

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT3684927

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
ROBERTO RIGATTI	10/04/2011
NIALL ANTHONY GORMLEY	10/04/2011
JONATHAN MARK BOUTELL	10/04/2011
RECEIVING PARTY DATA	
Name:	ILLUMINA CAMBRIDGE LIMITED
Street Address:	LITTLE CHESTERFORD
Internal Address:	NR SAFFRON WALDEN
City:	ESSEX
State/Country:	UNITED KINGDOM
Postal Code:	CB10 1XL
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14683580
CORRESPONDENCE DATA	
Fax Number:	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Email:	ip@illumina.com
Correspondent Name:	ILLUMINA, INC.
Address Line 1:	5200 ILLUMINA WAY
Address Line 4:	SAN DIEGO, CALIFORNIA 92122
ATTORNEY DOCKET NUMBER:	IP-0465A-US
NAME OF SUBMITTER:	IRJA ZAREMBOK
SIGNATURE:	/Irja Zarembok/
DATE SIGNED:	01/07/2016
Total Attachments: 7	
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ASSIGNMENT OF IP RIGHTS TO ILLUMINA CAMBRIDGE LIMITED

WHEREAS, each one of the undersigned:

Name: **Roberto Rigatti**
Address: Chesterford Research Park
Little Chesterford
Nr Saffron Walden
Essex CB10 1XL
United Kingdom

Name: **Niall Anthony Gormley**
Address: Chesterford Research Park
Little Chesterford
Nr Saffron Walden
Essex CB10 1XL
United Kingdom

Name: **Jonathan Mark Boutell**
Address: Chesterford Research Park
Little Chesterford
Nr Saffron Walden
Essex CB10 1XL
United Kingdom

(collectively "the Assignor/s") have made certain new and useful inventions, discoveries, and improvements (the "Inventions"), for which the Assignor/s filed the following application/s:

File No. IP-0465-US
Title: METHODS FOR SEQUENCING POLYNUCLEOTIDES
Ser. No. 13/219,422 Filed: August 26, 2011

File No. IP-0465-PCT
Title: METHODS FOR SEQUENCING POLYNUCLEOTIDES
Ser. No. PCT/EP2011/004328 Filed: August 29, 2011

and now desire/s to convey all right, title, and interest in:

- the Inventions,
- the application/s listed above, and any other applications for patent, design, utility model, certificate of invention, trademark, or trade dress, in any country or under any international or regional authority (e.g. WIPO/PCT, EPO, ARIPO, EAPO, OAPI), to the extent some or all of its subject matter is disclosed and entitled to the benefit of

Assignment of IP Rights to ILLUMINA CAMBRIDGE LIMITED

Assignors: Rigatti *et al.*

File Number: IP-0465

priority in the application/s listed above, including provisional, continuation, divisional, continuation-in-part, reissue, and reexamination applications (collectively “the Application/s”),

- the benefit of earlier filing date and right to claim priority to the Inventions and Application/s under applicable law and treaties, including the Paris Convention for the Protection of Industrial Property as revised and amended and 35 U.S.C. §§ 119, 120,
- any rights accrued by the publication of the Application/s, such as 35 U.S.C. § 154(d), Art. 67(1) EPC,
- any Letters Patent or Certificates of Invention that may be issued or granted from the Application/s, including reissued patents, reexamination certificates, renewals, and any rights resulting from an extension or adjustment of patent term, and
- any rights arising from the Invention under laws and conventions protecting copyright, trademark, trade dress, or other industrial property,

(collectively, “the IP Rights”), and

WHEREAS, ILLUMINA CAMBRIDGE LIMITED, a corporation having a place of business at Chesterford Research Park, Little Chesterford, Nr Saffron Walden, Essex CB10 1XL, United Kingdom is desirous of acquiring the entire right, title, and interest for itself, its successors, and its assigns (collectively “the Assignee”) in the IP Rights by an instrument in writing, 35 U.S.C. § 261;

NOW, THEREFORE, to all whom it may concern, be it known that, for good and valuable consideration, and by an obligation that existed before the filing date of the PCT application/s above, the receipt and sufficiency of which I/we hereby acknowledge and hereby waive the right to any additional compensation concerning the IP Rights:

