

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT3698218

SUBMISSION TYPE:	RESUBMISSION
NATURE OF CONVEYANCE:	MERGER
EFFECTIVE DATE:	07/15/2015
RESUBMIT DOCUMENT ID:	503602076

CONVEYING PARTY DATA

Name	Execution Date
CHIP STAR LTD.	07/15/2015

RECEIVING PARTY DATA

Name:	EPISTAR CORPORATION
Street Address:	5 LI HSIN 5TH RD., SCIENCE BASED INDUSTRIAL PARK
City:	HSINCHU
State/Country:	TAIWAN

PROPERTY NUMBERS Total: 12

Property Type	Number
Application Number:	14626968
Patent Number:	8962358
Patent Number:	8507328
Patent Number:	8604491
Patent Number:	8414160
Patent Number:	8609446
Patent Number:	8860056
Patent Number:	8899787
Patent Number:	8783911
Patent Number:	8754440
Patent Number:	8981397
Patent Number:	8900893

CORRESPONDENCE DATA

Fax Number: (703)621-7155

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 703-621-7140

Email: mailroom@mg-ip.com

Correspondent Name: MUNCY, GEISSLER, OLDS & LOWE, P.C.

Address Line 1: 4000 LEGATO ROAD

PATENT

Address Line 2: SUITE 310
Address Line 4: FAIRFAX, VIRGINIA 22033

ATTORNEY DOCKET NUMBER: 5610/0314M

NAME OF SUBMITTER: JOE MCKINNEY MUNCY

SIGNATURE: /Joe McKinney Muncy/

DATE SIGNED: 01/15/2016

Total Attachments: 11

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正本

檔 號：
保存年限：

科技部新竹科學工業園區管理局 函

地址：30016 新竹市新安路 2 號
聯絡人：廖敏吟
電話：03-5773311 分機 2417
傳真：
電子信箱：minyin@sipa.gov.tw



300 P2-掛號

晶元光電股份有限公司

新竹科學工業園區新竹市力行一路 1 號 E-1B

受文者：晶元光電股份有限公司代理人：資誠聯合會計師事務所王偉臣會計師

發文日期：中華民國 104 年 7 月 15 日

發文字號：竹商字第 1040020411 號

速別：

密等及解密條件或保密期限：

附件：變更登記表 1 份

主旨：貴公司申請與元芯光電股份有限公司(統一編號:28113223)合併存續、發行新股、減資及修改章程(除章程第7條涉及資本額變動暫不予登記)等變更登記一案，准予登記，請查照。

說明：

- 一、復貴公司代理人資誠聯合會計師事務所104年7月9日資稅字第15004906號申請書。
- 二、處分相對人名稱：晶元光電股份有限公司(代表人姓名：李秉傑、身分證照號碼：[REDACTED])、公司所在地：新竹科學工業園區新竹市力行五路5號。
- 三、請於本案核准日起15日內依相關稅法規定向所在地稅捐稽徵機關申辦營業變更登記。
- 四、董事持股變動乙節，准予備查。
- 五、有關修改章程第7條提高資本總額乙節，請先向本局投資組申辦增加投資額計畫變更後，於增資基準日15日內申請增資變更登記時一併予以補登記
- 六、檢附變更登記表1份。
- 七、如不服本處分者請依訴願法提起訴願，爰依該法第14條及第58條規定，自本處分書送達之次日起30日內(以實際收受訴願書之日期為準，而非投郵日)，繕具訴願書，送由本局(地址：新竹市新安路2號)向科技部提起訴願。

正本：晶元光電股份有限公司代理人：資誠聯合會計師事務所王偉臣會計師

副本：社團法人中華民國會計師公會全國聯合會、本局投資組、工商組



PATENT

REEL: 037508 FRAME: 0096

(公司印章)

(代表公司負責人印章)

