

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT3702236

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT	
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT	
<b>CONVEYING PARTY DATA</b>		
	<b>Name</b>	<b>Execution Date</b>
	F. HOFFMANN-LA ROCHE AG	11/13/2015
<b>RECEIVING PARTY DATA</b>		
<b>Name:</b>	HOFFMANN-LA ROCHE INC.	
<b>Street Address:</b>	GREAT NOTCH, 150 CLOVE RD.	
<b>Internal Address:</b>	8TH FLOOR	
<b>City:</b>	LITTLE FALLS	
<b>State/Country:</b>	NEW JERSEY	
<b>Postal Code:</b>	07424	
<b>PROPERTY NUMBERS Total: 1</b>		
	<b>Property Type</b>	<b>Number</b>
	Application Number:	14904039
<b>CORRESPONDENCE DATA</b>		
<b>Fax Number:</b>	(212)983-3115	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
<b>Phone:</b>	212-935-3000	
<b>Email:</b>	tedis@mintz.com	
<b>Correspondent Name:</b>	MINTZ LEVIN COHN FERRIS ET AL.	
<b>Address Line 1:</b>	666 THIRD AVENUE, 24TH FLOOR	
<b>Address Line 4:</b>	NEW YORK, NEW YORK 10017	
<b>ATTORNEY DOCKET NUMBER:</b>	33364-525N01US	
<b>NAME OF SUBMITTER:</b>	BRAD M. SCHELLER	
<b>SIGNATURE:</b>	/Brad M. Scheller/	
<b>DATE SIGNED:</b>	01/20/2016	
<b>Total Attachments: 5</b>		
source=AssignmentTwo#page1.tif		
source=AssignmentTwo#page2.tif		
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source=AssignmentTwo#page4.tif		
source=AssignmentTwo#page5.tif		

**RECORDATION FORM COVER SHEET**  
**PATENTS ONLY**

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

F. Hoffmann-La Roche AG

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**2. Name and address of receiving party(ies)**

Name: Hoffmann-La Roche Inc.

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) November 13, 2015

☒ Assignment ☐ Merger ☐ Change of Name

☐ Security Agreement ☐ Joint Research Agreement

☐ Government Interest Assignment

☐ Executive Order 9424, Confirmatory License

☐ Other \_\_\_\_\_

Great Notch, 150 Clove Road, 8<sup>th</sup> Floor

City: Little Falls

State: New Jersey

Country: USA Zip: 07424

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

☒ This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

14/904,039

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Brad M. Scheller, Esq.  
MINTZ LEVIN COHN FERRIS GLOVSKY

Name: AND POPEO, P.C.

Internal Address: \_\_\_\_\_

Street Address: Chrysler Center

666 Third Avenue

City: New York

State: NY Zip: 10017

Phone Number: (212) 935-3000

Docket Number: 33364-525N01US

Email Address: IPDocketingBOS@mintz.com

**6. Total number of applications and patents involved:**

1

**7. Total fee (37 CFR 1.21(h) & 3.41)** \$ 40.00

☒ Authorized to be charged to deposit account

☐ Enclosed

☐ None required (government interest not affecting title)

**8. Payment Information**

Deposit Account Number 50-0311

Authorized User Name Brad M. Scheller, Esq.

**9. Signature:** /Brad M. Scheller/

Signature

January 20, 2016

Date

Brad M. Scheller, Esq. - 61,022

Name of Person Signing

Total number of pages including  
cover  
sheet, attachments, and documents:

5

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450



Case No. 31681

TO BE NOTARIZED

## ASSIGNMENT AGREEMENT

**F. Hoffmann-La Roche AG** ("ASSIGNOR") a corporation duly organized under the laws of Switzerland and having its principal place of business at Grenzacherstrasse 124, CH-4070 Basel, Switzerland

acknowledges the previous transfer to

**Hoffmann-La Roche Inc.** ("ASSIGNEE") a corporation of the State of New Jersey, United States of America, having its principal place of business at Great Notch, 150 Clove Road, 8<sup>th</sup> Floor, Little Falls, New Jersey 07424, United States of America

for good and valuable consideration paid to ASSIGNOR by ASSIGNEE, the receipt and sufficiency of which is hereby acknowledged, of all right, title, and interest in, to, and deriving from the invention(s) disclosed in the patent application(s) set forth below for the territory of the United States of America and its possessions by operation of law, contract, or otherwise, and any Letters Patent which may hereafter be granted on the same in the United States including any application claiming priority under 35 U.S.C. §119(e), divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, reexaminations, prolongations or extensions thereof.

