U.S. DEPARTMENT OF COMMERCE Form PTO-1595 (Rev. 07/05) OMB No. 0651-0027 (exp. 6/30/2008) United States Patent and Trademark Office RECORDATION FORM COVER SHEET S-140,512 MR PATENTS ONLY To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below. 2. Name and address of receiving party(ies) 1. Name of conveying party(les) Name: United States Department of Energy CORNING INCORPORATED Internal Address: _____ Additional name(s) of conveying party(ies) attached? Tyes 🗹 No Street Address: 1000 Independence Ave., S.W 3. Nature of conveyance/Execution Date(s): Execution Date(s) 12/07/2015 Assignment Merger City: Washington Change of Name Security Agreement State: D.C. Joint Research Agreement Government Interest Assignment Country: USA Zip: 20585 Executive Order 9424, Confirmatory License Additional name(s) & address(es) attached? Tes Ves Vo Other This document is being filed together with a new application. 4. Application or patent number(s): B. Patent No.(s) A. Patent Application No.(s) 14/599,692 6. Total number of applications and patents 5. Name and address to whom correspondence concerning document should be mailed: involved: 1 Name: Michael J. Dobbs 7. Total fee (37 CFR 1.21(h) & 3.41) \$___ Internal Address: U. S. Dept. of Energy, IPLD Authorized to be charged by credit card Authorized to be charged to deposit account **Enclosed** Street Address: <u>9800 S. Cass Ave</u> ✓ None required (government interest not affecting title) 8. Payment Information City: Argonne a. Credit Card Last 4 Numbers ______ State: | _____Zip:60439_ Expiration Date _____ Phone Number: 630-252-2164 b. Deposit Account Number _____ Fax Number: 630-252-2779 Authorized User Name Email Address: Confirmatory.License@ch.doe.gov 9. Signature: Signature Total number of pages including cover Michael J. Dobbs #58,093 sheet, attachments, and documents: Name of Person Signing

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450



Department of Energy Office of Science science.energy.gov/isc/services/legal/

CONFIRMATORY LICENSE

Title of Invention:

Reactive Sintering of Ceramic Lithium Ion Electrolyte Membranes

Inventor(s):

Indrajit Dutta, Brian Kent, Nadja Lonnroth, Michael Badding, Sriram Iyler

Patent Application Serial No:

14/599692

Filing Date January 19, 2015

Patent Number:

Issue Date:

Name of Contractor (i.e. Contractor, Subcontractor, Grant, or Cooperative Agreement, as applicable):

Corning Incorporated, Subcontractor to Polyplus Battery Company under DE-EE0005757 and subject

Name of Contractor: to FAR 52.227-12 Patent Rights - Waiver (July 1996), as modified by 10 C.F.R. 784 DOE Patent

Waiver 2012-034"ATTACHED

DOE Contract No. (i.e. Contract, Subcontract, Gront, or Cooperative Agreement, as applicable):

Subcontract to Corning Incorporated: PPBC-Corning IMI 10-2012

Contractor Docket No.:

DOE Case No.: S-140.512

The Contractor has reported the above-identified invention as a Subject Invention to DOE and has elected to retain title thereto.

Accordingly, this document is confirmatory of the nonexclusive, nontransferable, irrevocable, paid-up, worldwide license in this subject invention, patent application and any resulting patent, including any continuation, divisional, reissue, or supplemental application thereof, to practice or to have practiced for or on behalf of the United States throughout the world; and of all other rights acquired by the Government by the patent rights clause in the above-identified contract,

It is understood and agreed that this license does not preclude the Government from asserting rights under the provisions of said contract or of any other agreement between the Government and the Contractor, or from asserting any other rights of the Government with respect to the above-identified subject invention.

The Government is hereby granted an irrevocable power to inspect and make copies of the above-identified patent

application.

ontractor Name

Signed on: Dec 7 2015

Corning Incorporated, Subcontractor to Polyplus Battery Company under DE-EE0005757 and subject

to FAR 52.227-12 Patent Rights - Waiver (July 1996), as modified by 10 C.F.R. 784 DOE Patent

Waiver 2012-034*ATTACHED

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PATENT

REEL: 037416 FRAME: 0174