股份有限公司變更登記表

變更 時間 打		變更 時間 打	
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變更預查編號								
公司統一編號	8	4	1	4	9	7	8	6
公司聯絡電話	(03)	5	6	7	8	0	0	0
僑外投資事業	<input checked="" type="checkbox"/> 是	<input type="checkbox"/> 否	公開發行	<input checked="" type="checkbox"/> 是	<input type="checkbox"/> 否	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
陸資	<input checked="" type="checkbox"/> 是	<input type="checkbox"/> 否						
原名稱	晶元光電							股份有限公司

印章請用油性印泥蓋章，並勿超出框格。

一、公司名稱(變更後)	晶元光電		股份有限公司	
二、(郵遞區號)公司所在地 (含鄉鎮市區村里)	(300)新竹科學工業園區新竹市力行五路5號			
三、代表公司負責人	李乘傑	四、每股金額(阿拉伯數字)	元	
五、資本總額(阿拉伯數字)	元			
<input checked="" type="checkbox"/> 六、實收資本總額(阿拉伯數字)	元			
七、股份總數	股	<input checked="" type="checkbox"/> 八、已發行股份總數	1. 普通股	股
			2. 特別股	0 股
九、董事人數任期	9-15人自 102 年 6 月 14 日至 105 年 6 月 18 日 (得含獨立董事不得少於2人，且不得少於董事席次五分之一)			
十、 <input type="checkbox"/> 監察人人數任期 或 <input checked="" type="checkbox"/> 審計委員會	人自 年 月 日至 年 月 日 本公司設置審計委員會由全體獨立董事組成替代監察人			
<input checked="" type="checkbox"/> 十一、公司章程修正(訂定)日期	104 年 6 月 29 日			

※變更登記日期文號

	※ 檢 驗	
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公務記載蓋章欄	
<p>其他 印鑑 經理人 董監事變更 發行新股 增減資本 營業項目 修改章程 公司所在地 公司名稱 公司登記章 工業區管理局 科技部新竹科學</p>	

- (一)申請表一式二份，於繳辦後一份存核辦單位，一份送還申請公司收執。
- (二)為配合電腦作業，請打字或電腦以黑色列印填寫清楚，數字部份請採用阿拉伯數字，並請剪折黏貼，浮貼或塗改。
- (三)※各欄如變更登記日期文號、檔號等，申請人請勿填寫。
- (四)違反公司法代作資金導致公司資本不實，公司負責人最高可處五年以下有期徒刑。
- (五)為配合郵政作業，請於所在地加填郵遞區號。
- (六)第十欄位請依公司章程內容，於「監察人人數任期」前註記■，並填寫人數任期；或於「審計委員會」前註記■，監察人之人數任期免填。

晶元光電

股份有限公司變更登記表

註:1.欄位不足請自行複製,未使用之欄位可自行刪除,若本頁不足使用,請複製全頁後自行增減欄位。
2.有、無續頁,請於頁尾勾選一項,並請勿刪除。

變更 時間 打 ✓	十二、本次股本增加明細		1. 現金		元		
	(股本若為8、9、10、11之併購者,請加填第十四欄)		資產增加		2. 現金以外財產	元	
					3. 股份交換		元
					4. 認股權憑證轉換股份		元
					5. 資本公積		元
	權益科目調整		6. 法定盈餘公積		元		
			7. 股息及紅利		元		
			8. 合併		元		
	併購		9. 分割受讓		元		
			10. 股份轉換		元		
			11. 收購		元		
	其他		12. 債權抵繳股款		元		
			13. 公司債轉換股份		元		
			14. 限制員工權利新股		元		
✓	十三、本次股本減少明細		1. 彌補虧損		元		
			2. 退還股款		元		
			3. 註銷庫藏股		元		
			4. 合併銷除股份		元		
			5. 分割減資		元		
			6. 收回特別股		元		
			7. 註銷限制員工權利新股		元		
十四、被併購公司資料明細							
併購種類		併購基準日	被併購公司				
			統一編號	公司名稱			
吸收合併	94年12月30日						
吸收合併	96年03月01日						
吸收合併	96年03月01日						
股份轉換	101年12月28日						
股份轉換	103年12月30日						
✓ 吸收合併	104年06月29日	28113223	元芯光電股份有限公司				

