

<b>PATENT ASSIGNMENT COVER SHEET</b>
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Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT3637850

<b>SUBMISSION TYPE:</b>	RESUBMISSION
<b>NATURE OF CONVEYANCE:</b>	DECLARATION TO CORRECT AN IMPROPERLY RECORDED ASSIGNMENT UNDER M.P.E.P. 323.01(C) AT REEL 033453, FRAME 0170
<b>RESUBMIT DOCUMENT ID:</b>	503570380

**CONVEYING PARTY DATA**

Name	Execution Date
EPIMMUNE INC.	11/16/2015

**RECEIVING PARTY DATA**

<b>Name:</b>	EPIMMUNE INC.
<b>Street Address:</b>	5727 AMARO DRIVE
<b>City:</b>	SAN DIEGO
<b>State/Country:</b>	CALIFORNIA
<b>Postal Code:</b>	92124

**PROPERTY NUMBERS Total: 1**

Property Type	Number
<b>Application Number:</b>	10817970

**CORRESPONDENCE DATA**

**Fax Number:** (202)371-2540

*Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.*

**Phone:** 2023712600

**Email:** PCALVO@SKGF.COM, PKHANDURI@SKGF.COM, DENISEB@SKGF.COM

**Correspondent Name:** STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C

**Address Line 1:** 1100 NEW YORK AVENUE, NW

**Address Line 4:** WASHINGTON, D.C. 20005

<b>ATTORNEY DOCKET NUMBER:</b>	2473.0500000/PAC/P-K/RXS
<b>NAME OF SUBMITTER:</b>	PAUL A. CALVO
<b>SIGNATURE:</b>	/Paul A. Calvo 57913/
<b>DATE SIGNED:</b>	12/01/2015

**Total Attachments: 6**

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## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
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EPAS ID: PAT3617007

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT	
<b>NATURE OF CONVEYANCE:</b>	DECLARATION TO CORRECT AN IMPROPERLY RECORDED ASSIGNMENT UNDER M.P.E.P. 323.01(C)	
<b>CONVEYING PARTY DATA</b>		
	<b>Name</b>	<b>Execution Date</b>
	EPIMMUNE INC.	11/16/2015
<b>RECEIVING PARTY DATA</b>		
<b>Name:</b>	EPIMMUNE INC.	
<b>Street Address:</b>	5727 AMARO DRIVE	
<b>City:</b>	SAN DIEGO	
<b>State/Country:</b>	CALIFORNIA	
<b>Postal Code:</b>	92124	
<b>PROPERTY NUMBERS Total: 1</b>		
	<b>Property Type</b>	<b>Number</b>
	Application Number:	10817970
<b>CORRESPONDENCE DATA</b>		
<b>Fax Number:</b>	(202)371-2540	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
<b>Phone:</b>	2023712600	
<b>Email:</b>	PCALVO@SKGF.COM, PKHANDURI@SKGF.COM, DENISEB@SKGF.COM	
<b>Correspondent Name:</b>	STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C	
<b>Address Line 1:</b>	1100 NEW YORK AVENUE, NW	
<b>Address Line 4:</b>	WASHINGTON, D.C. 20005	
<b>ATTORNEY DOCKET NUMBER:</b>	2473.0500000/PAC/P-K/RXS	
<b>NAME OF SUBMITTER:</b>	PAUL A. CALVO	
<b>SIGNATURE:</b>	/Paul A. Calvo #57,913/	
<b>DATE SIGNED:</b>	11/16/2015	
<b>Total Attachments: 5</b>		
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

