

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT3688523

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
HUI LIU	12/30/2015
SHUHUA DUAN	12/30/2015
SHI LIU	12/30/2015
RECEIVING PARTY DATA	
Name:	QINGDAO SIFANG ROLLING STOCK RESEARCH INSTITUTE CO., LTD.
Street Address:	NO. 231 RUICHANG ROAD, SHIBEI DISTRICT, SHANDONG
City:	QINGDAO
State/Country:	CHINA
Postal Code:	266031
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14991897
CORRESPONDENCE DATA	
Fax Number:	(949)660-0809
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	9496600761
Email:	jcpatents@sbcglobal.net
Correspondent Name:	J.C. PATENTS
Address Line 1:	4 VENTURE, SUITE 250
Address Line 4:	IRVINE, CALIFORNIA 92618
ATTORNEY DOCKET NUMBER:	LAWSCI-026
NAME OF SUBMITTER:	JIawei HUANG
SIGNATURE:	/JIawei HUANG/
DATE SIGNED:	01/08/2016
This document serves as an Oath/Declaration (37 CFR 1.63).	
Total Attachments: 3	
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**COMBINED DECLARATION (37 CFR 1.63) AND ASSIGNMENT
FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION
DATA SHEET (37 CFR 1.76)**

WHEREAS, the undersigned has invented certain new and useful improvements as described and set forth in the below identified application for United States Patent:

Title of the Invention : **BALANCING APPARATUS FOR A TRANSITION
COUPLER**

The attached application, or
 United States application or PCT application number _____ filed on
_____ and as amended under PCT Article 19 on _____ (if applicable).

The above-identified application was made or authorized to be made by me.
I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

WHEREAS, **QINGDAO SIFANG ROLLING STOCK RESEARCH INSTITUTE CO.,
LTD.** (hereinafter termed "Assignee"), a China corporation

residing at **NO. 231 RUICHANG ROAD, SHIBEI DISTRICT, QINGDAO,
SHANDONG 266031, CHINA**

is desirous of acquiring the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or disclosed jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, certificates of invention and other forms of protection thereon (hereinafter termed "patents") applied for or granted in the United States and/or other countries.

NOW THEREFORE, for good and valuable consideration acknowledged by each of said Inventors to have been received in full from said Assignee:

1. Said Inventors do hereby sell, assign, transfer and convey to said Assignee, the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply in any or all countries of the world for patents, certificates of inventions or other governmental grants on said invention, including the right to apply for patents pursuant to the International Convention for the Protection of Industrial Property or pursuant to any other convention, treaty, agreement or understanding; (c) in and to any and all applications filed and any and all patents, certificates of inventions or other governmental grants granted on said invention in the United States or any other country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; (d) in and to each and every reissue or extension of any of said patents; and (e) in and to each and every patent claim resulting from a reexamination certificate for any and all of said patents.

**COMBINED DECLARATION AND ASSIGNMENT
FOR UTILITY OR DESIGN PATENT APPLICATION
CONTINUED**

2. Said Inventors hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and other countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, executing of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for complying with any duty of disclosure; (c) for prosecuting any of said applications; (d) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (e) for filing and prosecuting applications for reissue of any of said patents; (f) for interference or other priority proceedings involving said invention; and (g) for legal proceedings involving said invention and any applications therefor and any patents granted

thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, reexamination proceedings, compulsory licensing proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this Assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns.

4. Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful, false statements may jeopardize the validity of the application or any patent issued thereon.

The above-identified application was made or authorized to be made by me.
I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.
I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

LEGAL NAME OF INVENTOR:

Full name of the sole or first inventor: LIU, Hui

Inventor's signature: LIU, Hui Date: 2015.12.30

Additional inventors or a legal representative are being named on the 1 supplemental sheet(s) attached hereto

**COMBINED DECLARATION AND ASSIGNMENT
FOR UTILITY OR DESIGN PATENT APPLICATION
ADDITIONAL INVENTOR(S)
Supplemental Sheet**

Name of Additional Joint Inventor: **DUAN, Shuhua**

Inventor's signature DUAN, Shuhua Date 2015.12.30

Name of Additional Joint Inventor: **LIU, Shi**

Inventor's signature LIU, Shi Date 2015.12.30