有續頁請打 ✓

無續頁請打

公務記載蓋章欄

晶元光電

股份有限公司變更登記表

所 營 事 業			
變更 時 打	編 號	代 碼	營 業 項 目 說 明
	1	C C 0 1 0 8 0	電子零組件製造業。(限中華民國行業標準分類 2642 發光二極體製造業、2649 其他光電材料及元件製造業及 2699 未分類其他電子零組件製造業)
	2	C 8 0 2 9 9 0	其他化學製品製造業。(限中華民國行業標準分類 1990 其他化學製品製造業及 2005 體外檢驗試劑製造業；非屬「毒性化學物質管理法」禁止製造之毒性化學物；非屬軍用之火藥引信、導火劑、雷汞；非屬經營事業用之炸藥成品、火工製品及前揭 2 項爆炸物之火藥類與炸藥類原料之製造者。)
			(一)研究、開發、製造、銷售下列產品：
			1. 磷化鋁鎵銻磊晶片及晶粒(AlGaInP Epi Wafer & Chips)
			2. 砷化鋁鎵磊晶片及晶粒(AlGaAs Epi Wafer & Chips)
			3. 氮化銻鎵磊晶片及晶粒(InGaN Epi Wafer & Chips)
			4. 假晶高電子移動率場效電晶體磊晶片(PHEMT)
			5. 異質接面雙載子電晶體磊晶片 (InP-based HBT)
			6. 磷砷化鎵 GaAsP 磊晶片及晶粒
			7. 磷化鎵 GaP 磊晶片及晶粒
			8. 氮化鋁鎵銻 AlGaInN 磊晶片及晶粒
			9. 磷砷化鎵銻 GaInAsP 磊晶片及晶粒
			10. 光電偵測元件
			11. 微波通訊用磊晶片
			12. 光纖通訊用磊晶片及晶粒
			13. 發光二極體及其模組
			14. 上述各產品之系統及應用零組件
			15. 螢光粉
			(二)兼營與本公司業務相關之進出口貿易業務

有續頁請打 ✓

無續頁請打

公務記載蓋章欄

(Translation)
Original

File number :
Retention year cycle :

CORRESPONDENCE

Science Park Administration, Ministry of Science and Technology (with seal)

Address : No. 2, Xinan Rd., Hsinchu City
30016, Taiwan, R.O.C.
Liaison : LIAO, MIN-YIN
Contact Tel. : 03-5773311, ext. 2417
Fax :
E-mail : minyin@sipa.gov.tw

300 P2-Registered mail
Epistar Corporation
E-1B, No. 1, Lixing 1st Rd., Hsinchu City, Hsinchu Science and Industrial Park

Recipient: Epistar Corporation; representative: PricewaterhouseCoopers Taiwan, CPA WANG,
WEI-CHEN

Issue Date : July 15, 2015
Document issuance reference No. : Zhu-shang-zi No. 1040020411
Classification :
Confidentiality and declassifying criterion or duration of confidentiality:
Enclosure : One copy of the change registration form

Subject: please be advised that of your company has applied to merge with CHIP STAR Ltd. (with the business registration No.: 28113223) as the surviving entity, new share issuance, capital reduction and amendment to the articles of incorporation (where except article 7 of the articles of incorporation involving change of the capitalization figure will not be registered temporarily) and related change registration filing, it has been approved for registration.

Description:

