503611789 12/15/2015

PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE: NEW ASSIGNMENT

NATURE OF CONVEYANCE: ASSIGNMENT

EPAS ID: PAT3658420

CONVEYING PARTY DATA

Name	Execution Date
ANTHONY L. LENTINE	12/03/2015
WILLIAM A. ZORTMAN	12/03/2015
DANIEL J. SAVIGNON	11/16/2015
ROHAN DEODATTA KEKATPURE	12/10/2015

RECEIVING PARTY DATA

Name:	SANDIA CORPORATION
Street Address:	P.O. BOX 5800, MS-0161
City:	ALBUQUERQUE
State/Country:	NEW MEXICO
Postal Code:	87185

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	14869482

CORRESPONDENCE DATA

Fax Number: (505)844-9955

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Phone: 505-844-7283

Email: dcates@sandia.gov

Correspondent Name: SANDIA NATIONAL LABORATORIES

Address Line 1: P.O. BOX 5800, MS-0161

Address Line 4: ALBUQUERQUE, NEW MEXICO 87185

ATTORNEY DOCKET NUMBER:	SD12705/S132333
NAME OF SUBMITTER:	DIANA SCHANNING
SIGNATURE:	/Diana Schanning/
DATE SIGNED:	12/15/2015

Total Attachments: 6

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PATENT 503611789 REEL: 037292 FRAME: 0404

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PATENT REEL: 037292 FRAME: 0405

ASSIGNMENT

WHEREAS, Anthony L. Lentine of Albuquerque, NM, Rohan Deodatta Kekatpure of Sunnyvale, CA, William A. Zortman and Daniel J. Savignon of Albuquerque, NM (hereinafter "Inventor(s)") has(have) made an invention relating to THERMO-OPTICALLY TUNED PHOTONIC RESONATORS WITH CONCURRENT ELECTRICAL CONNECTION AND THERMAL ISOLATION in the course of the work authorized by Contract DE-AC04-94AL85000 between SANDIA CORPORATION and the UNITED STATES DEPARTMENT OF ENERGY, said invention being disclosed and claimed in Application Serial No. 14/869,482 for United States patent, filed September 29, 2015 and identified as DOE Docket No: S-132333 duly executed by said inventor(s); and

WHEREAS Inventor(s), in consideration of employment by Sandia Corporation, has(have) assigned to Sandia Corporation, its successors and assigns, all of Inventor(s) rights to inventions, discoveries, or improvements made or conceived in the course of their employment; and

WHEREAS, title to the above-identified invention vests in the Government of the United States, as represented by the U.S. Department of Energy, as governed by 42 U.S.C. 5908; and

WHEREAS, the Government of the United States, as represented by the U.S. Department of Energy has granted a waiver of Government rights in the above-identified invention to Sandia Corporation; and

THEREFORE, Inventor(s) hereby sells, assigns, and transfers unto Sandia Corporation their entire right, title, and interest in and to said invention in the United States of America and all foreign countries, including, without limitation, said invention as described in the aforesaid application, and in and to any United States Letters Patent therefor, when issued together with all improvements thereon and betterments thereof, all related applications including, but not limited to, utilities, divisions, continuations, continuations-in-part, reissues and reexaminations thereof and substitutions of or for said application, and all foreign rights including the right to make application for Letters Patent for said invention in any and all foreign countries and the right to claim priority as to the filing date under the International Convention on the basis for the aforesaid application for United States Letters Patent, to the extent that Inventor(s) right, title, and interest in and to said invention has(have) not already been sold, assigned, or transferred to Sandia Corporation by Inventor(s) in consideration of Inventor(s) employment by Sandia Corporation. Inventor(s) hereby authorizes and requests the Commissioner of Patents to issue all Letters Patent issuing therefrom to Sandia Corporation, for its interest as Sandia Corporation, its successors and assigns.

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Inventor(s) covenants with Sandia Corporation that, except for the rights, if any, of the Government, his/her interest in the rights and property herein conveyed is free and clear of any encumbrance and that they have full right to convey the same as herein expressed. Inventor(s) agrees, at the request of Sandia corporation, to make, execute, and deliver to Sandia Corporation, the Government, or other party prosecuting patent applications on this invention, any and all papers, documents, affidavits, applications, statements or other instruments relating to said invention, take all rightful oaths and do all acts as may reasonably be required of them in or incident to the prosecution of any or all U.S. and foreign applications on said invention or in the adjustment or settlement of any interferences or other actions or proceedings that said applications or patents thereon may encounter or in which they may become involved.

Signed at Albuquerque, New Mexico.

Anthony L. Centine

William A. Zortman

Daniel J. Savignon

12/3/2015

Date

Date

11-16-2015

Date

STATE OF NEW MEXICO) ss:

COUNTY OF BERNALILLO)

On the above dates, personally appeared before me, Diana Schanning, Notary Public, Anthony L. Lentine, William A. Zortman and Daniel J. Savignon, to me known to be the individual(s) described in and who executed the foregoing instrument, and who acknowledged to me that they executed the same.

