#### 12/17/2015 503615804

### PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

EPAS ID: PAT3662435

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
LEWIS BERKLEY DAVIS JR.	12/08/2015
SCOTT ARTHUR DAY	12/08/2015
TIMOTHY ANDREW HEALY	12/10/2015
HAROLD LAMAR JORDAN JR.	12/10/2015
REX ALLEN MORGAN	12/10/2015

#### RECEIVING PARTY DATA

Name:	General Electric Company
Street Address:	1 River Road
City:	Schenectady
State/Country:	NEW YORK
Postal Code:	12345

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	14971710

#### **CORRESPONDENCE DATA**

Fax Number: (518)449-0047

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 518-449-0044

Email: ptocommunications@hoffmanwarnick.com,

sadoninno@hoffmanwarnick.com

**Correspondent Name:** HOFFMAN WARNICK LLC

Address Line 1: 540 BROADWAY Address Line 2: 4TH FLOOR

Address Line 4: ALBANY, NEW YORK 12207

ATTORNEY DOCKET NUMBER:	284472-1
NAME OF SUBMITTER:	MATTHEW B. PINCKNEY
SIGNATURE:	/Matthew B. Pinckney/
DATE SIGNED:	12/17/2015
	This document serves as an Oath/Declaration (37 CFR 1.63).

**PATENT** REEL: 037313 FRAME: 0218 503615804

# Total Attachments: 7 source=284472-1\_US\_CombinedDeclarationandAssignment\_16DEC2015#page1.tif source=284472-1\_US\_CombinedDeclarationandAssignment\_16DEC2015#page2.tif source=284472-1\_US\_CombinedDeclarationandAssignment\_16DEC2015#page3.tif source=284472-1\_US\_CombinedDeclarationandAssignment\_16DEC2015#page4.tif source=284472-1\_US\_CombinedDeclarationandAssignment\_16DEC2015#page5.tif source=284472-1\_US\_CombinedDeclarationandAssignment\_16DEC2015#page6.tif source=284472-1\_US\_CombinedDeclarationandAssignment\_16DEC2015#page7.tif

PATENT REEL: 037313 FRAME: 0219

#### COMBINED DECLARATION AND GLOBAL ASSIGNMENT

#### FOR UTILITY OR DESIGN PATENT APPLICATION

As the below named inventor(s), I/we hereby declare that:

This declaration and assignment are directed to:

## MODELLING PROBABILISTIC CONTROL IN GAS TURBINE TUNING FOR POWER OUTPUT-EMISSIONS PARAMETERS, RELATED CONTROL SYSTEMS, COMPUTER PROGRAM PRODUCTS AND METHODS

the specification of which is attached hereto OR	
United States application or PCT international application number	
filed on	, as
amended on [date] (if applicable).	
(We hereby authorize and request the Company or its delegated attorneys or	r agents to insert above
the application number and filing date of the application when known.)	_

The above-identified application was made or authorized to be made by us.

We believe that we are original joint inventors of a claimed invention in the application.

We hereby acknowledge that any willful false statement made in this declaration is punishable under 18 USC § 1001 by fine or imprisonment of not more than five (5) years, or both.

We have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to the patentability of this application as defined in 37 CFR § 1.56, including, for continuation-in-part applications, material information which became available between the filing date of the prior application and the filing date of the continuation-in-part application.

In accordance with our obligation(s) under an Employee Innovation and Proprietary Information Agreement or (as applicable) arising out of other agreements (such as, but not limited to, the Services Agreement between General Electric Company, a New York corporation, and our employers), and/or for other good and valuable consideration of which we acknowledge receipt, I/we,

Inventor First Name	Inventor Middle Name	Inventor Last Name		Residence Town	Residence State	Residence Country
Lewis	Berkley	Davis, Jr.	of	Niskayuna	NY	US
Scott	Arthur	Day	of	Greenville	SC	US
Timothy	Andrew	Healy	of	Greenville	SC	US
Harold	Lamar	Jordan, Jr.	of	Greenville	SC	US
Rex	Allen	Morgan	of	Simpsonville	SC	US

PATENT REEL: 037313 FRAME: 0220 v9.18.12

hereby sell and assign to:

Name of Company: General Electric Company
Entity Type (optional): New York corporation
Address: 1 River Road, Schenectady, New York 12345 USA

herein referred to as "Company", its successors and assigns our entire respective rights, titles and interests in and to the invention and improvements made or conceived by us described in the application(s) listed above and in the following table:

Country of Filing	Type of Filing	Application Number	Filing Date	Title

(We hereby authorize and request the Company or its delegated attorneys or agents to insert above the application number and filing date of the application(s) when known.)

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, continuations-in-part, reexaminations, renewals, and reissues thereof, and all rights of priority resulting from the filing of the application(s), and authorize and request any official whose duty it is to issue patents, to issue any patent on the inventions and improvements resulting therefrom to the Company, or its successors or assigns, and agree that on request and without further consideration, we will communicate to the Company or its representatives or nominees any facts known to us respecting the inventions and improvements and testify in any legal proceeding, make all rightful oaths, sign all lawful papers and execute all instruments or documents required or requested for the making and prosecution of any applications of any type for patent, utility model, or other similar rights in all countries including, but not limited to, any provisional, non-provisional, continuation, continuation-in-part, divisional, renewal or substitute thereof, for any derivation proceedings relating thereto, and, as to any patents that issue from such applications, for any supplemental examination, derivation proceeding, opposition, post grant review, reissue, re-examination, inter partes review, or extension thereof, and generally do everything possible to aid the Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for the invention and its improvements in all countries.

Remuneration claims of the inventor(s)/assignor(s) potentially arising from German Law on Employee Inventions, or any other respective country law, remain unaffected by this assignment.

Signature: Zewi Belle Oov Lewis Berkley Davis, Jr.	±, 5\-	Dato: (	37 <i>a</i> .5	<u>)</u>		
Lewis Berkley Davis, Jr.	<del>'}</del>	Date.				
Witnessed by:		Date: _	8 pec	2013	TECHNICAL DESCRIPTION OF THE PROPERTY OF THE P	
Signature						
Printed Name of Witness						
Witnessed by: Velland Signature		Date:	Dec 8,	20	<u>15</u>	
Jeffrey D. Sheaffer Printed Name of Witness						
Printed Name of Witness						
SECTION BELOW IS FOR USE OF	ALY IF SIGNING	IN PRI	ESENCE C	FAN	OTARY	
	Date:					
Lewis Berkley Davis, Jr.		rang dan sa Surang dan				
STATE OF						
소문하다 하다는 사람들은 사람들이 가지되다는 <b>S</b> \$						
COUNTY OF						

GE Docket No. 284472-1

(Notary Public)

GE Docket No. 284472-1
INVENTOR 2
Signature: Date: Dec 8,2015 Scott Arthur Day
Witnessed by: 1. WWW Date: 100 Date:
Signature  Printed Name of Witness  Witnessed by: Date: Dec. 8, 2015  Noc. 1 Signature  Printed Name of Witness
SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY
Scott Arthur Day
STATE OF ss. COUNTY OF
This day of, before me personally came the above-named Scott Arthur Day, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.
Seal (Notary Public)

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GE Docket No. 284472-1
Signature: Dec 10, 2015 Timothy Andrew Healy
Witnessed by: Date: Dec. 13, 2015  Signature  Date: Dec. 13, 2015
Printed Name of Witness  Witnessed by:  Signature  Date: Del (0, 2018)
Printed Name of Witness
SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY  Date:  Timothy Andrew Healy
STATE OF  ss.  COUNTY OF
This day of, before me personally came the above-named Timothy Andrew Healy, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.
Seal

(Notary Public)

INVENTOR 4	
Signature: Will tumor Sordan, Harold Lamar Jordan, Jr.	Date: 10 Dec 2015
Witnessed by: <u>Joley Author</u> Signature	Date: /2/14/2015
Printed Name of Witness	
Witnessed by: Signature	Date:
Printed Name of Witness	
Timed Name of Witness	
SECTION BELOW IS FOR USE ONLY IF SIGNIN	IG IN PRESENCE OF A NOTARY
Date:	
Harold Lamar Jordan, Jr.	
STATE OF	
ss.	
OOUT OF	
This day of, before Jordan, Jr., to me personally known as the individual vacknowledged to me that he/she executed the same of forth.	re me personally came the above-named Harold Lamar vho executed the foregoing assignment, who of his/her own free will for the purposes therein set
Seal	
Public)	(Notary

GE Docket No. 284472-1

INVENTOR 5		
Signature: Rex Allen Morgan  Rex Allen Morgan	Date: 12/10/2015	
Witnessed by: <u>Iracy Aulus</u> Signature	Date: /2/10/20/1	
Printed Name of Witness		
Witnessed by: Signature	Date: 12/10/2015	
Printed Name of Witness		
SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY		
Date:		
STATE OF ss.		
This day of, before me personally came the above-named Rex Allen Morgan, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.		
Seal		

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(Notary Public)

GE Docket No. 284472-1