

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3689585

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
JEONG SOO KIM	06/08/2015
YOUNG SHOL KIM	06/09/2015
JE HYUN CHAE	06/08/2015
HEE YOUNG SUN	06/08/2015
KYU TAE LEE	06/08/2015
SEUNG HEE WOO	06/08/2015
KWANG HO HA	06/08/2015
RECEIVING PARTY DATA	
Name:	SK INNOVATION CO., LTD.
Street Address:	26, JONG-RO, JONGNO-GU
City:	SEOUL
State/Country:	KOREA, REPUBLIC OF
Postal Code:	110-728
Name:	UNIST ACADEMY-INDUSTRY RESEARCH CORPORATION
Street Address:	50, UNIST-GIL, EONYANG-EUP, ULJU-GUN
City:	ULSAN
State/Country:	KOREA, REPUBLIC OF
Postal Code:	689-798
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14904237
CORRESPONDENCE DATA	
Fax Number:	(412)471-4094
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	(412)471-8815
Email:	assignments@webblaw.com
Correspondent Name:	THE WEBB LAW FIRM
Address Line 1:	420 FT. DUQUESNE BLVD., STE 1200
Address Line 2:	ONE GATEWAY CENTER

PATENT

Address Line 4: PITTSBURGH, PENNSYLVANIA 15222	
ATTORNEY DOCKET NUMBER:	5038-155255
NAME OF SUBMITTER:	RICHARD L. BYRNE
SIGNATURE:	/Richard L. Byrne/
DATE SIGNED:	01/11/2016
Total Attachments: 6 source=Assignment#page1.tif source=Assignment#page2.tif source=Assignment#page3.tif source=Assignment#page4.tif source=Assignment#page5.tif source=Assignment#page6.tif	

RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Jeong Soo KIM
Young Shol KIM
Je Hyun CHAE
Hee Young SUN

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) June 8, 2015; June 9, 2015; June 8, 2015; June 8, 2015

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: SK INNOVATION CO., LTD.

Internal Address: _____

Street Address: 26, Jong-ro

Jongno-gu

City: Seoul

State: _____

Country: REPUBLIC OF KOREA Zip: 110-728

Additional name(s) & address(es) attached? ☒ Yes ☐ No

4. Application or patent number(s):

☐ This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

14/904,237

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Richard L. Byrne

Internal Address: The Webb Law Firm

Street Address: One Gateway Center
420 Ft. Duquesne Blvd., Ste 1200

City: Pittsburgh

State: PA Zip: 15222

Phone Number: 412-471-8815

Docket Number: 5038 - 155255

Email Address: assignments@webblaw.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$0

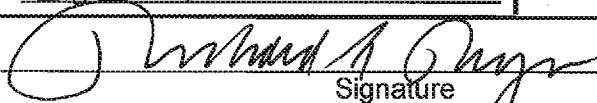
- ☐ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number _____

Authorized User Name _____

9. Signature:


Signature

Richard L. Byrne, Reg. No. 28,498

Name of Person Signing

January 11, 2016

Date

Total number of pages including cover sheet, attachments, and documents:

6

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

Page 2

U.S. Patent Application No. 14/904,237, filed August 16, 2013

U.S. Patent No. _____, issued _____

Additional Information (continued from Page 1)

Name of conveying party(ies)

Kyu Tae LEE
Seung Hee WOO
Kwang Ho HA

Execution Date(s): June 8, 2015

Name and address of receiving party(ies)

Name: UNIST ACADEMY-INDUSTRY RESEARCH CORPORATION

Internal Address: _____

Street Address: 50, UNIST-gil, Eonyang-eup

Ulju-gun

City: Ulsan

State: _____

Country: REPUBLIC OF KOREA Zip: 689-798

Name of conveying party(ies)

Execution Date(s): _____

Name and address of receiving party(ies)

Name: _____

Internal Address: _____

Street Address: _____

City: _____

State: _____

Country: _____ Zip: _____

INTERNATIONAL
Application No. 14/904,237
Attorney Docket No. 5038-155255

ASSIGNMENT

WHEREAS, as a below named inventor, I have invented certain new and useful improvements in

POSITIVE ELECTRODE ACTIVE MATERIAL FOR SECONDARY BATTERY

(Invention Title)

☐ for which I have this day executed an application for a United States Patent (hereinafter "said application").

AND/OR

☒ for which an application for a United States Patent was filed on 08/16/2013 (mm/dd/yyyy) and bears Application Number 14/904,237 (hereinafter "said application").

