#### 503603535 12/09/2015

#### PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

**SUBMISSION TYPE:** CORRECTIVE ASSIGNMENT **NATURE OF CONVEYANCE:** Corrective Assignment to correct the SIGNATURE DATES OF INVENTORS, TOSHIAKI KIRIHATA AND KARTHIK V. SWAMINATHAN previously recorded on Reel 037208 Frame 0587. Assignor(s) hereby

confirms the THE CORRECT SIGNATURE DATE FOR TOSHIAKI KIRIHATA IS 5/31/2014 AND FOR KARTHIK V. SWAMINATHAN IS 5/30/2014.

EPAS ID: PAT3650165

#### **CONVEYING PARTY DATA**

Name	Execution Date
ALPER BUYUKTOSUNOGLU	05/30/2014
SRIVATSAN CHELLAPPA	05/30/2014
TOSHIAKI KIRIHATA	05/31/2014
KARTHIK V. SWAMINATHAN	05/30/2014

#### RECEIVING PARTY DATA

Name:	INTERNATIONAL BUSINESS MACHINES CORPORATION	
Street Address:	NEW ORCHARD ROAD	
City:	ARMONK	
State/Country:	NEW YORK	
Postal Code:	10504	

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	14959050

#### CORRESPONDENCE DATA

Fax Number: (845)892-6363

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 845-892-4279

fdciplaw@us.ibm.com Email:

IBM CORP - FISHKILL DRAFTING CENTER **Correspondent Name:** 

Address Line 1: 2070 ROUTE 52 Address Line 2: BLDG 321, M/D 482

Address Line 4: HOPEWELL JUNCTION, NEW YORK 12533

ATTORNEY DOCKET NUMBER:	BUR920130187US2	
NAME OF SUBMITTER:	REZA SARBAKHSH	
SIGNATURE:	/REZA SARBAKHSH/	

**PATENT** REEL: 037254 FRAME: 0957 503603535

DATE SIGNED:	12/09/2015
Total Attachments: 7	
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PATENT REEL: 037254 FRAME: 0958

#### 503596491 12/04/2015

### PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

SUBMISSION TYPE:NEW ASSIGNMENTNATURE OF CONVEYANCE:ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
ALPER BUYUKTOSUNOGLU	05/30/2014
SRIVATSAN CHELLAPPA	05/30/2014
TOSHIAKI KIRIHATA	05/31/2015
KARTHIK V. SWAMINATHAN	05/30/2015

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**Phone:** 845 892 4279

**Email:** fdciplaw@us.ibm.com

Correspondent Name: IBM CORP - FISHKILL DRAFTING CENTER

Address Line 1: 2070 ROUTE 52
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Address Line 4: HOPEWELL JUNCTION, NEW YORK 12533

ATTORNEY DOCKET NUMBER:	BUR920130187US2	
NAME OF SUBMITTER:	REZA SARBAKHSH	
SIGNATURE:	/REZA SARBAKHSH/	
DATE SIGNED:	12/04/2015	
This document serves as an Oath/Declaration (37 CFR 1.63).		

**Total Attachments: 6** 

PATENT REEL: 037254 FRAME: 0959

EPAS ID: PAT3643121

## DECLARATION (37 C.F.R. 1.63) FOR UTILITY PATENT APPLICATION USING AN APPLICATION DATA SHEET (37 C.F.R. 1.76) AND ASSIGNMENT

Title of Invention: HIERARCHICAL IN-MEMORY SORT ENGINE

As a below named and undersigned inventor, I hereby declare that:
This declaration is directed to the attached application, or (if following box is checked):
[ ] United States application or PCT international application number
The above-identified application was made or authorized to be made by me.
I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.
I have reviewed and understand the contents of the application, including the claims.
I am aware of the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR Section 1.56.
Whereas I ("ASSIGNOP") have made contain investigation

Whereas, I ("ASSIGNOR") have made certain inventions, improvements, and discoveries (herein referred to as the "Invention") disclosed in the above-identified patent application and further identified by the IBM Docket Number provided above in the header of this document;

Whereas, International Business Machines Corporation (herein referred to as the "ASSIGNEE"), a corporation of New York having a place of business at Armonk, New York, desires to acquire, and I desire to grant to the ASSIGNEE, my entire worldwide right, title, and interest in and to the Invention and in and to any and all patent applications and patents directed thereto;

Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, I hereby sell or have sold, assign or have assigned, and otherwise transfer or have transferred to the ASSIGNEE, its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the Invention, the above-identified United States patent application, and any and all other patent applications and patents for the Invention which may be applied for or granted therefore in the United States and in all foreign countries and jurisdictions, including all divisions, continuations, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such patents. In addition, I hereby authorize and request the Director of the United States Patent and Trademark Office to issue any United States Patent, and foreign patent authorities to issue any foreign patent, granted for the Invention, to the ASSIGNEE, its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the same to be held and enjoyed by the ASSIGNEE, its successors, legal representatives, and assigns to the full end of the terms for which any and all such patents may be granted, as fully and entirely as would have been held and enjoyed by me had this Assignment not been made; and I agree to execute any and all documents and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the Invention and all related patents and applications, in the ASSIGNEE, its successors, legal representatives, and assigns, whenever requested by the ASSIGNEE, its successors, legal representatives, or assigns.