- I. The correspondence is made to reply your company representative PricewaterhouseCoopers Taiwan's zi-shui-zi No. 15004906 application on July 9, 2015.
- II. Name of the ruling recipient: Epistar Corporation (name of representative: LEE, BIING-JYE, carrying the I.D. Card No.: [REDACTED]), registered company address: No. 5, Lixing 5th Rd., Hsinchu City, Hsinchu Science and Industrial Park.
- III. Please apply with the taxation collection agency where the business is located to file for a business change registration within 15 days from the case approval date, in accordance with pertinent tax law regulations.
- IV. The matter concerning the shareholders' shareholding change is hereby approved per the voluntarily declaration.
- V. With regard to amending the article 7 of incorporation on raising the total capitalization amount, please first apply with the Bureau's investment section to file for an increase to the investment amount plan change, and also to retroactive file for the registration when applying for the capital reinvestment change registration within 15 days form the capital reinvestment baseline date.
- VI. Enclosed please find one copy of the change registration form.
- VII. When contesting the ruling, please present a statement of appeal per the Appeals Law, and as per stipulated under article 14 and article 58 of said law, the appellant is to prepare a statement of appeal within 30 days from the following day the ruling has been delivered (based on the actual date the statement of appeal is received, rather than the postal forwarding date), whereby the Bureau (at address: No. 2, Xinan Rd., Hsinchu City) is to file for an appeal with the Ministry of Science and Technology.

Original : Epistar Corporation; representative: PricewaterhouseCoopers Taiwan, CPA WANG, WEI-CHEN
Also notify : Certified Public Accountant, Republic of China; Investment Section, Industry/Commerce Section of
the Bureau

CHANGE REGISTRATION FORM

Totaling 5 Pages, Page 1

Pre-check No.	
Business Registration No.	84149786
Contact Telephone No.	(03)5678000
Overseas Investing Business	Yes
Public Offering	Yes
Mainland Capital	Yes
Company's Original Name	Epistar Corporation

(Endorsement of Company)

(Endorsement of Person-in-Charge)

Epistar Corporation	LEE, BIING-JYE
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Please use oil-based ink when affixing seal and keep seal within the borders of the box.

1.	Name of Company (after changed)	Epistar Corporation
2.	Location of Company	Hsinchu Science Park; No. 5, Lixing 5 th Rd., Hsinchu City (300), Taiwan, R.O.C.
3.	Person-in-charge	LEE, BIING-JYE
4.	Value Per Share	NT\$ [REDACTED]
5.	Total Capital	NT\$ [REDACTED]
✓	Total Paid-up Capital	NT\$ [REDACTED]
7.	Total Shares	[REDACTED] shares
✓	Total Shares Issued	1. Common Stock - [REDACTED] shares 2. Special Stock - [REDACTED] shares
9.	Number & Tenure of Director	9-15 persons From June 14, 2013 to June 13, 2016 (Including Independent Director not less than 2 people, also not less than 1/5 of Board of Directors)
10.	<input type="checkbox"/> Number & Tenure of Supervisor or <input checked="" type="checkbox"/> Audit Committee	Person(s) From [REDACTED] to [REDACTED] The Company is installed with Audit Committee and is comprised with all independent directors. The Committee is acting in lieu of Supervisors
✓	11. Date of Company Articles Established	June 29, 2015

※Change Registration Date No.: [REDACTED] ※File No.: [REDACTED]

The public affairs seal column	
Company Registration Seal of Science Park Administration, Ministry of Science and Technology	
() Name of Company	() Location of Company
() Capital Increase	(✓) Capital Reduction
() Change of Directors and Supervisors	(✓) New Share Issuance
(✓) Other (surviving entity)	() Manager
	() Endorsement
(Special seal of Company Registration Form, Science Park Administration, Ministry of Science and Technology on July 15, 2015)	

1. The application form comes in one format with duplicate, and following the approved processing, one copy is to be retained at the approval processing unit, and one copy is to be returned to the application company for retention.
2. In support of the computerized processing, please type set or computer process it clearly in black ink, and please use the Arabic numbers for the numeral number portions, and please do not fold, mend, paste or erase the application form.
3. *For the applicant, please do not enter the application fields, such as the company change registration date number, and file number.
4. When falsely declaring the capital in breach of the Corporate Law to result in entering the untrue company capitalization, the company director may receive a maximum imprisonment term of up to five years.
5. In support of the postal delivery work, please enter the postal zip code in the mailing address.
6. Please mark before "Number & Tenure of Supervisor", and fill out number and tenure; or mark before "Audit Committee", number and tenure are not necessary in the column 10 in accordance with the articles of company.

CHANGE REGISTRATION FORM OF EPSTAR CORPORATION

Note:

1. If the column spaces are not enough, please duplicate them for further uses; and also please delete the non-used column spaces. If this page is not enough for you, please duplicate the whole page and increase or decrease the column spaces.
2. Please kick one of the boxes at the end of the page whether there is a following page or not and please do not delete these boxes.

Check when modified	12. Detail of Current Increasing Share Capital (if the share capital is the merger of item 8, 9, 10, 11, please fill out the column 14)		
	Assets Increasing	1. Cash	NT\$0
		2. Property other than cash	NT\$0
		3. Share swap	NT\$0
		4. Share conversion by stock warrant voucher	NT\$0
	Equity Adjustments	5. Additional paid-in capital	NT\$0
		6. Legal reserve	NT\$0
		7. Dividend and bonus	NT\$0
	Merger and Acquisition	8. Merger	NT\$0
		9. Division	NT\$0
		10. Share exchange	NT\$0
		11. Acquisition	NT\$0
	Other	12. Creditor's rights serving as payment of shares	NT\$0
		13. Convertible corporate bonds shares	NT\$ [REDACTED]
14. Restricted Employee New Shares		NT\$0	
13. Detail of Current Decreasing Share Capital	1. Losses covered	NT\$0	
	2. Refund of shares	NT\$0	
	3. Writing off treasury stock	NT\$0	
	4. Elimination shares due to merger	NT\$0	
	5. Capital reduction due to division	NT\$0	
	6. Redeem from preferred stock	NT\$0	
	7. Writing off Restricted Employee New Shares	NT\$ [REDACTED]	
14. Details of Merged Company			
Type of Acquisition	Acquisition Date	Information Regarding the Company Being Merged	
		Business Registration No.	Name of the Company
Merger	Dec. 30, 2005	[REDACTED]	[REDACTED]
Merger	March 1, 2007	[REDACTED]	[REDACTED]
Merger	March 1, 2007	[REDACTED]	[REDACTED]
Share exchange	Dec. 28, 2012	[REDACTED]	[REDACTED]
Share exchange	Dec. 30, 2014	[REDACTED]	[REDACTED]
Merger	June 29, 2015	28113223	CHIP STAR Ltd.

Following pages: YES

Following pages: NO

The public affairs seal column

CHANGE REGISTRATION FORM OF EPSTAR CORPORATION

Check when changed	Business Items		
	No.	Code	Description of Business Items
	1	CC01080	Electronic Parts and Components Manufacturing (Limited to Standard Industrial Classification of the Republic of China: 2642 Light-Emitting Diode Manufacturing, 2649 Other Optoelectronic Materials and Components Manufacturing and 2699 Other Electronic Parts and Components Manufacturing Not Classified)
	2	C802990	Other Chemical Products Manufacturing (Limited to Standard Industrial Classification of the Republic of China: 1990 Other Chemical Products Manufacturing and 2005 In-Vitro Diagnostic Reagent Manufacturing; the products manufactured mentioned above are not toxic chemicals prohibited from manufacturing by "Toxic Chemical Material Administration Law"; not military dynamite detonator, flammable and combustible liquids and fulminate; not explosive products and pyrotechnic composition used for business and also not the manufacturer of raw materials of low and high explosives mentioned above.)
			(I) Researching, developing, manufacturing and selling the following products:
			1. AlGaInP Epi Wafer & Chips
			2. AlGaAs Epi Wafer & Chips
			3. InGaN Epi Wafer & Chips
			4. PHEMT
			5. InP-based HBT
			6. GaAsP Epi Wafer & Chips
			7. GaP Epi Wafer & Chips
			8. AlGaInN Epi Wafer & Chips
			9. GaInAsP Epi Wafer & Chips
			10. Photoelectric Sensor Device
			11. Epi Wafer Used for Microwave Transmission
			12. Epi Wafer & Chips Used for Fiber-optic Communication
			13. Light Emitting Diode Module
			14. System and components applicable of the products mentioned above
			15. Fluorescent Powder
			(II) Also operating the import and export trade business relating to the company's business

Following pages: YES

Following pages: NO

The public affairs seal column