AND/OR

☒ for which an international patent application was filed under the Patent Cooperation Treaty on 08/16/2013 (mm/dd/yyyy), bearing Application No. PCT/KR2013/007398 (hereinafter "said application").

AND, WHEREAS, SK INNOVATION CO., LTD., a corporation of Republic of Korea having a place of business at 26, Jong-ro, Jongno-gu, Seoul 110-728 Republic of Korea, UNIST ACADEMY-INDUSTRY RESEARCH CORPORATION, 50, UNIST-gil, Eonyang-eup, Ulju-gun, Ulsan 689-798 Republic of Korea, hereinafter called the "assignee", is desirous of acquiring the entire right, title, and interest in and to said application and the inventions and improvements therein disclosed.

NOW, THEREFORE, for good and valuable consideration paid to me by said assignee, the receipt of which is hereby acknowledged, I as the inventor or as one of the inventors, hereinafter the assignor(s), do hereby assign, sell and transfer unto said assignee the full and exclusive right, title and interest in and to said application and the inventions and improvements therein disclosed for the United States and all foreign countries and any Letters Patent which may issue therefor in the United States and all foreign countries and all divisions, reissues, continuations, continuations-in-part, renewals and/or extensions thereof. Such assignment extends to the full ends of the terms of these applications and patents as fully and entirely as the same would have been held and enjoyed by me had this Assignment not been made.

I hereby authorize and request any attorney associated with The Webb Law Firm, Customer No. 28289, to insert here in parentheses (Application No. 14/904,237, filed August 16, 2013) the filing date and application number of said application when known.

I covenant that I am the lawful owner(s) of said application, inventions and improvements, that the same are unencumbered, that no license has been granted to make, use or vend the said inventions or improvements or any of them, and that I have the full right to make this Assignment.

And for the consideration aforesaid, I agree individually and, if applicable, jointly that I will communicate to said assignee or the representatives thereof any facts known to me

Application No. INTERNATIONAL
14/904,237
Attorney Docket No. 5038-155255

respecting said inventions and improvements, and will, upon request but without expense to me, testify in any legal proceedings, sign all lawful papers, execute all divisional, reissue, continuation, continuation-in-part, renewal and/or extension applications, make all rightful oaths, and generally do all other and further lawful acts deemed necessary or expedient by said assignee or by counsel for said assignee to assist or enable said assignee to obtain and enforce full benefits from the rights and interests herein assigned. This Assignment shall be binding upon my heirs, executors, administrators and/or assigns, and shall inure to the benefit of the heirs, executors, administrators, successors and/or assigns, as the case may be, of said assignee.

1. FULL NAME OF ASSIGNOR:
KIM, Jeong Soo

RESIDENCE:
Daejeon, Republic of Korea

EXECUTED this 8 day of June, 2015


SIGNATURE

WITNESS:

2. FULL NAME OF ASSIGNOR:
KIM, Young Shol

RESIDENCE:
Daejeon, Republic of Korea

EXECUTED this 9 day of June, 2015


SIGNATURE

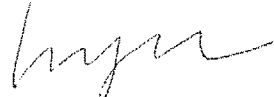
WITNESS:

INTERNATIONAL
Application No. 14/904,237
Attorney Docket No. 5038-155255

3. FULL NAME OF ASSIGNOR:
CHAE, Je Hyun

RESIDENCE:
Daejeon, Republic of Korea

EXECUTED this 8th day of June, 2015



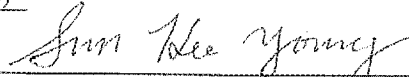
SIGNATURE

WITNESS:

4. FULL NAME OF ASSIGNOR:
SUN, Hee Young

RESIDENCE:
Daejeon, Republic of Korea

EXECUTED this 8th day of June, 2015



SIGNATURE

WITNESS:

5. FULL NAME OF ASSIGNOR:
LEE, Kyu Tae

RESIDENCE:
Ulsan, Republic of Korea

EXECUTED this 8th day of June, 2015



SIGNATURE

WITNESS:

Application No. INTERNATIONAL
14/904,237
Attorney Docket No. 5038-155255

6. FULL NAME OF ASSIGNOR:
WOO, Seung Hee

RESIDENCE:
Gyeonggi-do, Republic of Korea

EXECUTED this 8th day of June, 2015



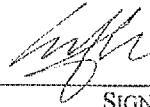
SIGNATURE

WITNESS:

7. FULL NAME OF ASSIGNOR:
HA, Kwang Ho

RESIDENCE:
Daegu, Republic of Korea

EXECUTED this 8th day of June, 2015



SIGNATURE

WITNESS: