

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

EPAS ID: PAT3662480

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
LEWIS BERKLEY DAVIS JR.	12/09/2015
SCOTT ARTHUR DAY	12/09/2015
HAROLD LAMAR JORDAN JR.	12/10/2015
REX ALLEN MORGAN	12/10/2015
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	General Electric Company
<b>Street Address:</b>	1 River Road
<b>City:</b>	Schenectady
<b>State/Country:</b>	NEW YORK
<b>Postal Code:</b>	12345
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
Application Number:	14971740
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(518)449-0047
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	518-449-0044
<b>Email:</b>	ptocommunications@hoffmanwarnick.com, sadinno@hoffmanwarnick.com
<b>Correspondent Name:</b>	HOFFMAN WARNICK LLC
<b>Address Line 1:</b>	540 BROADWAY
<b>Address Line 2:</b>	4TH FLOOR
<b>Address Line 4:</b>	ALBANY, NEW YORK 12207
<b>ATTORNEY DOCKET NUMBER:</b>	283091-1
<b>NAME OF SUBMITTER:</b>	MATTHEW B. PINCKNEY
<b>SIGNATURE:</b>	/Matthew B. Pinckney/
<b>DATE SIGNED:</b>	12/17/2015
This document serves as an Oath/Declaration (37 CFR 1.63).	
<b>Total Attachments: 6</b>	

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COMBINED DECLARATION AND GLOBAL ASSIGNMENT  
FOR UTILITY OR DESIGN PATENT APPLICATION

As the below named inventor(s), I/we hereby declare that:

This declaration and assignment are directed to:

**APPLICATION OF COMBINED PROBABILISTIC CONTROL IN GAS TURBINE TUNING FOR  
POWER OUTPUT-EMISSIONS PARAMETERS WITH SCALING FACTOR, RELATED  
CONTROL SYSTEMS, COMPUTER PROGRAM PRODUCTS AND METHODS**

- ☐ the specification of which is attached hereto OR
- ☐ United States application or PCT international application number \_\_\_\_\_, as  
\_\_\_\_\_ filed on \_\_\_\_\_, as  
amended on [date] (if applicable).  
(We hereby authorize and request the Company or its delegated attorneys or agents to insert above  
the application number and filing date of the application when known.)

The above-identified application was made or authorized to be made by us.

We believe that we are original joint inventors of a claimed invention in the application.

We hereby acknowledge that any willful false statement made in this declaration is punishable under 18 USC § 1001 by fine or imprisonment of not more than five (5) years, or both.

We have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to the patentability of this application as defined in 37 CFR § 1.56, including, for continuation-in-part applications, material information which became available between the filing date of the prior application and the filing date of the continuation-in-part application.

In accordance with our obligation(s) under an Employee Innovation and Proprietary Information Agreement or (as applicable) arising out of other agreements (such as, but not limited to, the Services Agreement between General Electric Company, a New York corporation, and our employers), and/or for other good and valuable consideration of which we acknowledge receipt, I/we,

Inventor First Name	Inventor Middle Name	Inventor Last Name		Residence Town	Residence State	Residence Country
Lewis	Berkley	Davis, Jr.	of	Niskayuna	NY	US
Scott	Arthur	Day	of	Greenville	SC	US
Harold	Lamar	Jordan, Jr.	of	Greenville	SC	US
Rex	Allen	Morgan	of	Simpsonville	SC	US

hereby sell and assign to:

Name of Company: <i>General Electric Company</i>
Entity Type (optional): <i>New York corporation</i>
Address: <i>1 River Road, Schenectady, New York 12345 USA</i>

herein referred to as "Company", its successors and assigns our entire respective rights, titles and interests in and to the invention and improvements made or conceived by us described in the application(s) listed above and in the following table:

Country of Filing	Type of Filing	Application Number	Filing Date	Title

(We hereby authorize and request the Company or its delegated attorneys or agents to insert above the application number and filing date of the application(s) when known.)

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, continuations-in-part, reexaminations, renewals, and reissues thereof, and all rights of priority resulting from the filing of the application(s), and authorize and request any official whose duty it is to issue patents, to issue any patent on the inventions and improvements resulting therefrom to the Company, or its successors or assigns, and agree that on request and without further consideration, we will communicate to the Company or its representatives or nominees any facts known to us respecting the inventions and improvements and testify in any legal proceeding, make all rightful oaths, sign all lawful papers and execute all instruments or documents required or requested for the making and prosecution of any applications of any type for patent, utility model, or other similar rights in all countries including, but not limited to, any provisional, non-provisional, continuation, continuation-in-part, divisional, renewal or substitute thereof, for any derivation proceedings relating thereto, and, as to any patents that issue from such applications, for any supplemental examination, derivation proceeding, opposition, post grant review, reissue, re-examination, inter partes review, or extension thereof, and generally do everything possible to aid the Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for the invention and its improvements in all countries.

Remuneration claims of the inventor(s)/assignor(s) potentially arising from German Law on Employee Inventions, or any other respective country law, remain unaffected by this assignment.

GE Docket No. 283091-1

INVENTOR 1

Signature: Lewis Berkley Davis, Jr.  
Lewis Berkley Davis, Jr.

Date: 9 Dec 2015

Witnessed by: Jessica Nimmons  
Signature

Date: December 9, 2015

Jessica Nimmons  
Printed Name of Witness

Witnessed by: David T. Wardell  
Signature

Date: Dec 9, 2015

DAVID T. WARDELL  
Printed Name of Witness

**SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY**

\_\_\_\_\_  
Lewis Berkley Davis, Jr. Date: \_\_\_\_\_

STATE OF

ss.

COUNTY OF

This \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_ before me personally came the above-named Lewis Berkley Davis, Jr., to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)

GE Docket No. 283091-1

INVENTOR 2

Signature: Scott Arthur Day  
Scott Arthur Day

Date: Dec 9, 2015

Witnessed by: P. Mitchell

Date: Dec. 9, 2015

Signature

Paul Mitchell  
Printed Name of Witness

Witnessed by: Noel Byling  
Signature

Date: 12/9/15

Noel Byling  
Printed Name of Witness

**SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY**

\_\_\_\_\_  
Scott Arthur Day Date: \_\_\_\_\_

STATE OF

ss.

COUNTY OF

This \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_ before me personally came the above-named Scott Arthur Day, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)

GE Docket No. 283091-1

INVENTOR 3

Signature: Harold Lamar Jordan Jr.  
Harold Lamar Jordan, Jr.

Date: 10 Dec 2015

Witnessed by: Tracy Andrew  
Signature

Date: 12/10/2015

Tracy Andrew  
Printed Name of Witness

Witnessed by: Yiming Yu  
Signature

Date: 12/10/15

Yiming Yu  
Printed Name of Witness

**SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY**

\_\_\_\_\_  
Harold Lamar Jordan, Jr. Date: \_\_\_\_\_

STATE OF

ss.

COUNTY OF

This \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_ before me personally came the above-named Rory Todd Hermsom, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)

INVENTOR 4

Signature: Rex Allen Morgan  
Rex Allen Morgan

Date: 12/10/2015

Witnessed by: Tracy Andrew  
signature

Date: 12/10/2015

Tracy Andrew  
Printed Name of Witness

Witnessed by: [Signature]  
Signature

Date: 12/10/2015

Rob Weathers  
Printed Name of Witness

**SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY**

\_\_\_\_\_  
Rex Allen Morgan Date: \_\_\_\_\_

STATE OF

ss.

COUNTY OF

This \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_ before me personally came the above-named Harold Lamar Jordan, Jr., to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)