#### 503635480 01/05/2016

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3682111

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
ALEXANDER H. COOPER	12/11/2015
MATTHEW A. PETERSON	06/26/2015
WILLIAM C. BRUNNETT	06/26/2015

### **RECEIVING PARTY DATA**

Name:	EDWARDS LIFESCIENCES CORPORATION	
Street Address:	ONE EDWARDS WAY	
Internal Address:	LEGAL DEPT	
City:	IRVINE	
State/Country:	CALIFORNIA	
Postal Code:	92614	

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	14730639

### **CORRESPONDENCE DATA**

Fax Number: (949)250-6850

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using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

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**EDWARDS LIFESCIENCES CORPORATION Correspondent Name:** 

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Address Line 2: LEGAL DEPT

Address Line 4: IRVINE, CALIFORNIA 92614

ATTORNEY DOCKET NUMBER:	ADV-7012US02	
NAME OF SUBMITTER:	DAVID L HAUSER	
SIGNATURE:	/David L Hauser/	
DATE SIGNED:	01/05/2016	

### **Total Attachments: 5**

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> **PATENT** REEL: 037414 FRAME: 0014 503635480

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source=7012US02-Assignment#page5.tif

PATENT REEL: 037414 FRAME: 0015 Docket No.: ADV-7012US02

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Whereas, we have invented certain new and useful improvements entitled:

#### PROSTHETIC VALVE FOR REPLACING A MITRAL VALVE

<u>x</u>	for which an application for a United as U.S. Application Number	States Letters Patent was filed on	June 4, 2015
	for which an application for a Unite	d States Letters Patent is filed herewi	th.

Now therefore, in consideration of one dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency whereof are hereby acknowledged, we hereby convey, transfer and assign to Edwards Lifesciences Corporation (hereinafter referred to as "assignee"), a corporation of Delaware, having a principal place of business at One Edwards Way, Irvine, California 92614, its successors, legal representatives and assigns, the entire right, title and interest throughout the world in the above-mentioned invention or improvements, in the above-mentioned application for United States Letters Patent, and any and all other applications for United States Letters Patent and applications in any other countries which we may file, either solely or jointly with others, on said invention or improvements, including any divisional, continuation and continuation-in-part applications, and in any and all Letters Patent of the United States or of any other country which may be obtained on any of said applications, and in any reissue, reexam or extension thereof.

We hereby authorize and request the Commissioner of Patents to issue said Letters Patent to said Edwards Lifesciences Corporation.

We hereby authorize and request the attorneys of record in said application to insert in this Assignment the date and serial number of said application when officially known.

We warrant ourselves to be the owners of the interest herein assigned and to have the right to make this assignment; and further warrant that there are no outstanding prior assignments, licenses, or other rights in the interest herein assigned.

For said consideration we hereby agree, upon the request and at the expense of said assignee, its successors, legal representatives and assigns, to execute any and all divisional, continuation, and C-I-P applications for said invention or improvements, and any necessary oath or supplemental oath or affidavit relating thereto, and any application for the reissue, reexam or extension of any Letters Patent that may be granted upon said application that said assignee, its successors, legal representatives and assigns may deem necessary or expedient. For said consideration we further agree, upon the request of said assignee, its successors, legal representatives and assigns, in the event of said application or any division, continuation, or C-I-P thereof, or Letters Patent issued thereon, or any reexam, reissue or application for the reissue thereof becoming involved in interference, to cooperate to the best of our ability with said assignee, its successors, legal representatives and assigns in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof. We further agree to perform, upon such request, any and all affirmative acts to obtain Letters Patent, and vest all rights therein hereby conveyed in said assignee, its successors, legal representatives and assigns whereby said Letters Patent will be held and enjoyed by said assignee, its successors, legal representatives and assigns to the end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made, including the right to enforce said patent and to sue for and recover damages and all other remedies arising out of any and all past, present and future infringement or violation of said Letters Patent, and for said considerations we hereby also assign to said assignee, its

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successors, legal representatives and assigns the entire rights, title and interest in said invention or improvements for any and all foreign countries and the right of priority for patent and utility model applications in all countries arising under any applicable international convention for the protection of industrial property and/or any internal priority legislation of such countries, and we further agree upon the request of said assignee, its successors, legal representatives and assigns to execute any and all documents that shall be required to be executed in connection with any and all applications for foreign Letters Patent therefor, including the prosecution thereof, and to execute any and all documents necessary to invest title in said foreign applications and patents in said assignee.

