#### 01/11/2016 503643928

### PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3690563

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
MATHIAS DIETZ	12/23/2015
DEAN HILDEBRAND	01/04/2016
SIMON LORENZ	12/27/2015
BRIAN NELSON	01/06/2016
WILLIAM W. OWEN	01/07/2016
RAINER WOLAFKA	01/07/2016

#### **RECEIVING PARTY DATA**

Name:	INTERNATIONAL BUSINESS MACHINES CORPORATION
Street Address:	NEW ORCHARD ROAD
City:	ARMONK
State/Country:	NEW YORK
Postal Code:	10504

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number	
Application Number:	14992983	

#### **CORRESPONDENCE DATA**

Fax Number: (408)971-4660

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: (408) 971-2573

Email: wyndie@zilkakotab.com **Correspondent Name:** ZILKA-KOTAB, PC Address Line 1: 1155 N. 1ST ST.

Address Line 2: SUITE 105

SAN JOSE, CALIFORNIA 95112 Address Line 4:

ATTORNEY DOCKET NUMBER:	IBM1P436/SJO920150088US1
NAME OF SUBMITTER:	DOMINIC M. KOTAB
SIGNATURE:	/Dominic M. Kotab/
DATE SIGNED:	01/11/2016
	This document serves as an Oath/Declaration (37 CFR 1.63).

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**REEL: 037457 FRAME: 0033** 

### **Total Attachments: 18** source=SJO920150088US1\_IBM1P436\_SignedAssign\_(02)#page1.tif source=SJO920150088US1 IBM1P436 SignedAssign (02)#page2.tif source=SJO920150088US1 IBM1P436 SignedAssign (02)#page3.tif source=SJO920150088US1 IBM1P436 SignedAssign (02)#page4.tif source=SJO920150088US1 IBM1P436 SignedAssign (02)#page5.tif source=SJO920150088US1 IBM1P436 SignedAssign (02)#page6.tif source=SJO920150088US1 IBM1P436 SignedAssign (02)#page7.tif source=SJO920150088US1\_IBM1P436\_SignedAssign\_(02)#page8.tif source=SJO920150088US1 IBM1P436 SignedAssign (02)#page9.tif source=SJO920150088US1 IBM1P436 SignedAssign (02)#page10.tif source=SJO920150088US1\_IBM1P436\_SignedAssign\_(02)#page11.tif source=SJO920150088US1\_IBM1P436\_SignedAssign\_(02)#page12.tif source=SJO920150088US1 IBM1P436 SignedAssign (02)#page13.tif source=SJO920150088US1\_IBM1P436\_SignedAssign\_(02)#page14.tif source=SJO920150088US1\_IBM1P436\_SignedAssign\_(02)#page15.tif source=SJO920150088US1\_IBM1P436\_SignedAssign\_(02)#page16.tif source=SJO920150088US1 IBM1P436 SignedAssign (02)#page17.tif source=SJO920150088US1 IBM1P436 SignedAssign (02)#page18.tif

PATENT REEL: 037457 FRAME: 0034

# Title of Invention: CONCURRENT FILE AND OBJECT PROTOCOL ACCESS USING SPACE-EFFICIENT CLONING

As a below named and undersigned inventor, I hereby declare that:	
This declaration is directed to the attached application, or (if following box is checked):	
United States application or PCT international application number	
The above-identified application was made or authorized to be made by me.	
I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.	
I have reviewed and understand the contents of the application, including the claims.	
I am aware of the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR Section 1.56.	
Whereas, I ("ASSIGNOR") have made certain inventions, improvements, and discoveries (berein referred to as the "Invention") disclosed in the above-identified patent application and further identified by the IBM Docket Number provided above in the header of this document;	
Whereas, International Business Machines Corporation (herein referred to as the "ASSIGNEE"), a corporation of New York having a place of business at Armonk; New York, desires to acquire, and I desire to grant to the ASSIGNEE, my entire worldwide right, title, and interest in and to the Invention and in and to any and all patent applications and patents directed thereto;	
Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, I hereby sell or have sold, assign or have assigned, and otherwise transfer or have transferred to the ASSIGNEE, its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the Invention, the above-identified United States patent application, and any and all other patent applications and patents for the Invention which may be applied for or granted therefor in the United States and in all foreign countries and invisitionism, including all divisions.	

Page 1 of 3

Assignment not been made; and I agree to execute any and all documents and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the Invention and all

continuations, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such patents. In addition, I hereby authorize and request the Director of the United States Patent and Trademark Office to issue any United States Patent, and foreign patent authorities to issue any foreign patent, granted for the Invention, to the ASSIGNEE, its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the same to be held and enjoyed by the ASSIGNEE, its successors, legal representatives, and assigns to the full end of the terms for which any and all such patents may be granted, as fully and entirely as would have been held and enjoyed by me had this

related patents and applications, in the ASSIGNEE, its successors, legal representatives, and assigns, whenever requested by the ASSIGNEE, its successors, legal representatives, or assigns.

