

PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
SEQUENCE:	2	
CONVEYING PARTY DATA		
	Name	Execution Date
	STEPHEN BAIN	12/02/2015
RECEIVING PARTY DATA		
Name:	RBC DOMINION SECURITIES INC.	
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PROPERTY NUMBERS Total: 2		
Property Type	Number	
Application Number:	14744243	
Application Number:	14735771	
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SIGNATURE:	/ERIC SOHPIR/	
DATE SIGNED:	12/17/2015	
Total Attachments: 1		
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ASSIGNMENT OF PATENT APPLICATION

The undersigned, **STEPHEN BAIN** (the "Assignor"), whose full post office address is c/o 200 Bay Street, Royal Bank Plaza, 9th Floor, South Tower, Toronto, Ontario, Canada, M5J 2L5, for one dollar Canadian (\$1.00 Cdn.) and for other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, does hereby assign to **RBC DOMINION SECURITIES INC.** (the "Assignee"), whose full post office address is 200 Bay Street, Royal Bank Plaza, 9th Floor, South Tower, Toronto, Ontario, Canada, M5J 2L5, all the Assignor's right, title and interest in and to the following patent applications (the "Applications"), and in and to the Inventions described in the Applications (the "Inventions") including, without limitation, all divisions, continuations, continuations-in-part, national phase entries, substitutions, re-issues, renewals, re-examinations, extensions and new patent applications filed in respect of the Applications and/or of said Inventions:

- International Patent Application No. PCT/CA2013/001014 for "SYSTEM AND METHOD FOR AGGREGATE MARKET DATA OF FINANCIAL INTERESTS", filed December 9, 2013.
- International Patent Application No. PCT/CA2014/000510 for "SYSTEM AND METHOD FOR AUTOMATED TRADING OF FINANCIAL INTERESTS", filed June 20, 2014.

I covenant and agree that I will, at any time on request and at the expense of said Assignee, execute and deliver any and all papers and do all lawful acts that may be necessary or desirable, in the opinion of said Assignee, to enable and assist said Assignee to: (a) obtain issued patents arising from the Applications, both domestic and foreign, on said Inventions; (b) establish, maintain and secure title in said Assignee, its successors and assigns, to said Inventions, the Applications and any issued patents arising therefrom, including making such title of lawful public record; and (c) defend, establish or otherwise preserve the validity of said issued patents against any and all infringers, and perform such other acts as are necessary to give full force and effect to this assignment.

I represent and warrant that no assignment, mortgage, sale, licence, pledge, encumbrance or alienation of said Inventions or Applications has been, or will be made, or entered into, which would conflict with this assignment and sale.

I HEREBY declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that wilful false statements and the like so made jeopardize the validity of any patent applications filed in respect of the Inventions or of any patents issued thereof.

SIGNED at Toronto, Ontario, Canada, this 2nd day of December, 2015, and made effective as of the 9th day of December, 2013.

STEPHEN BAIN

Per: 

CERTIFICATE OF WITNESS

I acknowledge that I was personally present and did see **STEPHEN BAIN**, who is personally known to me, duly execute the above assignment on the date herein set forth.

Signature of Witness: 

Name of Witness: Thomas Grier

Address of Witness: 2 Glenora Pkwy Rd. Toronto M9A 4G2

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