# PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
MICHAEL CANTORE	12/09/2015
SHUJI NAKAMURA	12/17/2015
STEVEN P. DENBAARS	12/21/2015

## **RECEIVING PARTY DATA**

Name:	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA	
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State/Country:	CALIFORNIA	
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### **PROPERTY NUMBERS Total: 2**

Property Type	Number
Application Number:	62073503
Application Number:	14930201

### **CORRESPONDENCE DATA**

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Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

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ATTORNEY DOCKET NUMBER:	30794.575-US-U1
NAME OF SUBMITTER:	GERARD B. SERAPIGLIA
SIGNATURE:	/Gerard B. Serapiglia/
DATE SIGNED:	01/15/2016
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**Total Attachments: 2** 

PATENT 503652261 REEL: 037504 FRAME: 0022

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PATENT REEL: 037504 FRAME: 0023

#### **ASSIGNMENT**

U.C. Case No. 2015-204-2

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Michael Cantore

2. Shuji Nakamura

3. Steven P. DenBaars

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

HIGH INTENSITY SOLID STATE WHITE EMITTER WHICH IS LASER DRIVEN AND USES SINGLE CRYSTAL, CERAMIC OR POLYCRYSTALLINE PHOSPHORS

and which is found in::

- (a) X U.S. provisional application serial no. 62/073,503, filed October 31, 2014, and listing the above-named persons as inventors,
- (b) X U.S. utility application serial no. <u>14/930,201</u>, filed <u>November 2, 2015</u>, and listing the above-named persons as inventors,

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

<u>ASSIGNOR(S)</u> hereby agrees that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further agrees that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, post grant review, inter partes review, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof;

<u>ASSIGNOR(S)</u> also authorizes and requests, by the execution of this assignment, that an attorney of record insert into this assignment the filing date and serial number of said application when officially known;

AND <u>ASSIGNOR(S)</u> requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

9 day of December, 2015

(1) day of Decamper, 2015

2 day of delly 1/2015

Signature of ASSIGNOR(S)

Michael Cantore

Shuii Nakamura

Steven P. DenBaars