# 503651496 01/15/2016

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3698131

SUBMISSION TYPE:		NEW ASSIGNMENT	
NATURE OF CONVEYAN	ICE:	ASSIGNMENT	
CONVEYING PARTY DA	٩ΤΑ		
		Name	Execution Date
BENJAMIN NGO			11/15/2015
PAUL HAMMERSTRAND	)		11/03/2015
ANTHONY C. LAI			12/08/2015
BENJAMIN LI			11/23/2015
KEITH CHOI			11/23/2015
RECEIVING PARTY DA	 TA		
Name:		G GREEN MOUNTAIN, INC.	
Street Address:	33 CO	FFEE LANE	
City:	WATE	RBURY	
State/Country:	VERM	ONT	
Postal Code:	05676		
	T-4-1 -		
PROPERTY NUMBERS Property Type	Total: 1	Number	7
		29538631	-
Application Number		23330031	
Application Number:	[		
	ΔΤΔ		
Application Number: CORRESPONDENCE DA	 ATA	(617)646-8646	
CORRESPONDENCE DA Fax Number: Correspondence will be	e sent to	(617)646-8646 o the e-mail address first; if that is un	
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CORRESPONDENCE DA Fax Number: <i>Correspondence will be</i> <i>using a fax number, if p</i> Phone:	e sent to	(617)646-8646 o the e-mail address first; if that is un d; if that is unsuccessful, it will be se 617-646-8000	nt via US Mail.
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CORRESPONDENCE D Fax Number: <i>Correspondence will be</i> <i>using a fax number, if p</i> Phone: Email: Correspondent Name: Address Line 1:	e sent to	(617)646-8646 o the e-mail address first; if that is un d; if that is unsuccessful, it will be se 617-646-8000 PATENTS_ERICA@WOLFGREENFIE ERIC L. AMUNDSEN WOLF GREENFIELD & SACKS, P.C.	nt via US Mail. ELD.COM
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CORRESPONDENCE DA Fax Number: Correspondence will be using a fax number, if p Phone: Email: Correspondent Name: Address Line 1: Address Line 2: Address Line 4: ATTORNEY DOCKET NU NAME OF SUBMITTER:	e sent to provideo	(617)646-8646 o the e-mail address first; if that is un d; if that is unsuccessful, it will be se 617-646-8000 PATENTS_ERICA@WOLFGREENFIE ERIC L. AMUNDSEN WOLF GREENFIELD & SACKS, P.C. 600 ATLANTIC AVENUE BOSTON, MASSACHUSETTS 02210- K0502.70115US00 ERIC L. AMUNDSEN	nt via US Mail. ELD.COM

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#### ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, I, the undersigned Assignor, hereby:

Sell, assign and transfer to Keurig Green Mountain, Inc., a Delaware Corporation having 1. a place of business at 33 Coffee Lane; Waterbury, Vermont 05676, its successors, assigns and legal representatives, all hereinafter referred to as the Assignee, my entire right, title and interest for the United States and all foreign countries, in and to any and all inventions and designs which are disclosed in the application for United States Letters Patent filed in the United States Patent and Trademark Office on September 4, 2015 under No. 29/538,631, bearing Attorney Docket No. K0502.70115US00, and entitled BEVERAGE CARTRIDGE, and in and to the application and all corresponding provisional, non-provisional, divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent, utility models, industrial designs or similar intellectual property rights which have been or shall be filed in the United States, internationally, and in any foreign country, including but not limited to China, Japan and Korea, on any of the inventions; and in and to all original and reissued patents which have been or shall be issued in the United States or any other jurisdiction on the inventions, including the right to apply for patent rights in each foreign country and all rights to priority, including the right to claim priority for China, Japan and Korea;

2. Agree that the Assignee may apply for and receive Letters Patent and utility model and industrial design registrations for the inventions in its own name; and when requested, without charge to but at the expense of the Assignee, I agree to carry out in good faith the intent and purpose of this assignment, by executing all non-provisional, divisional, continuing, substitute, renewal, reissue, and all other patent, utility model and industrial design applications on any and all the inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to the Assignee all facts known to me relating to the inventions and the history thereof, and generally by doing everything reasonably possible which the Assignee shall consider desirable for aiding in securing and maintaining proper protection for the inventions and for vesting title to the inventions and all applications for patents and all patents on the inventions, in the Assignee;

3. Request the Director of the United States Patent and Trademark Office to issue the Letters Patent to the Assignee;

4. Authorize and request Wolf, Greenfield & Sacks, P.C. to supply any missing patent/application identification information or correct any errors in the patent/application identification provided above, whether discovered prior to or after recordation;

5. Covenant with the Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me and that full right to convey the same as herein expressed is possessed by me; and

6. Appoint Assignee, through its designee, my attorney-in-fact to execute, in my name and on my behalf, any and all documents required to effectuate this Assignment, specifically including, but not limited to, those documents specified above and any necessary corrective assignments.

This instrument is executed under seal and signed under the pains and penalties of perjury under the laws of the United States of America.

Attomey Docket No. K0502.70115US00

Page 1 of 6

4383499.1

### PATENT REEL: 037500 FRAME: 0610

11/15/15

Inventor: Benjaphi Address: 33 Coffee Lane Waterbury, Vermont 05676 Citizenship: US

STATE/COMMONWEALTH OF <u>Mass druge Hs</u> COUNTY OF <u>MiddleSex</u> On this <u>SH</u> day of <u>November</u>, <u>ZerS</u>, before me, the undersigned notary public, personally appeared <u>Benjamin Ngo</u>, proved to me through satisfactory evidence of identification, which were <u>Maggacheserts</u> <u>Hease</u> to be the person who signed the preceding or attached document in my presence and swore or affirmed

to me that the contents of the document are truthful and accurate to the best of (his) (her) knowledge and belief and acknowledged to me that (he) (she) signed it voluntarily for its stated purpose.

SEAL

Second sec

My commission expires:

Attomey Docket No. K0502.70115US00

4363499.1

Page 2 of 6

11/3/15

Inventor: Paul Hammerstrand Address: 33 Coffee Lane Waterbury, Vermont 05676 Citizenship: US

STATE/COMMONWEALTH OF <u>Massachusetts</u> COUNTY OF Middlack

day of  $\frac{M_{0.4m_{1}berr}}{Paul Hammerstrand}$ ,  $\frac{2\sigma/5}{proved to me through satisfactory evidence of the set of the s$ On this , proved to me through satisfactory evidence of personally appeared identification, which were <u>Magaactorectures //cease</u> to be the person who signed the preceding or attached document in my presence and swore or affirmed

to me that the contents of the document are truthful and accurate to the best of (his) (her) knowledge and belief and acknowledged to me that (he) (she) signed it voluntarily for its stated purpose.

SEAL

Notary Public My commission expires: 5-22-21 1.1.1.1.0.0 × 1.0.0

4353499.1

Page 3 of 6

#### ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, I, the undersigned Assignor, hereby:

1. Sell, assign and transfer to Keurig Green Mountain, Inc., a Delaware Corporation having a place of business at 33 Coffee Lane; Waterbury, Vermont 05676, its successors, assigns and legal representatives, all hereinafter referred to as the Assignee, my entire right, title and interest for the United States and all foreign countries, in and to any and all inventions and designs which are disclosed in the application for United States Letters Patent filed in the United States Patent and Trademark Office on September 4, 2015 under No. 29/538,631, bearing Attorney Docket No. K0502.70115US00, and entitled BEVERAGE CARTRIDGE, and in and to the application and all corresponding provisional, non-provisional, divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent, utility models, industrial designs or similar intellectual property rights which have been or shall be filed in the United States, internationally, and in any foreign country, including but not limited to China, Japan and Korea, on any of the inventions; and in and to all original and reissued patents which have been or shall be issued in the United States or any other jurisdiction on the inventions, including the right to apply for patent rights in each foreign country and all rights to priority, including the right to claim priority for China, Japan and Korea;

2. Agree that the Assignee may apply for and receive Letters Patent and utility model and industrial design registrations for the inventions in its own name; and when requested, without charge to but at the expense of the Assignee, I agree to carry out in good faith the intent and purpose of this assignment, by executing all non-provisional, divisional, continuing, substitute, renewal, reissue, and all other patent, utility model and industrial design applications on any and all the inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to the Assignee all facts known to me relating to the inventions and the history thereof, and generally by doing everything reasonably possible which the Assignee shall consider desirable for aiding in securing and maintaining proper protection for the inventions and for vesting title to the inventions and all applications for patents and all patents on the inventions, in the Assignee;

3. Request the Director of the United States Patent and Trademark Office to issue the Letters Patent to the Assignee;

4. Authorize and request Wolf, Greenfield & Sacks, P.C. to supply any missing patent/application identification information or correct any errors in the patent/application identification provided above, whether discovered prior to or after recordation;

5. Covenant with the Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me and that full right to convey the same as herein expressed is possessed by me; and

6. Appoint Assignee, through its designee, my attorney-in-fact to execute, in my name and on my behalf, any and all documents required to effectuate this Assignment, specifically including, but not limited to, those documents specified above and any necessary corrective assignments.

This instrument is executed under seal and signed under the pains and penalties of perjury under the laws of the United States of America.

Attomey Docket No. K0502.70115US00

Page 1 of 6

### PATENT REEL: 037500 FRAME: 0613

Date		Inventor:	Benjamin Ngo
		Address:	33 Coffee Lane
			Waterbury, Vermont 05676
		Citizenship:	US
STATE/COMMONWE	ALTH OF		
COUNTY OF			
On this	day of		, before me, the undersigned notary public,
personally appeared	÷	Benjamin Ngo	, proved to me through satisfactory evidence of
identification, which we	ere		····
to be the person who s	signed the	preceding or attached of	document in my presence and swore or affirmed
to me that the contents	s of the do	ocument are truthful and	accurate to the best of (his) (her) knowledge
and belief and acknow	ledged to	me that (he) (she) signe	ed it voluntarily for its stated purpose.
SEAL			

Notary Public

My commission expires:

4363499.1

Page 2 of 6

## PATENT REEL: 037500 FRAME: 0614

			accurate to the best of (his) (her) knowledge ad it voluntarily for its stated purpose.
			document in my presence and swore or affirmed accurate to the best of (his) (her) knowledge
identification, which we	*****************		
personally appeared	Paul I	Hammerstrand	, proved to me through satisfactory evidence of
On this	day of	i .	, before me, the undersigned notary public,
STATE/COMMONWE	ALTH OF		
		Citizenship:	US
			Waterbury, Vermont 05676
		Address:	33 Coffee Lane
Date		Inventor:	Paul Hammerstrand

SEAL

Notary Public

My commission expires:

Page 3 of 6

## PATENT REEL: 037500 FRAME: 0615

<u> 12 - 8 - 2015</u> Date

Inventor: Anthony C-Lai Address: 44 Fletcher Rd. Bedford, Massachusetts 01730

Citizenship: US

STATE/COMMONWEALTH OF Massachusett COUNTY OF meddlasz

ber , 2015, before me, the undersigned notary public, On this day of personally appeared Anthony C. Lai , proved to me through satisfactory evidence of identification, which were identification, which were <u>ma process freeting</u> to be the person who signed the preceding or attached document in my presence and swore or affirmed to me that the contents of the document are truthful and accurate to the best of (his) (her) knowledge and belief and acknowledged to me that (he) (she) signed it voluntarily for its stated purpose.

