

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3672876

SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT
NATURE OF CONVEYANCE:	Corrective Assignment to correct the ASSIGNEE NAME previously recorded on Reel 037305 Frame 0184. Assignor(s) hereby confirms the CORRECT ASSIGNEE NAME IS MERZ PHARMACEUTICALS, LLC.
CONVEYING PARTY DATA	
Name	Execution Date
BHUSHAN HARDAS	08/14/2015
DONNA DALTON	08/15/2015
PETRA SCHEPPLER	07/23/2015
ANJA BUCH	07/23/2015
PETER BODERKE	07/23/2015
RECEIVING PARTY DATA	
Name:	MERZ PHARMACEUTICALS, LLC
Street Address:	6501 SIX FORKS ROAD
City:	RALEIGH
State/Country:	NORTH CAROLINA
Postal Code:	27615
PROPERTY NUMBERS Total: 1	
Property Type	Number
PCT Number:	US2014014098
CORRESPONDENCE DATA	
Fax Number:	(617)426-6567
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	617-728-7100
Email:	BOSPatents@dechert.com
Correspondent Name:	DECHERT LLP
Address Line 1:	ONE INTERNATIONAL PLACE, 40TH FLOOR
Address Line 2:	100 OLIVER STREET
Address Line 4:	BOSTON, MASSACHUSETTS 02110-2605
ATTORNEY DOCKET NUMBER:	393546-008WO (142952)
NAME OF SUBMITTER:	ANDREA L.C. REID
SIGNATURE:	/Andrea L.C. Reid/
DATE SIGNED:	12/28/2015

Total Attachments: 11

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SUBMISSION TYPE:	NEW ASSIGNMENT												
NATURE OF CONVEYANCE:	ASSIGNMENT												
CONVEYING PARTY DATA													
<table border="1"><thead><tr><th>Name</th><th>Execution Date</th></tr></thead><tbody><tr><td>BHUSHAN HARDAS</td><td>08/14/2015</td></tr><tr><td>DONNA DALTON</td><td>08/15/2015</td></tr><tr><td>PETRA SCHEPPLER</td><td>07/23/2015</td></tr><tr><td>ANJA BUCH</td><td>07/23/2015</td></tr><tr><td>PETER BODERKE</td><td>07/23/2015</td></tr></tbody></table>	Name	Execution Date	BHUSHAN HARDAS	08/14/2015	DONNA DALTON	08/15/2015	PETRA SCHEPPLER	07/23/2015	ANJA BUCH	07/23/2015	PETER BODERKE	07/23/2015	
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CORRESPONDENCE DATA													
Fax Number: (617)426-6567 Phone: 617-728-7100 Email: BosPatents@dechert.com Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail. Correspondent Name: ANDREA L.C. REID Address Line 1: ONE INTERNATIONAL PLACE, 40TH FLOOR Address Line 2: 100 OLIVER STREET Address Line 4: BOSTON, MASSACHUSETTS 02110-2605													
ATTORNEY DOCKET NUMBER:	393546-008WO (142952)												
NAME OF SUBMITTER:	ANDREA L.C. REID												
Signature:	/Andrea L.C. Reid/												

PATENT**REEL: 037394 FRAME: 0175**

Date:	12/16/2015
Total Attachments: 9 source=Executed Assignment#page1.tif source=Executed Assignment#page2.tif source=Executed Assignment#page3.tif source=Executed Assignment#page4.tif source=Executed Assignment#page5.tif source=Executed Assignment#page6.tif source=Executed Assignment#page7.tif source=Executed Assignment#page8.tif source=Executed Assignment#page9.tif	
RECEIPT INFORMATION EPAS ID: PAT3660951 Receipt Date: 12/16/2015	

PATENT

REEL: 037394 FRAME: 0176

COMBINED JOINT ASSIGNMENT & DECLARATION**A. ASSIGNMENT**

WHEREAS, each of the below-named inventors whose name and residence is set forth in the following Table 1:

Inventor Name	City, State
1. Bhushan Hardas	Summersville, NC
2. Donna Dalton	High Point, NC
3. Petra Scheppler	Mainz, Germany
4. Anja Buch	Eschborn, Germany
5. Peter Boderke	Schwalbach, Germany

Table 1

hereby declares and agrees, on behalf of himself/herself and all of his/her successors and assigns that each is aware of the patent application(s) entitled:

**TOPICAL COMPOSITIONS AND METHODS FOR
MAKING AND USING SAME; and**

- ☐ prepared for filing in the United States Patent and Trademark Office; or
- ☐ identified by United States Application Serial No. _____ filed in the United States Patent and Trademark Office on _____; and
- ☒ identified by International Patent Application No. **PCT/US2014/014098** filed on **January 31, 2014**; and
- ☒ is also aware of the following priority applications:
- Serial No.: 13/756,392; Filed: January 31, 2013
- Serial No.: 13/941,201; Filed: July 12, 2013

WHEREAS MERZ PHARMACEUTICALS, LLC (hereinafter "ASSIGNEE"), having a usual place of business at 6501 Six Forks Road, Raleigh, North Carolina 27615, desires to acquire or confirm an interest therein;