Dated:12/11/15	Dated: $\frac{26}{26/15}$
Signature:	Signature:
MA	Just of The
Typed Name: Alexander H. Cooper Newport Beach, California	Typed Name: Matthew A. Peterson Newport Beach, California
	``
State of, County of	State of (A, County of OKALY)
Onbefore me, Notary Public,	On 12-11-2015 before me <u>EVELONE. SANCHEZ</u> , Notary Public, personally appeared
personally appeared	personally appeared
	ALEXANDER H. Cooper
who proved to me on the basis of satisfactory	who proved to me on the basis of satisfactory
evidence to be the person(s) whose name(s) is/are	evidence to be the person(s) whose name(s) is/are
subscribed to the within instrument and	subscribed to the within instrument and
acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and	acknowledged to the that he/she/they executed the same in his/her/their authorized capacity(ies), and
that by his/her/their signature(s) on the instrument	that by his/her/their signature(s) on the instrument
the person(s), or entity upon behalf of which the	the person(s), or entity upon behalf of which the
person(s) acted, executed the instrument.	person(s) acted, executed the instrument.
I certify under PENALTY OF PERJURY under	I certify under PENALTY\OF PERJURY under
the laws of the State of California that the	the laws of the State of California that the
foregoing paragraph is true and correct.	foregoing paragraph is true and correct.
WITNESS my hand and official seal.	WITNESS my hand and official seal.
	18/11/9 8/
	- Mu Con
/ Signature of Notary	Signature of Notary
(Séal)	(Seal)
	EVELYN E. SANCHEZ
	M (E NOTARY PUBLIC-CALIFORNIA W
CONTINUED ON NEXT PAGE	ORANGE COUNTY MY COMM. EXP. JULY 27, 2018