GREY *et al.*

Appl. No.: 10/817,970

Filed: April 6, 2004

For: **HLA Binding Motifs and Peptides  
and Their Uses**

Confirmation No.: 3165

Art Unit: 1644

Examiner: Ronald B. Schwadron

Atty. Docket: 2473.0500000/PAC/P-K/RXS

**Declaration to Correct An Improperly Recorded Assignment Under  
M.P.E.P. 323.01(c)**

*Mail Stop Assignment Services Division*

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

I, the undersigned, declare and state that,

1. I, Sang Jae Kim, am the CEO of Epimmune Inc. located at 5727 Amaro Drive, San Diego, California 92124, USA.
2. In 2004, the entire right, title, and interest of all the inventors – Howard M. Grey, Alessandro Sette, John Sidney, Scott Southwood, Ralph T. Kubo, Esteban Celis, Robert Chesnut, and W. Martin Kast – in U.S. Appl. No. 10/817,970 (and its corresponding Patent Application Publication No. 2007/0055049 A1) were assigned to Epimmune Inc. The assignment was recorded under Reel/Frame 015718/0382 on March 1, 2005.
3. In a merger executed on August 15, 2005 and recorded on February 3, 2006 under Reel/Frame 017115/0312, Epimmune Inc. assigned the right, title, and interest in the '970 application (and its corresponding '049 publication) to IDM Pharma, Inc.

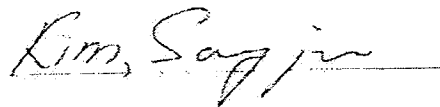
4. In an assignment executed on December 22, 2005 and recorded on February 6, 2006, under Reel/Frame 017115/0860, IDM Pharma, Inc. assigned its entire right, title, and interest in the '970 application (and its corresponding '049 publication) to Pharmexa Inc.
5. A name change document, changing the assignee's name from Pharmexa Inc. to Epimmune Inc., was executed on April 29, 2009 and recorded on August 17, 2012 under Reel/Frame 028807/0656.
6. Accordingly, the entire right, title, and interest in the '970 application (and its corresponding '049 publication) were assigned from all the original inventors to Epimmune Inc. Therefore, Epimmune Inc. is the correct owner of the '970 application (and its corresponding '049 publication), as shown in the Patent Assignment Abstract of Title attached as EXHIBIT A.
7. On July 31, 2014, an erroneous assignment was recorded under Reel/Frame 033453/0170. The assignment was executed on March 31, 2011 and conveyed the rights, title, and interest in the '970 application (and its corresponding '049 publication) from IDM Pharma, Inc. to Biotech Synergy, Inc. This assignment was not authorized by the correct owner of the '970 application (and its corresponding '049 publication), Epimmune Inc., and was submitted with erroneous information.
8. Specifically, IDM Pharma, Inc. had already assigned its entire right, title, and interest in the '970 application (and its corresponding '049 publication) to Pharmexa Inc. on December 22, 2005 in an assignment that was recorded on February 6, 2006 under Reel/Frame 017115/0860. In addition, any or all applications that claim benefit of the '970 application and any or all inventions that described in the '970 application were

assigned by IDM Pharma, Inc. to Pharmexa Inc. As such, IDM Pharma, Inc. no longer had any rights, title, and interest in the '970 application (and its corresponding '049 publication) to assign to Biotech Synergy, Inc. in 2011. Therefore, IDM Pharma, Inc.'s 2011 assignment to Biotech Synergy, Inc. recorded under Reel Frame 033453-0170 is null and void

9. Because the assignment under Reel Frame 033453-0170 is recorded in error, it should not have altered the ownership of the '970 application (and its corresponding '049 publication), and Epimmune Inc. continues to be the rightful owner of the '970 application (and its corresponding '049 publication).

10. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code.

2015. 11. 16  
Date



Sang Jae Kim

CEO  
Epimmune Inc.  
5727 Amaro Drive  
San Diego, CA 92124  
USA

2191348-2

# Exhibit A

## Assignments Data

### Patent Assignment Abstract of Title

**Total Assignments: 5**
**Application #:** 10817970

**Filing Dt:** 04/06/2004

**Patent #:** NONE

**Issue Dt:**
**PCT #:** NONE

**Intl Reg #:**
**Publication #:** US20070055049

**Pub Dt:** 03/08/2007

**Inventors:** Howard M. Grey, Alessandro Sette, John Sidney, Scott Southwood, Ralph T. Kubo, Esteban Celis, Robert Chesnut, W. Martin Kast

**Title:** HLA BINDING MOTIFS AND PEPTIDES AND THEIR USES

**Assignment: 1**
**Reel/Frame:** 015718 / 0382

**Received:** 03/01/2005

**Recorded:** 03/01/2005

**Mailed:** 03/02/2005

**Pages:** 6

**Conveyance:** ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

**Assignors:** GREY, HOWARD M.

**Exec Dt:** 07/13/2004

SETTE, ALESSANDRO

**Exec Dt:** 07/13/2004

SIDNEY, JOHN

**Exec Dt:** 07/13/2004

SOUTHWOOD, SCOTT

**Exec Dt:** 07/20/2004

KUBO, RALPH T.

