

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

EPAS ID: PAT3642168

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	CHANGE OF NAME
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
TSMC SOLID STATE LIGHTING LTD.	04/02/2015
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	CHIP STAR LTD.
<b>Street Address:</b>	NO. 9 LIXING 4TH RD.
<b>Internal Address:</b>	HSINCHU SCIENCE AND INDUSTRIAL PARK
<b>City:</b>	HSINCHU CITY
<b>State/Country:</b>	TAIWAN
<b>PROPERTY NUMBERS Total: 10</b>	
<b>Property Type</b>	<b>Number</b>
Patent Number:	8714778
Patent Number:	8999770
Patent Number:	9048113
Application Number:	14174891
Application Number:	14303628
Application Number:	14307545
Patent Number:	8668366
Patent Number:	8939611
Patent Number:	8702278
Patent Number:	8563334
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(703)621-7155
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	703-621-7140
<b>Email:</b>	mailroom@mg-ip.com
<b>Correspondent Name:</b>	MUNCY, GEISSLER, OLDS & LOWE, P.C.
<b>Address Line 1:</b>	4000 LEGATO ROAD
<b>Address Line 2:</b>	SUITE 310
<b>Address Line 4:</b>	FAIRFAX, VIRGINIA 22033
<b>ATTORNEY DOCKET NUMBER:</b>	5610/0314M

PATENT

<b>NAME OF SUBMITTER:</b>	JOE MCKINNEY MUNCY
<b>SIGNATURE:</b>	/Joe McKinney Muncy/
<b>DATE SIGNED:</b>	12/03/2015
<b>Total Attachments: 12</b> source=2015-11-24 document - TSMC Solid State Lighting name change into Chipstar#page1.tif source=2015-11-24 document - TSMC Solid State Lighting name change into Chipstar#page2.tif source=2015-11-24 document - TSMC Solid State Lighting name change into Chipstar#page3.tif source=2015-11-24 document - TSMC Solid State Lighting name change into Chipstar#page4.tif source=2015-11-24 document - TSMC Solid State Lighting name change into Chipstar#page5.tif source=2015-11-24 document - TSMC Solid State Lighting name change into Chipstar#page6.tif source=2015-11-24 document - TSMC Solid State Lighting name change into Chipstar#page7.tif source=2015-11-24 document - TSMC Solid State Lighting name change into Chipstar#page8.tif source=2015-11-24 document - TSMC Solid State Lighting name change into Chipstar#page9.tif source=2015-11-24 document - TSMC Solid State Lighting name change into Chipstar#page10.tif source=2015-11-24 document - TSMC Solid State Lighting name change into Chipstar#page11.tif source=2015-11-24 document - TSMC Solid State Lighting name change into Chipstar#page12.tif	

(Translation)  
Original

File number :  
Retention year cycle :

**CORRESPONDENCE**

Science Park Administration, Ministry of Science and Technology

Address : No. 2, Xinan Rd., Hsinchu City  
30016, Taiwan, R.O.C.  
Liaison : CHANG, LI-HSIN  
Contact Tel. : 03-5773311, ext. 2415

300 P2-Registered mail

No. 5, Lixing 5<sup>th</sup> Rd., Hsinchu Science and Industrial Park, Hsinchu City

Recipient: CHIP STAR Ltd.

Issue Date : Apr. 2, 2015  
Document issuance reference No. : Zhu-shang-zi No. 1040009355  
Classification :  
Confidentiality and declassifying criterion or duration of confidentiality:  
Enclosure : Change registration form

Subject: please be advised that in response to your company has applied for an across-board reelection of the directors and supervisors, and also the appointment of CHOU, MING-CHUN as the chairman, changing the company name form TSMC Solid State Lighting Ltd. to CHIP STAR Ltd., and the amendment of company articles of incorporation, and the change registration on the resignations of managers TSO, TA-CHUAN and LIU, SHUN-HENG, it is hereby approved for registration.

Description:

- I. The response has been made to address your company's (2015)-yuan-xin-tsai-(gu)-zi No. 001 application on March 24, 2015 and your retroactively submitted documents on March 31, 2015.
- II. Name of the ruling recipient: CHIP STAR Ltd. (name of representative: CHOU, MING-CHUN, carrying the I.D. Card No.: [REDACTED]), registered company address: No. 9, Lixing 4<sup>th</sup> Rd., Hsinchu City, Hsinchu Science and Industrial Park.
- III. Please apply for a business change registration with the local taxation agency where the business is located within 15 days from the case's approval date, in accordance with relevant tax law regulations, and also per the factory management consultation act, your company shall file for a factory change registration with the Bureau upon obtaining relevant approval documents.
- IV. Enclosed please find one copy of the change registration form.
- V. When contesting the ruling, please present a statement of appeal per the Appeals Law, and as per stipulated under article 14 and article 58 of said law, the appellant is to prepare a statement of appeal within 30 days from the following day the ruling has been delivered (based on the actual date the statement of appeal is received, rather than the postal forwarding date), whereby the office (at address: No. 2, Xinan Rd., Hsinchu City) is to file for an appeal with the Ministry of Science and Technology.

