/2006

Mailed: 02/06/2006

Pages: 27

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignor: IDM PHARMA, INC.

Exec Dt: 12/22/2005

Assignee: PHARMEXA INC.

 5820 NANCY RIDGE DRIVE, SUITE 100
 SAN DIEGO, CALIFORNIA 92121

Correspondent: STERNE KESSLER GOLDSTEIN & FOX PLLC
 1100 NEW YORK AVE, NW
 WASHINGTON, DC 20005

Assignment: 4
Reel/Frame: 028807 / 0656

Received: 08/17/2012

Recorded: 08/17/2012

Mailed: 08/20/2012

Pages: 3

Conveyance: CHANGE OF NAME (SEE DOCUMENT FOR DETAILS).

Assignor: PHARMEXA INC.

Exec Dt: 04/29/2009

Assignee: EPIMMUNE INC.

 5820 NANCY RIDGE DRIVE
 SAN DIEGO, CALIFORNIA 92121

Correspondent: PAUL A. CALVO
 1100 NEW YORK AVE N.W.
 WASHINGTON, DC 20005

Assignment: 5
Reel/Frame: 033453 / 0170

Received: 07/31/2014

Recorded: 07/31/2014

Mailed: 08/05/2014

Pages: 13

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignor: IDM PHARMA, INC.

Exec Dt: 03/31/2011

Assignee: BIOTECH SYNERGY, INC.

 5916 VIA ZURITA
 LA JOLLA, CALIFORNIA 92037

Correspondent: SARAH B. ADRIANO
 690 EAST GREEN STREET
 SUITE 300
 PASADENA, CA 91101

Search Results as of: 11/16/2015 11:25:31 AM

Disclaimer:

Assignment information on the assignment database reflects assignment documents that have been actually recorded. If the assignment for a patent was not recorded, the name of the assignee on the patent application publication or patent may be different.