I acknowledge my prior and ongoing obligations to sell, assign, and transfer my rights under this Assignment to the ASSIGNEE and am unaware of any reason why I may not have the full and unencumbered right to sell, assign, and transfer my rights hereby sold, assigned, and transferred, and have not executed, and will not execute, any document or instrument in conflict herewith. I also hereby grant the ASSIGNEE, its successors, legal representatives, and assigns, the right to insert in this Assignment any further identification (including, but not limited to, patent Application Number) which may be necessary or desirable for recordation of this Assignment. This Assignment is governed by the substantive laws of the State of New York, and any disputes will be resolved in a New York state court or federal court sited in New York.

(U)	refai dame of inversor. Mathias Dietz	
	Signature: 11/10/	Daile: 23.12.2015
(2)	Legal Name of Inventor: Dean Hildebrand	
	Signature:	Bate:
(3)	Legal Name of Inventor: Simon Lorenz	
	Signature:	Date:
(4)	Legal Name of Inventor: Brian Nelson	
	Signature:	Date:

(5)	Legal Name of Inventor:	William W. Owen		
	Signature:		Date:	
(6)	Legal Name of Inventor:	Rainer Wolafka		
	Signature:		Date:	

### DECLARATION (37 C.F.R. 1.63) FOR UTILITY PATENT APPLICATION USING AN APPLICATION DATA SHEET (37 C.F.R. 1.76) AND ASSIGNMENT

# Title of Invention: CONCURRENT FILE AND OBJECT PROTOCOL ACCESS USING STACE-EFFICIENT CLONING

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Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged. I hereby sell or have sold, assign or have assigned, and otherwise transfer or have transferred to the ASSIGNEE, its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the Invertion, the above-identified United States patent application, and any and all other patent applications and patents for the invention which may be applied for or granted therefor in the United States and in all foreign countries and jurisdictions, including all divisions, continuations, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such pasents. In addition, I hereby authorize and request the Director of the United States Patent and Trademark Office to issue any limited States Patent, and foreign patent authorities to issue any foreign patent, granted for the Invention, to the ASSIGNEE, its successors, legal representatives, and assigns, my entire worldwide right, title, and enterest in and to the same to be held and enjoyed by the ASSIGNEE, its successors, legal representatives, and assigns to the full end of the terms for which any and all such puterns may be granted, as fully and entirely as would have been held and enjoyed by me had this Assignment not been made: and I agree to execuse any and all documents and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the Invention and all

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(1)	Legal Name of Inventor: Malitias Dietz	
	Signature:	Date:
(2)	Legal Name of Inventor: Dean Hildebrand Signature:	Date: 1/4/201 <b>6</b>
(3)	Legal Name of Inventor: Simon Lorenz	
	Signature:	Date:
(4)	Legal Name of Inventor: Brian Nelson	
	Signature:	Date:

(5)	Legal Name of Inventor:	Willism W. Owen		
	Signature;		Date:	iewice.
(6)	Legal Name of Inventor:	Rainer Wolaffa		
	Signature		Date:	

Page 3 of 3

### Title of Invention: <u>CONCURRENT FILE AND OBJECT PROTOCOL ACCESS USING SPACE-EFFICIENT CLONING</u>

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I have reviewed and understand the contents of the application, including the claims.

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(1)	Legal Name of Inventor: Mathias Dietz	
	Signature:	Date:
(2)	Legal Name of Inventor: Dean Hildebrand	
	Signature:	Date:
(3)	Legal Name of Inventor: Simon Lorenz	
	Signature: Support Value	Date: 12,27,15
(4)	Legal Name of Inventor: Brian Nelson	
	Signature:	Date:

(5)	Legal Name of Inventor:	William W. Owen		
	Signature:		Date:	<del></del>
(6)	Legal Name of Inventor:	Rainer Wolafka		
	Signature:		Date:	

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	Signature:	·	Date:	
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	Signature:		Date:	
(3)	Legal Name of Inventor:	Simon Lorenz		
	Signature:		Date:	
(4)	Legal Name of Inventor: Signature:	Brian Nelson		1 2016

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	Signature:		Date:
(6)	Legal Name of Inventor:	Rainer Wolafka	
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	Signature:		Date:
(4)	Legal Name of Inventor:	Brian Nelson	
	Signature:		Date:

(5)	Legal Name of Inventor: William W. Owen	
	Signature: William W. Own	Date: Jan 7, 2016
(6)	Legal Name of Inventor: Rainer Wolafka	
	Signature:	Date:

REEL: 037457 FRAME: 0049

# DECLARATION (37 C.F.R. 1.63) FOR UTILITY PATENT APPLICATION USING AN APPLICATION DATA SHEET (37 C.F.R. 1.76) AND ASSIGNMENT

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(6)	Legal Name of Inventor:	Rainer Wolafka	
	Signature: Rumer	Wolalla	Date: 01/07/2016