Original : CHIP STAR Ltd.

Also notify : Mr. CHOU, MING-CHUN; Hsinchu Operation Section, Service Division Unit 2, Taipei Customs, Customs Administration, Ministry of Finance; National Taxation Bureau of the Northern Area, Ministry of Finance, Hsinchu Branch; Office of Director, Planning Section, Investment Section, Environmental and Security Section, Security Protection Division of Industry/Commerce Section,

Construction Section, Building Management Section, Secretarial Office of the Bureau; Hsinchu Science Park Office, the Information Section, Ministry of Science and Technology; Fire Brigade; First Company of Third Brigade, Special Police Second Headquarters, National Police Agency, Ministry of the Interior

TU, CHI-HSIANG  
Office Director

The case has been authorized to the section head for ruling and execution per the government hierarchy responsibility scheme regulations.

Office document paper for Science Park Administration, Ministry of Science and Technology

Page 1, totaling 2 pages

**CHANGE REGISTRATION FORM**

Totaling 4 Pages, Page 1

Pre-check No.	104001322
Business Registration No.	28113223
Contact Telephone No.	(03)5678000
Overseas Investing Business	No
Public Offering	No
Mainland Capital	No
Company's Original Name	TSMC Solid State Lighting Ltd.

(Endorsement of Company)	(Endorsement of Person-in-Charge)
CHIP STAR Ltd.	CHOU, MING-CHUN

Please use oil-based ink when affixing seal and keep seal within the borders of the box.

<input checked="" type="checkbox"/>	1. Name of Company (after changed)	CHIP STAR Ltd.
	2. Location of Company	No. 9, Lixing 4 <sup>th</sup> Rd., Hsinchu City, Hsinchu Science Park (300), Taiwan, R.O.C.
<input checked="" type="checkbox"/>	3. Person-in-charge	CHOU, MING-CHUN
	4. Value Per Share	NT\$
	5. Total Capital	NT\$
	6. Total Paid-up Capital	NT\$
	7. Total Shares	shares
	8. Total Shares Issued	1. Common Stock - shares 2. Special Stock - shares
<input checked="" type="checkbox"/>	9. Number & Tenure of Director	3 persons From March 18, 2015 to March 17, 2018 (Including Independent Director not less than 2 people, also not less than 1/5 of Board of Directors)
<input checked="" type="checkbox"/>	10. <input checked="" type="checkbox"/> Number & Tenure of Supervisor or <input type="checkbox"/> Audit Committee	1 person From March 18, 2015 to March 17, 2018 The Company is installed with Audit Committee and is comprised with all independent directors. The Committee is acting in lieu of Supervisors
<input checked="" type="checkbox"/>	11. Date of Company Articles Established	March 18, 2015

※Change Registration Date No.: File No.:

The public affairs seal column
Company Registration Seal of Science Park Administration, Ministry of Science and Technology ( <input checked="" type="checkbox"/> ) Name of Company ( <input type="checkbox"/> ) Location of Company ( <input checked="" type="checkbox"/> ) Amendment of Articles ( <input type="checkbox"/> ) Business Items ( <input type="checkbox"/> ) Capital Increase ( <input type="checkbox"/> ) Capital Reduction ( <input type="checkbox"/> ) New Share Issuance ( <input checked="" type="checkbox"/> ) Change of Directors and Supervisors ( <input checked="" type="checkbox"/> ) Manager Resigned ( <input type="checkbox"/> ) Endorsement ( <input type="checkbox"/> ) Other
(Special seal of Company Registration Form, Science Park Administration, Ministry of Science and Technology; Zhu-shang No. 9355 on Apr. 2, 2015)

1. The application form comes in one format with duplicate, and following the approved processing, one copy is to be retained at the approval processing unit, and one copy is to be returned to the application company for retention.
2. In support of the computerized processing, please type set or computer process it clearly in black ink, and please use the Arabic numbers for the numeral number portions, and please do not fold, mend, paste or erase the application form.
3. \*For the applicant, please do not enter the application fields, such as the company change registration date number, and file number.
4. When falsely declaring the capital in breach of the Corporate Law to result in entering the untrue company capitalization, the company director may receive a maximum imprisonment term of up to five years.
5. In support of the postal delivery work, please enter the postal zip code in the mailing address.
6. Please mark ■ before "Number & Tenure of Supervisor", and fill out number and tenure; or mark ■ before "Audit Committee", number and tenure are not necessary in the column 10 in accordance with the articles of company.

