

12/08/2015



103674078

Re: 103673688

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Lam Khanh Nguyen

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) October 1, 2015

- Assignment Merger
- Security Agreement Change of Name
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other _____

2. Name and address of receiving party(ies)

Name: Opotek, Inc.

Internal Address: _____

Street Address: 2233 Faraday Ave., Suite E

City: Carlsbad

State: CA

Country: US Zip: 92008

Additional name(s) & address(es) attached? Yes No

4. Application or patent number(s):

This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

B. Patent No.(s)

14/756,711

Additional numbers attached? Yes No

5. Name and address to whom correspondence concerning document should be mailed:

Name: John R. Ross

Internal Address: Ross Patent Law Office

Street Address: PO Box 2138

City: Del Mar

State: CA

Zip: 92014

Phone Number: 858-755-3122

Docket Number: OPO-7

Email Address: rosspatent@pacbell.net

6. Total number of applications and patents involved: _____

7. Total fee (37 CFR 1.21(h) & 3.41) \$ OK

- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

8. Payment Information

Deposit Account Number _____

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9. Signature:

John R. Ross

Signature

Nov 30, 2015

Date

John R. Ross

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 3

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