I acknowledge my prior and ongoing obligations to sell, assign, and transfer my rights under this

Page 1 of 2

Assignment to the ASSIGNEE and am unaware of any reason why I may not have the full and unencumbered right to sell, assign, and transfer my rights hereby sold, assigned, and transferred, and have not executed, and will not execute, any document or instrument in conflict herewith. I also hereby grant the ASSIGNEE, its successors, legal representatives, and assigns, the right to insert in this Assignment any further identification (including, but not limited to, patent Application Number) which may be necessary or desirable for recordation of this Assignment. This Assignment is governed by the substantive laws of the State of New York, and any disputes will be resolved in a New York state court or federal court sited in New York.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

(1) Legal Name of Inventor: Alper Buyuktosunoglu	
Signature:	Date: May 30 2014
(2) Legal Name of Inventor: Srivatsan Chellappa	
Signature:	Date:
(3) Legal Name of Inventor: Toshiaki Kirihata	
Signature:	Date:
(4) Legal Name of Inventor: Karthik V. Swaminathan	·
Signature: Ras Click	Date: 05/30/2014

### DECLARATION (37 C.F.R. 1.63) FOR UTILITY PATENT APPLICATION USING AN APPLICATION DATA SHEET (37 C.F.R. 1.76) AND ASSIGNMENT

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[ ] United States application or PCT international application number
The above-identified application was made or authorized to be made by me.
I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.
I have reviewed and understand the contents of the application, including the claims.
I am aware of the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR Section 1.56.
Whereas, I ("ASSIGNOR") have made certain inventions, improvements, and discoveries (herein referred to as the "Invention") disclosed in the above-identified patent application and further identified by the IBM Docket Number provided above in the header of this document;

Whereas, International Business Machines Corporation (herein referred to as the "ASSIGNEE"), a corporation of New York having a place of business at Armonk, New York, desires to acquire, and I desire to grant to the ASSIGNEE, my entire worldwide right, title, and interest in and to the Invention and in and to any and all patent applications and patents directed thereto;

Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, I hereby sell or have sold, assign or have assigned, and otherwise transfer or have transferred to the ASSIGNEE, its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the Invention, the above-identified United States patent application, and any and all other patent applications and patents for the Invention which may be applied for or granted therefore in the United States and in all foreign countries and jurisdictions, including all divisions, continuations, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such patents. In addition, I hereby authorize and request the Director of the United States Patent and Trademark Office to issue any United States Patent, and foreign patent authorities to issue any foreign patent, granted for the Invention, to the ASSIGNEE, its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the same to be held and enjoyed by the ASSIGNEE, its successors, legal representatives, and assigns to the full end of the terms for which any and all such patents may be granted, as fully and entirely as would have been held and enjoyed by me had this Assignment not been made; and I agree to execute any and all documents and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the Invention and all related patents and applications, in the ASSIGNEE, its successors, legal representatives, and assigns, whenever requested by the ASSIGNEE, its successors, legal representatives, or assigns.

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Page 1 of 2

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I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

(1) Legal Name of Inventor: Alper Buyuktosunoglu	
Signature:	Date:
(2) Legal Name of Inventor: Srivatsan Chellappa	
Signature:	Date: 30 May 2014
(3) Legal Name of Inventor: Toshiaki Kirihata	
Signature:	Date:
(4) Legal Name of Inventor: Karthik V. Swaminathan	
Signature:	Date:

# DECLARATION (37 C.F.R. 1.63) FOR UTILITY PATENT APPLICATION USING AN APPLICATION DATA SHEET (37 C.F.R. 1.76) AND ASSIGNMENT

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[ ] United States application or PCT international application number
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I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.
1 have reviewed and understand the contents of the application, including the claims.
I am aware of the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR Section 1.56.
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Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, I hereby sell or have sold, assign or have assigned, and otherwise transfer or have transferred to the ASSIGNEE, its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the Invention, the above-identified United States patent application, and any and all other patent applications and patents for the Invention which may be applied for or granted therefore in the United States and in all foreign countries and jurisdictions, including all divisions, continuations, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such patents. In addition, I hereby authorize and request the Director of the United States Patent and Trademark Office to issue any United States Patent, and foreign patent authorities to issue any foreign patent, granted for the Invention, to the ASSIGNEE, its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the same to be held and enjoyed by the ASSIGNEE, its successors, legal representatives, and assigns to the full end of the terms for which any and all such patents may be granted, as fully and entirely as would have been held and enjoyed by me had this Assignment not been made; and I agree to execute any and all documents and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the Invention and all related patents and applications, in the ASSIGNEE, its successors, legal representatives, and assigns. whenever requested by the ASSIGNEE, its successors, legal representatives, or assigns.

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(1)	Legal Name of Inventor: Alper Buyuktosunoglu	
	Signature:	Date:
(2)	Legal Name of Inventor: Srivatsan Chellappa	
:	Signature:	Date:
(3)	Legal Name of Inventor: Toshiaki Kirihata	
	Signature: Doshil, The	Date: 5/31 / 2016
(4)	Legal Name of Inventor: Karthik V. Swaminathan	
S	Signature:	Date:

Page 2 of 2

PATENT REEL: 037254 FRAME: 0965