NOW, THEREFORE, to all whom it may concern be it known that, in consideration of agreements previously and duly entered into between the parties, and/or for other good and valuable consideration, the receipt of which is hereby acknowledged, each of us has sold, assigned, and transferred and/or do hereby sell, assign, and transfer unto said ASSIGNEE, its successors, assigns, and legal representatives, my entire right, title, and interest in and throughout the United States of America, its territories and all foreign countries, in and to any and all

inventions described in the patent application, and/or any priority applications noted above, including any right of priority thereto; and hereby confirm that my sale, assignment and transfer is and was effective at least as of the filing date of the patent application and/or priority application. Our sale, assignment and transfer applies to the above-referenced patent application, and to any application that is based in whole or in part on the patent application, including to divisional, continuing, substitute, renewal, reissue, reexamination and other applications, for example that claim priority to the patent application. Also, this sale, assignment and transfer pertains to any and all other rights arising under or pursuant to any and all international agreements, treaties, or laws relating to the protection of industrial property, including all rights of priority under the International Convention for the Protection of Industrial Property, and in and to any such patent(s) as may issue thereon including any and all original and reissued patents which have been or shall be issued in the United States and foreign countries; said inventions, applications, and patent(s) to be held and enjoyed by ASSIGNEE for its own use and for its successors, assigns and legal representatives, to the full end of the term for which said patent(s) may be granted as fully and entirely as the same would have been held by each of us had this sale, assignment and transfer not been made;

AND, each of us hereby acknowledges that this Assignment, being of our entire right, title, and interest in and to the inventions, carries with it the right in ASSIGNEE, by attorneys and agents of ASSIGNEE selection, to apply for and receive any and all patent(s) for said inventions in its own name;

AND, each of us hereby further agrees for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the patent(s) to ASSIGNEE, its successors, assignees, and legal representatives, but at its expense and charges, including the execution of application for patents in foreign countries, the execution of substitution, reissue, divisional or continuation applications, and the giving of testimony, preliminary statements, or other statements in any interference or other proceeding in which the inventions or any applications or patents directed to the inventions may be involved by communicating to the ASSIGNEE all facts we know relating to the inventions and their history, and generally by doing everything possible which ASSIGNEE shall consider desirable for aiding in securing and maintaining proper patent protection for the inventions and for vesting title in the inventions and all applications for patent and all patents on the inventions in ASSIGNEE;

AND, each of us further hereby authorizes ASSIGNEE or its attorneys or agents to insert the correct serial number(s) and/or filing date(s) into this assignment document, if appropriate;

AND, each of us hereby appoints ASSIGNEE as our common agent for purposes of prosecuting international patent applications and any national patent applications for which such common agency is recognized;

AND, each of us hereby requests the Commissioner for Patents of the United States Patent and Trademark Office to issue any and all patent(s) as shall be granted upon said application or applications based thereon to ASSIGNEE, its successors, assigns, and legal representatives;



AND, each of us covenant with said ASSIGNEE that no assignment, grant, mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by us, and that full right to convey the same as herein expressed is possessed by us.

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B. DECLARATION (37 CFR 1.63)

As below named inventors, we hereby declare that:

This declaration is directed to the patent application entitled:

**TOPICAL COMPOSITIONS AND METHODS FOR
MAKING AND USING SAME; and**

☐ attached hereto or prepared for filing in the United States Patent and Trademark Office; or

☐ identified by United States Application Serial No. _____ filed in the United States Patent and Trademark Office on _____; or

☒ identified by International Patent Application No. **PCT/US2014/014098** filed on **January 31, 2014**.

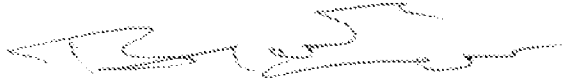
The above-identified application was made or authorized to be made by us.

We believe that we are the original joint inventors of a claimed invention in the application.

We hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.


We have reviewed and understand the contents of the application, including the claims and are aware of the duty to disclose to the United States Patent and Trademark Office all information known to be material to patentability as defined in 37 CFR 1.56.

131




Name: **Bhushan Hardas**

14/Aug/15
Date

Witness Signature: 

Laura J. Healey
Name (please print):

14/Aug/15
Date

Witness Signature: 

Darren Lance
Name (please print):

14/Aug/15
Date

B1

Donna Y. Dalton
Name: Donna Dalton

8-15-15
Date

Witness Signature:

Rosemary Kell
Name (please print): Rosemary Kell

8/15/15
Date

Witness Signature:

Everett Kell Sr.
Name (please print): Everett Kell, Sr.

8/15/15
Date

Petra Scheppler
Name: **Petra Scheppler** /

22.07.2015
Date

Witness Signature:

Anja Buch
Name (please print): **ANJA BUCH**

23-Jul-2015
Date

Witness Signature:

P. Bockler
Name (please print): **Peter Bockler**

23.07.2015
Date

BT

Anja Buch
Name: **Anja Buch**

23-Jul-2015
Date

Witness Signature: P. Rodetke
Peter Rodetke
Name (please print):

23.07.2015
Date

Witness Signature: Petra Scheppler
Petra Scheppler
Name (please print):
PETRA SCHEPPLER

23.07.2015
Date

Peter Boderke
Name: **Peter Boderke**

23.07.2015
Date

Witness Signature:
[Signature]
Name (please print): **ANJA BUCH**

23-Jul-2015
Date

Witness Signature:
[Signature]
Name (please print): **PETRA SCHEPPLER**

23.07.2015
Date