**CHANGE REGISTRATION FORM OF CHIP STAR LTD.**

Note:

1. If the column spaces are not enough, please duplicate them for further uses; and also please delete the non-used column spaces. If this page is not enough for you, please duplicate the whole page and increase or decrease the column spaces.
2. Please kick one of the boxes at the end of the page whether there is a following page or not and please do not delete these boxes.

Check when modified	12. Detail of Current Increasing Share Capital (if the share capital is the merger of item 8, 9, 10, 11, please fill out the column 14)		
	Assets Increasing	1. Cash	NT\$0
		2. Property other than cash	NT\$0
		3. Share swap	NT\$0
		4. Share conversion by stock warrant voucher	NT\$0
	Equity Adjustments	5. Additional paid-in capital	NT\$0
		6. Legal reserve	NT\$0
		7. Dividend and bonus	NT\$0
	Merger and Acquisition	8. Merger	NT\$0
		9. Division	NT\$0
		10. Share exchange	NT\$0
		11. Acquisition	NT\$0
	Other	12. Creditor's rights serving as payment of shares	NT\$0
		13. Convertible corporate bonds shares	NT\$0
14. Restricted Employee New Shares		NT\$0	
13. Detail of Current Decreasing Share Capital	1. Losses covered	NT\$0	
	2. Refund of shares	NT\$0	
	3. Writing off treasury stock	NT\$0	
	4. Elimination shares due to merger	NT\$0	
	5. Capital reduction due to division	NT\$0	
	6. Redeem from preferred stock	NT\$0	
	7. Writing off Restricted Employee New Shares	NT\$0	
14. Details of Merged Company			
Type of Acquisition	Acquisition Date	Information Regarding the Company Being Merged	
		Business Registration No.	Name of the Company

Following pages: YES ☒Following pages: NO ☐

The public affairs seal column

**CHANGE REGISTRATION FORM OF CHIP STAR LTD.**

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2. Please kick one of the boxes at the end of the page whether there is a following page or not and please do not delete these boxes

Check when changed	Business Items		
	No	Code	Description of Business Items
	1	CC01080	Electronic Parts and Components Manufacturing
	2	CC01040	Lighting Equipment Manufacturing
			Researching, developing, manufacturing and selling the solid state lighting
			Equipment and relevant applicable products and system

Check when changed	Name List of Director, Supervisor or Other Person-in-charge				
	No.	Position	Name	I.D. Card No.	Shares Held
			Address		
✓		Chairman	CHOU, MING-CHUN		
✓		Director			
✓		Director			
✓		Supervisor			0

Check when changed	Name List of Manager			
	No.	Name	I.D. Card No.	Date of Employment
			Address	
	1	HUANG, CHAO-NIEN		

Following pages: YES ☒Following pages: NO ☐

The public affairs seal column



**CHANGE REGISTRATION FORM OF CHIP STAR LTD.**

Note:

1. If the column spaces are not enough, please duplicate them for further uses; and also please delete the non-used column spaces. If this page is not enough for you, please duplicate the whole page and increase or decrease the column spaces.
2. Please tick one of the boxes at the end of the page whether there is a following page or not and please do not delete these boxes

Representative Corporation			
Check when changed	No.	Serial No. of Director/Supervisor	Representative Corporation
	Uniform No.		
Address			
✓	1	01~03	Epistar Corporation
		84149786	
		No. 5, Lixing 5 <sup>th</sup> Rd., Hsinchu City, Hsinchu Science and Industrial Park (300)	

Following pages: YES ☐

Following pages: NO ☒

The public affairs seal column
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正本

檔 號：

保存年限：

## 科技部新竹科學工業園區管理局 函

地址：30016 新竹市新安路 2 號

聯絡人：張莉昕

電話：03-5773311 分機 2415



300 P2-掛號

新竹市科學工業園區力行五路 5 號

受文者：元芯光電股份有限公司

發文日期：中華民國 104 年 4 月 2 日

發文字號：竹商字第 1040009355 號

速別：

密等及解密條件或保密期限：

附件：變更登記表

主旨：貴公司申請全面改選董事、監察人並選任周銘俊為董事長、更改公司名稱由台積固態照明股份有限公司變更為元芯光電股份有限公司暨修改章程、經理人左大川、劉順衡辭任等變更登記一案，准予登記，請查照。