I/we warrant and represent to the Assignee that I/we have the full right to convey my/our right, title, and interest in the IP Rights without encumbrance, and that I/we have not made any earlier assignment, license, grant, or mortgage to another, or made any agreement with another, that would affect my/our right, title, and interest in the IP Rights;

By this Assignment and by virtue of any earlier agreements to assign, I/we hereby assign, transfer, convey, and sell my/our entire right, title, and interest in the IP Rights to the Assignee, including any claims or causes of action for damages or other remedies arising out of any earlier violation of the IP Rights, for and to inure to the Assignee’s full benefit of the IP Rights as fully as they would have been held by me/us if this Assignment had not been made;

I/we authorize the Assignee and its legal representatives to:

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Assignors: Rigatti *et al.*

File Number: IP-0465

- (a) insert into this Assignment any supplemental information to make the identification more complete for any of the Application/s or Letters Patent already listed above,
- (b) prosecute the Application/s, file new applications for the Invention/s, and do all acts permitted by law to protect and enforce the IP Rights,
- (c) request that Letters Patent and Certificates of Invention be issued and granted to and in the name of the Assignee by the issuing or granting authority, such as the U.S. Commissioner for Patents, 37 C.F.R. § 3.81; and

I/we agree with the Assignee that I/we and my/our legal representatives, heirs, and executors will perform all acts deemed necessary or desirable by the Assignee to permit and assist it to secure the entire right, title, and interest in the IP Rights so that the Assignee may benefit from this Assignment, including:

- (a) communicating to the Assignee all facts known to me/us that relate to the history and extent of my individual contribution to the IP Rights, including the circumstances of the conception of the invention and its development and reduction to practice, and furnishing supporting documents or items in my control,
- (b) assisting in the preparation of the Application/s and their prosecution by the Assignee, 37 C.F.R. § 3.71, and in the enforcement of the IP Rights, including making, executing, and delivering to the Assignee any other necessary documents, such as further application papers, declarations, affidavits, disclaimers, petitions, or assignments, and
- (c) assisting and cooperating in administrative and legal proceedings, including giving written and oral testimony,

so that these will be performed without further consideration, except for reasonable actual expenses to be reimbursed by the Assignee.

I/we further agree with the Assignee that I/we will not perform any future act, execute any subsequent document, or make any subsequent agreement with another person or party that conflicts with this Assignment, that purports to transfer any right, title, or interest in the IP Rights that are the subject of this Assignment, unless agreed to in writing by the Assignee or that prevents the Assignee from receiving the full benefit of the bargain under this Assignment.

If any provision of this Assignment is held invalid or unenforceable, that provision shall be enforced to the maximum extent permissible in order to put into effect the intent of the Assignor/s and the Assignee, and the remainder of this Assignment shall not be affected, but continue in full force and effect.

Assignment of IP Rights to ILLUMINA CAMBRIDGE LIMITED
Assignors: Rigatti *et al.*
File Number: IP-0465

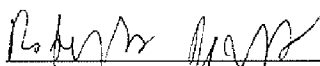
This document shall be executable by each Assignor in parts, and the Assignment will be effective and recordable for each Assignor as of the date of that Assignor's signature.

For purposes of the PCT application/s listed above, the effective date of this Assignment is August 29, 2011.

Assignment of IP Rights to ILLUMINA CAMBRIDGE LIMITED
Assignors: Rigatti *et al.*
File Number: IP-0465

In testimony of this Assignment, I am signing and dating this document below:

Name: **Roberto Rigatti**

Signature: 

Date: 4th October 2011


Witness Name: XIAOHAI LIU


Signature: 

Date: 4th October 2011

Assignment of IP Rights to ILLUMINA CAMBRIDGE LIMITED
Assignors: Rigatti *et al.*
File Number: IP-0465

In testimony of this Assignment, I am signing and dating this document below:

Name: **Niall Anthony Gormley**
Signature: 
Date: 4th Oct 2011

Witness Name: XIAOHAI LIU.
Signature: 
Date: 02/10/2011

Assignment of IP Rights to ILLUMINA CAMBRIDGE LIMITED
Assignors: Rigatti *et al.*
File Number: IP-0465

In testimony of this Assignment, I am signing and dating this document below:

Name: **Jonathan Mark Boutell**

Signature: J. Boutell

Date: 04/10/11

Witness Name: XIAOHUI LIU,

Signature: [Signature]

Date: 04/10/2011