As far as the right, title, and interest of ASSIGNOR in, to, and deriving from the invention(s) disclosed in the patent application(s) set forth below may not have been transferred, for good and valuable consideration paid to ASSIGNOR by ASSIGNEE, the receipt and sufficiency of which is hereby acknowledged, ASSIGNOR hereby assigns, sells and transfers to ASSIGNEE, and to ASSIGNEE'S successors and assigns, for the territory of the United States of America and its possessions all of ASSIGNOR'S right, title and interest in, to, and deriving from the invention(s) described in the patent application(s) listed below, including any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, reexaminations, prolongations or extensions thereof, or other U.S. patent applications claiming the benefit of the filing date of the following patent application(s):

Case No.	Country	Filing Date	Serial No.
31681	Europe	July 12, 2013	13176362.5

entitled

### Method for detecting binding affinities

ASSIGNEE, by virtue of this Assignment, shall have the right to file and own patent applications that claim priority to and are based in whole or in part on the patent application(s) cited above with the United States Patent and Trademark Office and to own Letters Patents that issue thereon. Accordingly, ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any United States Letters Patent which may issue for such patent applications, including any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, reexaminations, prolongations or extensions thereof, to ASSIGNEE, its successors and assigns.

ASSIGNOR does hereby covenant and agree with ASSIGNEE, its successors and assigns, that ASSIGNOR will not execute any writing or do any act conflicting with this assignment, and ASSIGNOR further agrees that he will, without charge to said ASSIGNEE, but at ASSIGNEE'S expense, cooperate with ASSIGNEE in the prosecution of said Letters Patent and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as ASSIGNEE lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said ASSIGNEE, or ASSIGNEE'S successors and assigns.

Case No. 31681

TO BE NOTARIZED

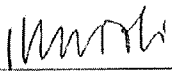
This agreement shall be governed and construed in accordance with substantive laws of Switzerland, with the exclusion of the Vienna Convention on the International Sale of Goods dated April 11, 1980. The competent courts of Basel City, Switzerland shall have exclusive jurisdiction.

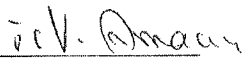
**ASSIGNOR**

**F. Hoffmann – La Roche AG**

Basel, Switzerland, November 13, 2015

Place, Date


  
Antonio Natoli  
Holder of procuration

  
Susanne Amann  
Mandatory

**ASSIGNEE**

**Hoffmann-La Roche Inc.**

**Newark, NJ** *NOV. 24, 2015*  
Place, Date

  
Signatures  
**George M. Gould**  
**Authorized Signatory**

## Legalization

The undersigned Notary Public of the Canton of Basel-Stadt (Switzerland), Yves Cron, herewith legalizes the overleaf signature of

**F. Hoffmann-La Roche AG**, a stock company with legal domicile in Basel (Switzerland), rendered by

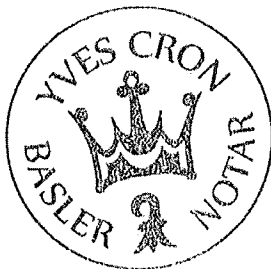
Mister Antonio Natoli, citizen of and residing in Basel (Switzerland), and

Miss Susanne Amann, German citizen, residing in Heitersheim (Germany),

both duly entitled to sign documents wherein property of the company is alienated.

Signers and signatures personally known.

**B a s e l** (Switzerland), this 16<sup>th</sup> (sixteenth) day of November 2015 (two thousand and fifteen)



Y. Cron, notary

Notary

BR 296/2015  
Notary Public of the Canton of Basel-Stadt (Switzerland)

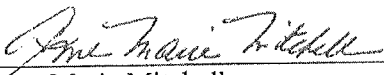
CERTIFICATE OF ACKNOWLEDGMENT

State of New Jersey   )  
                                  ) ss  
County of Essex         )

On November 24, 2015 before me, Anne Marie Mitchell, Notary Public in and for said county, personally appeared George M. Gould, Authorized Signatory for Hoffmann La-Roche Inc., who has identified himself as the signer to the attached document described as: Assignment Agreement for Case No. P31681-US.

I certify under penalty of perjury under the laws of the State of New Jersey that the foregoing paragraph is true and correct.

WITNESS by hand and official seal

  
Anne Marie Mitchell  
Notary Public of New Jersey  
ID #: 2417884  
My Commission Expires: Feb. 28, 2017