說明：

- 一、復貴公司 104 年 3 月 24 日 (104) 元芯財(股)字第 001 號申請書及 104 年 3 月 31 日補送文件辦理。
- 二、處分相對人名稱：元芯光電股份有限公司（代表人姓名：周銘俊、身分證統一編號：[REDACTED]）、公司所在地：新竹科學工業園區新竹市力行四路 9 號。
- 三、請於本案核准日起 15 日內依相關稅法規定向所在地稅捐稽徵機關申辦營業變更登記，另依工廠管理輔導法，應取得相關核准文件後，向本局辦理工廠變更登記。
- 四、檢附變更登記表乙紙。
- 五、如不服本處分者請依訴願法提起訴願，爰依該法第 14 條及第 58 條規定，自本處分書送達之次日起 30 日內（以實際收受訴願書之日期為準，而非投郵日），繕具訴願書，送由本局（地址：新竹市新安路 2 號）向科技部提起訴願。

正本：元芯光電股份有限公司

副本：周銘俊君、財政部關務署臺北關業務二組新竹業務課、財政部北區國稅局新竹分局、本局局長室、企劃組、投資組、環安組、工商組、工商組安全防護科、營建組、建管組、秘書室、科技部資訊處竹科辦公室、消防隊、內政部警政署保安警察第二總隊第三大隊第一中隊

局長杜啟祥

本案依照分層負責規定  
授權單位主管決行



元 芯 光 電

## 股份有限公司變更登記表

註：1、欄位不足請自行複製，未使用之欄位可自行刪除，若本頁不足使用，請複製全頁後自行增減欄位。

2、有、無續頁，請於頁尾勾選一項，並請勿刪除。

變 更 時 請 打 ✓	十二、本次股本增加明細				1、現金	元
	(股本若為8、9、10、11之併購者，請加填第十四欄)		資產增加	2、現金以外財產		元
				3、股份交換		元
				4、認股權憑證轉換股份		元
				5、資本公積		元
			權益科目調整	6、法定盈餘公積		元
				7、股息及紅利		元
				8、合併		元
			併購	9、分割受讓		元
				10、股份轉換		元
				11、收購		元
				12、債權抵繳股款		元
			其他	13、公司債轉換股份		元
				14、限制員工權利新股		元
十三、本次股本減少明細		1、彌補虧損		元	2、退還股款	元
		3、註銷庫藏股		元	4、合併銷除股份	元
		5、分割減資		元	6、收回特別股	元
		7、註銷限制員工權利新股		元		元
十四、被併購公司資料明細						
併購種類	併購基準日	被併購公司				
		統一編號	公司名稱			

有續頁請打 ✓ ☒無續頁請打 ✓ ☐

公務記載蓋章欄

元芯光電

## 股份有限公司變更登記表

註：1、欄位不足請自行複製，未使用之欄位可自行刪除，若本頁不足使用，請複製全頁後自行增減欄位。  
2、有、無續頁，請於頁尾勾選一項，並請勿刪除。

所 營 事 業			
變更 時請 打	編號	代 碼	營 業 項 目 說 明
	1	CC01080	電子零組件製造業
	2	CC01040	照明設備製造業
			從事研究、開發、設計、製造與銷售固態照明 (Solid State Lighting)
			裝置及其相關應用產品與系統。

董 事、監 察 人 或 其 他 負 責 人 名 單					
變更 時請 打	編號	職 稱	姓名(或法人名稱)	身分證號(或法人統一編號)	持有股份(股)
		(郵遞區號)	住 所 或 居 所(或 法 人 所 在 地)		
✓	1	董事長	周銘俊		
		( )			
✓	2	董事			
		( )			
✓	3	董事			
		( )			
✓	4	監察人			0
		( )			

經 理 人 名 單				
變更 時請 打	編號	姓 名	身分證統一編號	到職日期(年月日)
		(郵遞區號)	住 所 或 居 所	
✓	1	黃兆年		104.01.23
		( )		

有續頁請打 ✓ ☒無續頁請打 ✓ ☐

公務記載蓋章欄

元芯光電

## 股份有限公司變更登記表

註：1、欄位不足請自行複製，未使用之欄位可自行刪除，若本頁不足使用，請複製全頁後自行增減欄位。  
 2、有、無續頁，請於頁尾勾選一項，並請勿刪除。

所 代 表 法 人			
變更 時請 打✓	編號	董 監 事 編 號	所代表法人名稱 法人統一編號
		(郵遞區號)	法 人 所 在 地
✓	1	01 ~ 03	晶元光電股份有限公司 84149786
		(300)	新竹科學工業園區新竹市力行五路5號

有續頁請打✓ ☐無續頁請打✓ ☒

公務記載蓋章欄

商 1302 - 4 網