**Exec Dt:** 07/13/2004

CELIS, ESTEBAN

**Exec Dt:** 07/14/2004

CHESNUT, ROBERT

**Exec Dt:** 09/14/2004

KAST, W. MARTIN

**Exec Dt:** 08/15/2004

**Assignee:** EPIMMUNE INC.

 5820 NANCY RIDGE DRIVE  
 SAN DIEGO, CALIFORNIA 92121

**Correspondent:** STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.  
 1100 NEW YORK AVENUE, N.W.  
 WASHINGTON, DC 20005

**Assignment: 2**
**Reel/Frame:** 017115 / 0312

**Received:** 02/03/2006

**Recorded:** 02/03/2006

**Mailed:** 02/03/2006

**Pages:** 9

**Conveyance:** MERGER (SEE DOCUMENT FOR DETAILS).

**Assignor:** EPIMMUNE INC.

**Exec Dt:** 08/15/2005

**Assignee:** IDM PHARMA, INC.

 5820 NANCY RIDGE DRIVE, SUITE 100  
 SAN DIEGO, CALIFORNIA 92121

**Correspondent:** STERNE KESSLER GOLDSTEIN & FOX PLLC  
 1100 NEW YORK AVE, NW  
 WASHINGTON, DC 20005

**Assignment: 3**
**Reel/Frame:** 017115 / 0860

**Received:** 02/06/2006

**Recorded:** 02/06/2006

**Mailed:** 02/06/2006

**Pages:** 27

**Conveyance:** ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

**Assignor:** IDM PHARMA, INC.

**Exec Dt:** 12/22/2005

**Assignee:** PHARMEXA INC.

 5820 NANCY RIDGE DRIVE, SUITE 100  
 SAN DIEGO, CALIFORNIA 92121

**Correspondent:** STERNE KESSLER GOLDSTEIN & FOX PLLC  
 1100 NEW YORK AVE, NW  
 WASHINGTON, DC 20005

**Assignment: 4**
**Reel/Frame:** 028807 / 0656

**Received:** 08/17/2012

**Recorded:** 08/17/2012

**Mailed:** 08/20/2012

**Pages:** 3

**Conveyance:** CHANGE OF NAME (SEE DOCUMENT FOR DETAILS).

**Assignor:** PHARMEXA INC.

**Exec Dt:** 04/29/2009

**Assignee:** EPIMMUNE INC.

 5820 NANCY RIDGE DRIVE  
 SAN DIEGO, CALIFORNIA 92121

**Correspondent:** PAUL A. CALVO  
 1100 NEW YORK AVE N.W.  
 WASHINGTON, DC 20005

**Assignment: 5**
**Reel/Frame:** 033453 / 0170

**Received:** 07/31/2014

**Recorded:** 07/31/2014

**Mailed:** 08/05/2014

**Pages:** 13

**Conveyance:** ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

**Assignor:** IDM PHARMA, INC.

**Exec Dt:** 03/31/2011

**Assignee:** BIOTECH SYNERGY, INC.

 5916 VIA ZURITA  
 LA JOLLA, CALIFORNIA 92037

**Correspondent:** SARAH B. ADRIANO  
 690 EAST GREEN STREET  
 SUITE 300  
 PASADENA, CA 91101

Search Results as of: 11/16/2015 11:25:31 AM

**Disclaimer:**

Assignment information on the assignment database reflects assignment documents that have been actually recorded. If the assignment for a patent was not recorded, the name of the assignee on the patent application publication or patent may be different.