SEAL

Susan Jane Minoll Notary Public

My commission expires:

4363499.1

Page 4 of 6

PATENT REEL: 037500 FRAME: 0616

	Inventor:	Benjamin Li	•••••
	Address:	21/F, One Island South	
		2 Heung Yip Road Wong Chuk Hang	
•		Hong Kong	
		PEOPLE'S REPUBLIC OF CHINA	
	Citizenship:	People's Republic of China	
	Citizenship:		

# STATE/COMMONWEALTH OF COUNTY OF

On this	day of	. 3	, before me, the undersigned notary public,
personally appeared	Benjan	nin Li	, proved to me through satisfactory evidence of
identification, which were	3		

to be the person who signed the preceding or attached document in my presence and swore or affirmed to me that the contents of the document are truthful and accurate to the best of (his) (her) knowledge and belief and acknowledged to me that (he) (she) signed it voluntarily for its stated purpose.

SEAL

Date

Notary Public

My commission expires:

Attorney Docket No. K0502.70115US00

Page 5 of 6

## PATENT REEL: 037500 FRAME: 0617

Date		Inventor:	Keith Choi
		Address:	21/F, One Island South
			2 Heung Yip Road Wong Chuk Hang
		х х	Hong Kang
			PEOPLE'S REPUBLIC OF CHINA
		Citizenship:	People's Republic of China
	x		
STATE/COMMO	NVVEAL IH OF		
COUNTY OF			
On this	day of	·	, before me, the undersigned notary public,
personally appea	ired	Keith Choi	, proved to me through satisfactory evidence of

 personally appeared
 Keith Choi
 , proved to me through satisfactory evidence of identification, which were

 to be the person who signed the preceding or attached document in my presence and swore or affirmed

to me that the contents of the document are truthful and accurate to the best of (his) (her) knowledge and belief and acknowledged to me that (he) (she) signed it voluntarily for its stated purpose.

SEAL

Notary Public

My commission expires:

Attomey Docket No. K0502.70115US00

Page 6 of 6

#### ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, i, the undersigned Assignor, hereby:

Sell, assign and transfer to Keurig Green Mountain, Inc., a Delaware Corporation having 1. a place of business at 33 Coffee Lane; Waterbury, Vermont 05676, its successors, assigns and legal representatives, all hereinafter referred to as the Assignee, my entire right, title and interest for the United States and all foreign countries, in and to any and all inventions and designs which are disclosed in the application for United States Letters Patent filed in the United States Patent and Trademark Office on September 4, 2015 under No. 29/538,631, bearing Attorney Docket No. K0502.70115US00, and entitled BEVERAGE CARTRIDGE, and in and to the application and all corresponding provisional, non-provisional, divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent, utility models, industrial designs or similar intellectual property rights which have been or shall be filed in the United States, internationally, and in any foreign country, including but not limited to China, Japan and Korea, on any of the inventions; and in and to all original and reissued patents which have been or shall be issued in the United States or any other jurisdiction on the inventions, including the right to apply for patent rights in each foreign country and all rights to priority, including the right to claim priority for China, Japan and Korea;

2. Agree that the Assignee may apply for and receive Letters Patent and utility model and industrial design registrations for the inventions in its own name; and when requested, without charge to but at the expense of the Assignee, I agree to carry out in good faith the intent and purpose of this assignment, by executing all non-provisional, divisional, continuing, substitute, renewal, reissue, and all other patent, utility model and industrial design applications on any and all the inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to the Assignee all facts known to me relating to the inventions and the history thereof, and generally by doing everything reasonably possible which the Assignee shall consider desirable for aiding in securing and maintaining proper protection for the inventions and for vesting title to the inventions and all applications for patents and all patents on the inventions, in the Assignee;

3. Request the Director of the United States Patent and Trademark Office to issue the Letters Patent to the Assignee;

4. Authorize and request Wolf, Greenfield & Sacks, P.C. to supply any missing patent/application identification information or correct any errors in the patent/application identification provided above, whether discovered prior to or after recordation;

5. Covenant with the Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me and that full right to convey the same as herein expressed is possessed by me; and

6. Appoint Assignee, through its designee, my attorney-in-fact to execute, in my name and on my behalf, any and all documents required to effectuate this Assignment, specifically including, but not limited to, those documents specified above and any necessary corrective assignments.

This instrument is executed under seal and signed under the pains and penalties of perjury under the laws of the United States of America.

