

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT3667193

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
KENNETH G. MCDANIEL	12/15/2015
RICK L. ADKINS	12/11/2015
DON S. WARDIUS	12/11/2015
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	COVESTRO LLC
<b>Street Address:</b>	1 COVESTRO CIRCLE
<b>City:</b>	PITTSBURGH
<b>State/Country:</b>	PENNSYLVANIA
<b>Postal Code:</b>	15205
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	14976007
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	412-413-3804
<b>Email:</b>	US-IPR@covestro.com
<b>Correspondent Name:</b>	COVESTRO LLC
<b>Address Line 1:</b>	1 COVESTRO CIRCLE
<b>Address Line 4:</b>	PITTSBURGH, PENNSYLVANIA 15205
<b>ATTORNEY DOCKET NUMBER:</b>	BMS152024
<b>NAME OF SUBMITTER:</b>	N. DENISE BROWN
<b>SIGNATURE:</b>	/N. Denise Brown/
<b>DATE SIGNED:</b>	12/21/2015
<b>Total Attachments: 3</b>	
source=2015-12-21recordationcoversheetbms152024#page1.tif	
source=2015-12-21recordationcoversheetbms152024#page2.tif	
source=2015-12-21assignmentbms152024#page1.tif	

**RECORDATION FORM COVER SHEET**  
**PATENTS ONLY**

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

- 1) Kenneth G. McDaniel
- 2) Rick L. Adkins

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) 12/15/2015; 12/11/2015; 12/11/2015

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Joint Research Agreement
- ☐ Government Interest Assignment
- ☐ Executive Order 9424, Confirmatory License
- ☐ Other \_\_\_\_\_

**2. Name and address of receiving party(ies)**

Name: Covestro LLC

Internal Address: \_\_\_\_\_

Street Address: 1 Covestro Circle

City: Pittsburgh

State: PA

Country: US Zip: 15205

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

☐ This document is being filed together with a new application.

A. Patent Application No.(s)  
14/976,007

B. Patent No.(s)

Attorney Docket No.: BMS152024

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: N. Denise Brown

Internal Address: Covestro LLC

Street Address: 1 Covestro Circle

City: Pittsburgh

State: PA Zip: 15205

Phone Number: 412-413-3804

Fax Number: 412-413-3902

Email Address: US-IPR@covestro.com

**6. Total number of applications and patents involved:** 1

**7. Total fee (37 CFR 1.21(h) & 3.41) \$** \_\_\_\_\_

- ☐ Authorized to be charged to deposit account
- ☐ Enclosed
- ☐ None required (government interest not affecting title)

**8. Payment Information**

Deposit Account Number \_\_\_\_\_

Authorized User Name \_\_\_\_\_

**9. Signature:**

N. Denise Brown  
Signature

2015-12-21  
Date

N. Denise Brown  
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 3

**ATTACHMENT FOR RECORDATION FORM COVER SHEET  
PATENTS ONLY (Form PTO-1595)**

**1. Name of conveying party(ies):**

3) Don S. Wardius

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

**2. Name and address of receiving party(ies)**

Name: \_\_\_\_\_

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

Name and address of receiving party(ies)

Name: \_\_\_\_\_

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

Additional name(s) & address(es) attached?

☐ Yes ☒ No

**4. Application number(s) or patent number(s):**

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

**A. Patent Application No.(s)**

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**B. Patent No.(s)**

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Additional numbers attached?

☐ Yes ☒ No

## ASSIGNMENT

WHEREAS We, 1) Kenneth G. McDaniel 2) Rick L. Adkins 3) Don S. Wardius of  
1) 11 Bluebonnet Road, Langhorne PA 19047 2) 144 Sandy Brae Drive, Canonsburg, PA 15317  
3) 233 Vee Lynn Drive, Pittsburgh, PA 15228  
have invented certain improvements in DMC CATALYZED ALKOXYLATION OF ESTERS  
described in a patent application executed by us and identified in the United States Patent Office as follows:  
Serial No.: To Be Assigned  
Filed: Herewith  
and Attorney's Docket No.: BMS152024 / MD07-85/07-96; and

WHEREAS, COVESTRO LLC, of Pittsburgh, Pennsylvania, a limited liability company of the State of Delaware is desirous of acquiring the entire right, title and interest in and to said invention or inventions and any and all patents to be obtained therefore; this document may be executed in any number of counterparts, each of which so executed shall be deemed to be an original, but all such counterparts shall together constitute but one and the same document.

NOW, THEREFORE, for valuable consideration and in accordance with the obligations in our employment contract with COVESTRO LLC, we do hereby sell, assign and transfer unto said COVESTRO LLC, its successors and assigns, the entire right, title, and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any applications filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also any improvements on said invention or inventions now or hereafter made by us during the period of our employment; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention, inventions or improvements and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention, inventions or improvements in this or any foreign country; and we hereby authorize and request the issuing authority to issue any and all patents on said application or applications to said COVESTRO LLC, as assignee of the entire interest.

We further agree, without any payment by COVESTRO LLC, other than expenses incurred by the undersigned, to communicate to said COVESTRO LLC, its representatives or agents, any facts relating to said invention, inventions or improvements, including evidence for interference purposes or for other legal proceedings, whenever requested, testify in any interference or other legal proceedings, whenever requested; and execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective.

SIGNED AT LANGHORNE, PENNSYLVANIA

December 15, 2015 (L.S.) 1) Kenneth G. McDaniel  
(Date)

SIGNED AT PITTSBURGH, PENNSYLVANIA

December 14, 2015 (L.S.) 2) Rick L. Adkins  
(Date)

SIGNED AT PITTSBURGH, PENNSYLVANIA

December 16, 2015 (L.S.) 3) Don S. Wardius  
(Date)