Diana Sclaving Notary Public



Approved and consented by:

SANDIA CORPORATION

Kerry Kampschmidt Sr. Managing IP Counsel Legal Technology Transfer Center

STATE OF NEW MEXICO)
) ss:
COUNTY OF BERNALILLO)

On the above date, personally appeared before me, Diana Schanning, Notary Public, **Kerry Kampschmidt**, to me known to be the individual described in and who executed the foregoing instrument, and who acknowledged to me that he executed the same.

Mara Schariers Notary Public



ASSIGNMENT

WHEREAS, Anthony L. Lentine of Albuquerque, NM, Rohan Deodatta Kekatpure of Sunnyvale, CA, William A. Zortman and Daniel J. Savignon of Albuquerque, NM (hereinafter "Inventor(s)") has(have) made an invention relating to THERMO-OPTICALLY TUNED PHOTONIC RESONATORS WITH CONCURRENT ELECTRICAL CONNECTION AND THERMAL ISOLATION in the course of the work authorized by Contract DE-AC04-94AL85000 between SANDIA CORPORATION and the UNITED STATES DEPARTMENT OF ENERGY, said invention being disclosed and claimed in Application Serial No. 14/869,482 for United States patent, filed September 29, 2015 and identified as DOE Docket No: S-132333 duly executed by said inventor(s); and

WHEREAS Inventor(s), in consideration of employment by Sandia Corporation, has(have) assigned to Sandia Corporation, its successors and assigns, all of Inventor(s) rights to inventions, discoveries, or improvements made or conceived in the course of their employment; and

WHEREAS, title to the above-identified invention vests in the Government of the United States, as represented by the U.S. Department of Energy, as governed by 42 U.S.C. 5908; and

WHEREAS, the Government of the United States, as represented by the U.S. Department of Energy has granted a waiver of Government rights in the above-identified invention to Sandia Corporation; and

THEREFORE, Inventor(s) hereby sells, assigns, and transfers unto Sandia Corporation their entire right, title, and interest in and to said invention in the United States of America and all foreign countries, including, without limitation, said invention as described in the aforesaid application, and in and to any United States Letters Patent therefor, when issued together with all improvements thereon and betterments thereof, all related applications including, but not limited to, utilities, divisions, continuations, continuations-in-part, reissues and reexaminations thereof and substitutions of or for said application, and all foreign rights including the right to make application for Letters Patent for said invention in any and all foreign countries and the right to claim priority as to the filing date under the International Convention on the basis for the aforesaid application for United States Letters Patent, to the extent that Inventor(s) right, title, and interest in and to said invention has(have) not already been sold, assigned, or transferred to Sandia Corporation by Inventor(s) in consideration of Inventor(s) employment by Sandia Corporation. Inventor(s) hereby authorizes and requests the Commissioner of Patents to issue all Letters Patent issuing therefrom to Sandia Corporation, for its interest as Sandia Corporation, its successors and assigns.

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PATENT

REEL: 037292 FRAME: 0409

Inventor(s) covenants with Sandia Corporation that, except for the rights, if any, of the Government, his/her interest in the rights and property herein conveyed is free and clear of any encumbrance and that they have full right to convey the same as herein expressed. Inventor(s) agrees, at the request of Sandia corporation, to make, execute, and deliver to Sandia Corporation, the Government, or other party prosecuting patent applications on this invention, any and all papers, documents, affidavits, applications, statements or other instruments relating to said invention, take all rightful oaths and do all acts as may reasonably be required of them in or incident to the prosecution of any or all U.S. and foreign applications on said invention or in the adjustment or settlement of any interferences or other actions or proceedings that said applications or patents thereon may encounter or in which they may become involved.

Signed at SUNNYVALE, California.		
Rohan Deodatta Kekatpure	12/10/2015. Date	
A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the		
truthfulness, accuracy, or validity of that document. STATE OF CALIFORNIA	A netary purce or other effect completing the conflictly which and make early of the new Justimes agend the occurrent to which this conflictle is situated, and not the furthernors, and entry of the cocument. STATE OF CALIFORNIA, COUNTY OF SANTA CLARA: Subscribed and sworm to [or affirmed] before me on this 10 toy of PET. 2015 by BOHAM.	
) ss: COUNTY OF ALAMEDA)	proved to me on the basis of satisfactory evidence to be the person(s) /CEICSTPURE who appeared before me. [Signature of Notary]	
Subscribed and sworn to (or affirmed) before me on this day of, 2015, by Rohan Doedatta Kekatpure, who proved to me on the basis of satisfactory evidence to be the person(s) who appeared before me. R. C. SINGH		
Notary Public signature	Commission # 2127652 Notary Public - California Santa Clara County My Comm. Expires Oct 21, 2019	
My commission expires: 0CT 21, \Q 9	Notary Public seal	

Page 2 of 3

Approved and consented by:

Date:

SANDIA CORPORATION

Kerry Kampschmidt Sr. Managing IP Counsel

Legal Technology Transfer Center

OFFICIAL SEAL Diana Scharaina

STATE OF NEW MEXICO)

or ss:

COUNTY OF BERNALILLO)

On the above date, personally appeared before me, Diana Schanning, Notary Public, Kerry Kampschmidt, to me known to be the individual described in and who executed the foregoing instrument, and who acknowledged to me that he executed the same.

Notary Public

My Commission Expe

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REEL: 037292 FRAME: 0411