Attorney Docket No. K0502.70115US00

Page 1 of 6

PATENT REEL: 037500 FRAME: 06

Date		Inventor:	Benjamin Ngo
		Address:	33 Coffee Lane
			Waterbury, Vermont 05676
		Citizenship:	US
	TUAE		
STATE/COMMONWEAL	.in or		
COUNTY OF		;	
On this	day of	1	, before me, the undersigned notary public.
personally appeared		Benjamin Ngo	, proved to me through satisfactory evidence of
identification, which were	3		
		-	document in my presence and swore or affirmed
			l accurate to the best of (his) (her) knowledge
and belief and acknowled	dged to	me that (he) (she) signi	ed it voluntarily for its stated purpose.
SEAL			
		Notary Public	
My commission expir	es:		
	-		

Attorney Docket No. K0502.70115US00

Page 2 of 6

÷

Date		Inventor:	Paul Hammerstrand
		Address:	33 Coffee Lane
			Waterbury, Vermont 05676
		Citizenship:	US
STATE/COMMONWE/			
• • • • • • • • • • • • • • • • • • • •			
COUNTY OF			
On this	day of	,ł	, before me, the undersigned notary public,
personally appeared	Paul Hamn	nerstrand	, proved to me through satisfactory evidence of
identification, which we	 ?re		·····
to be the person who s	igned the precedir	ng or attached	document in my presence and swore or affirmed
	· · · · · · · · · · · · · · · · · · ·	- 7 (J. 1997) - 1977) - 1977)	accurate to the best of (his) (her) knowledge
			ed it voluntarily for its stated purpose.
		(	
SEAL			
	Note	ary Public	
My commission ex	pires:		

Attomey Docket No. K0502.70115US00

Page 3 of 6

•

Date		Inventor:	Anthony C. Lai
		Address:	44 Fletcher Rd.
			Bedford, Massachusetts 01730
		Citizenship:	US
STATE/COMMONWE	ALTH OF		
COUNTY OF	· · ·		
On this	day of	,	, before me, the undersigned notary public,
personally appeared	- Z	Anthony C. Lai	, proved to me through satisfactory evidence of
identification, which we	ere		
to be the person who s	signed the p	preceding or attached	document in my presence and swore or affirmed
			accurate to the best of (his) (her) knowledge
and belief and acknow	ledged to r	ne that (he) (she) sign	ed it voluntarily for its stated purpose.
SEAL			
		Notary Public	

My commission expires:

~

Attomey Docket No. K0502.70115US00

Page 4 of 6

HONG KONG SAR

Benjamin Li

23rd November 2015 Date

Inventor:

Address: Simatelex Manufactory Company Limited 21/F, One Island South 2 Heung Yip Road Wong Chuk Hang Hong Kong Citizenship: Hong Kong

STATE/COI	MM	ONWEA	LTH OF					
COUNTY O	٣	HKSAR		<u> </u>				
On this	â	3 <sup>rd</sup>	day of	November	į.	2015	, before me, the undersigned notary public	2,

personally appeared Benjamin Li , proved to me through satisfactory evidence of identification, which were his Hong Kong Identity Card No.G308835(8)

to be the person who signed the preceding or attached document in my presence and swore or affirmed to me that the contents of the document are truthful and accurate to the best of (his) (her) knowledge and belief and acknowledged to me that (he) (she) signed it voluntarily for its stated purpose.

SEAL

Notary Public BILLY W. Y. MA NOTARY PUBLIC

For life

My commission expires:



Attorney Docket No. K0502.70115US00

4363499.1

Page 5 of 6

23rd November 2015 Date

$\square$	
Choi	~~~

Inventor: Keith Chối Address: Simatelex Manufactory Company Limited 21/F, One Island South 2 Heung Yip Road Wong Chuk Hang Hong Kong Cítizenship: Hong Kong

SEAL

My commission expires:

Notary Public SILLY W. Y. MA NOTARY PUBLIC For life HONG KONG SAR



Attomey Docket No. K0502 70115US00

Page 6 of 6

4363499.1



**RECORDED: 01/15/2016**