# ALL-PURPOSE ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this Certificate is attached, and not the truthfulness, accuracy, or validity of that document.  State of California  County of	***************************************	000000000000000000000000000000000000000
On	certificate verifies only the identity of the individual who signed the document to which this Certificate attached, and not the truthfulness, accuracy, or	· I
basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that hc/shc/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.  I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.  WITNESS my hand and official seal.  PLACE NOTARY SEAL INABOVE SPACE  OPTIONAL INFORMATION  The information below is optional. However, it may prove valuable and could prevent fraudulent attachment of this form to an unauthorized document.  CAPACITY CLAIMED BY SIGNER (PRINCIPAL)  NOTARY SIGNATURE  DESCRIPTION OF ATTACHED DOCUMENT  TITLE OR TYPE OF DOCUMENT  NUMBER OF PAGES  DATE OF DOCUMENT  OTHER  OTHER	Maral	SS.
basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that hc/shc/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.  I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.  WITNESS my hand and official seal.  PLACE NOTARY SEAL INABOVE SPACE  OPTIONAL INFORMATION  The information below is optional. However, it may prove valuable and could prevent fraudulent attachment of this form to an unauthorized document.  CAPACITY CLAIMED BY SIGNER (PRINCIPAL)  NOTARY SIGNATURE  DESCRIPTION OF ATTACHED DOCUMENT  TITLE OR TYPE OF DOCUMENT  NUMBER OF PAGES  DATE OF DOCUMENT  OTHER  OTHER	On, before me,	EVERYN E. SANNY, Notary Public,
and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.  I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.  WITNESS my hand and official seal.  PLACENOTARY SEALINABOVE SPACE  OPTIONAL INFORMATION  The information below is optional. However, it may prove valuable and could prevent fraudulent attachment of this form to an unauthorized document.  CAPACITY CLAIMED BY SIGNER (PRINCIPAL)  INDIVIDUAL.  CORPORATE OFFICER  PARTNER(S)  ATTORNEY-IN-FACT  GUARDIAN/CONSERVATOR  SUBSCRIBING WITNESS  OTHER  DATE OF DOCUMENT  OTHER	personally appeared <u>AUV and H</u>	· Copur, who proved to me on the
The information below is optional. However, it may prove valuable and could prevent fraudulent attachment of this form to an unauthorized document.  CAPACITY CLAIMED BY SIGNER (PRINCIPAL)  INDIVIDUAL  CORPORATE OFFICER  PARTNER(S)  ATTORNEY-IN-FACT  GUARDIAN/CONSERVATOR  SUBSCRIBING WITNESS  DATE OF DOCUMENT  OTHER:  OTHER	EVELYN E. SANCHEZ COMM.# 2121139 ONOTARY PUBLIC-CALIFORNIA ORANGE COUNTY	and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.  I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.
The information below is optional. However, it may prove valuable and could prevent fraudulent attachment of this form to an unauthorized document.  CAPACITY CLAIMED BY SIGNER (PRINCIPAL)  INDIVIDUAL  CORPORATE OFFICER  PARTNER(S)  ATTORNEY-IN-FACT  GUARDIAN/CONSERVATOR  SUBSCRIBING WITNESS  OTHER  OTHER	PLACE NOTARY SEAL IN ABOVE SPACE	NOTARY'S SIGNATURE
of this form to an unauthorized document.  CAPACITY CLAIMED BY SIGNER (PRINCIPAL)  INDIVIDUAL,  CORPORATE OFFICER  PARTNER(S)  ATTORNEY-IN-FACT  GUARDIAN/CONSERVATOR  SUBSCRIBING WITNESS  OTHER:  OTHER		
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**REEL: 037414 FRAME: 0018** 

ASSIGNMENT

Docket No.: ADV-7012US02 Page 3

Dated: 6-26-2015 Signature:	Dated:Signature:
Typed Name: William C. Brunnett Mission Viejo, California	Typed Name:
State of, County of	State of, County of
On before me	On before me
, Notary Public,	, Notary Public,
personally appeared	personally appeared
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or entity upon behalf of which the person(s) acted, executed the instrument.	who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or entity upon behalf of which the person(s) acted, executed the instrument.
I certify/under PENALTY OF PERJURY under	I certify under PENALTY OF PERJURY under
the laws of the State of California that the	the laws of the State of California that the
foregoing paragraph is true and correct.	foregoing paragraph is true and correct.
WITNESS my hand and official seal.	WITNESS my hand and official seal.
Signature of Notary	Signature of Notary
(Seal)	(Seal)

A notary public or other officer completing this certificate document to which this certificate is attached, and not the	te verifies only the identity of the individual who signed the truthfulness, accuracy, or validity of that document.
personally appeared Mathew Pet William Brunett who proved to me on the basis of satisfactory	Name(s) of Signer(s)  evidence to be the person(s) whose name(s) is/are
	edged to me that he/she/they executed the same in s/her/their signature(s) on the instrument the person(s), ted, executed the instrument.
DENISE A. STEADMAN Commission # 2057011 Notary Public - California Orange County	I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.  WITNESS my hand and official seal.  Signature  Signature of Notary Public
Place Notary Seal Above	
Though this section is optional, completing this	FIONAL information can deter alteration of the document or form to an unintended document.
Description of Attached Document Title or Type of Document:  Number of Pages: Signer(s) Other Than	Document Date:n Named Above:
Capacity(ies) Claimed by Signer(s)  Signer's Name:  Corporate Officer — Title(s):  Partner — Limited General Individual Attorney in Fact Trustee Guardian or Conservator Other:	Signer's Name:
Signer Is Representing:	Signer Is Representing:

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