## 503656341 01/20/2016

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3702975

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

## **CONVEYING PARTY DATA**

Name	Execution Date
TSUNG-LIANG CHEN	01/13/2016
JOHN HAUTALA	01/19/2016
SHURONG LIANG	01/13/2016
JOSEPH OLSON	01/14/2016

## **RECEIVING PARTY DATA**

Name:	VARIAN SEMICONDUCTOR EQUIPMENT ASSOCIATES, INC.
Street Address:	35 DORY ROAD
City:	GLOUCESTER
State/Country:	MASSACHUSETTS
Postal Code:	01930

## **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	14976675

## **CORRESPONDENCE DATA**

**Fax Number:** (919)999-2798

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 6092704992

**Email:** cseaton@kdbfirm.com

Correspondent Name: KACVINSKY DAISAK BLUNI PLLC

Address Line 1: 3120 PRINCETON PIKE

Address Line 2: SUITE 500

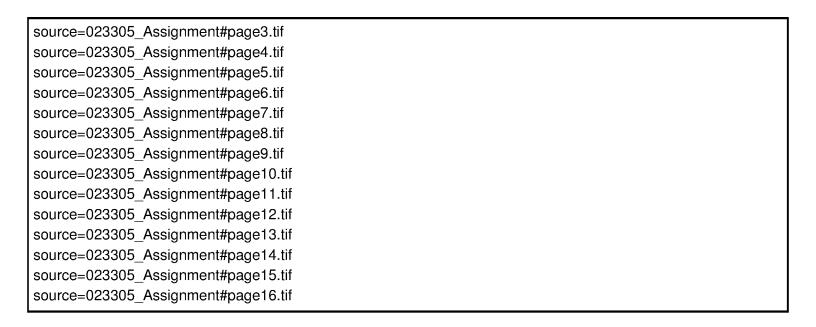
Address Line 4: LAWRENCEVILLE, NEW JERSEY 08648

ATTORNEY DOCKET NUMBER:	023305
NAME OF SUBMITTER:	DANIEL N. DAISAK
SIGNATURE:	/DANIEL N. DAISAK/
DATE SIGNED:	01/20/2016

**Total Attachments: 16** 

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PATENT 503656341 REEL: 037532 FRAME: 0896



WHEREAS, the undersigned, to wit:

(Y only one)

Tsung-Liang Chen
John Hautala
Shurong Liang
Joseph Olson

(Hereinafter collectively ASSIGNOR), have/has made a certain new and useful invention as set forth in an application for United States Letters Patent, entitled:

# TECHNIQUES FOR CONTROLLING ION/NEUTRAL RATIO OF A PLASMA SOURCE

(sr om	y Ottoy.
(A)	which was executed concurrently herewith and is being filed herewith in the United States
	Patent and Trademark Office;
(B)	which was executed on, 20, and is being filed herewith in the United States
	Patent and Trademark Office;
(C)	which was filed as US Patent Application No. 14/976,675, filed on December 21, 2015

AND WHEREAS, Varian Semiconductor Equipment Associates, Inc., (hereinafter ASSIGNEE), a corporation organized and existing under the laws of the state of Delaware, and having its principal place of business at 35 Dory Road, Gloucester, Massachusetts, 01930, is desirous of acquiring the entire right, title, and interest in and to said invention and any and all patents that may be obtained therefore, and in and to said application and any and all patents that may issue therefrom;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, ASSIGNOR does hereby sell, assign, transfer, and set over unto said ASSIGNEE, its successors, assigns, and legal representatives, all right, title, and interest in and to said invention throughout the world, and any and all patents of the United States and foreign countries that may be obtained therefor, and in and to said application, including any and all continuations, continuations-in-part, divisionals, reissues, re-examinations, substitutions, and extensions thereof, and any and all patents of the United States and foreign countries that may issue therefrom, such right, title, and interest including the right to file applications and obtain patents, utility models, industrial models and designs for said invention in the name of said ASSIGNEE, its successors, assigns, and legal representatives throughout the world, including all rights of priority, all rights to publish cautionary notices reserving ownership of said invention, and all rights to register said invention in appropriate registries;

ASSIGNOR also does hereby covenant that it has the full right to convey the entire right, title, and interest herein assigned, and that it has not and will not execute any agreement in conflict herewith;

9999

ASSIGNOR also does hereby agree to, at any time, upon request, without further or additional consideration, but at the expense of said ASSIGNEE, its successors, assigns, or legal representatives, execute and deliver any and all papers, make all rightful oaths, and do all lawful acts that may be necessary or desirable to perfect the entire right, title, and interest here assigned in said ASSIGNEE, its successors, assigns, and legal representatives, and generally do everything possible to vest the entire right, title and interest herein assigned in said ASSIGNEE, its successors, assigns, and legal representatives;

ASSIGNOR also does hereby agree to, at any time, upon request, without further or additional consideration, but at the expense of said ASSIGNEE, its successors, assigns, or legal representatives, execute and deliver any and all papers, make all rightful oaths, and do all lawful acts that may be necessary or desirable to make application for and obtain any and all continuations, continuations-in-part, divisions, reissues, re-examinations, substitutions, and extensions of said application, or any application on said invention, or any patent issuing therefor or therefrom;

ASSIGNOR also does hereby agree to, at any time, upon request, without further or additional consideration but at the expense of said ASSIGNEE, its successors, assigns, and legal representatives, communicate to said ASSIGNEE, its successors, assigns, and legal representatives, any known facts relating to said invention or said application or any patent issuing therefor or therefrom, and testify as to the same in any interference or other litigation proceeding when requested to do so, and aid said ASSIGNEE, its successors, assigns, and legal representatives, in obtaining and enforcing property protection for said invention in all countries;

ASSIGNOR also does hereby grant the Legal Department of Varian Semiconductor Equipment Associates, Inc., at the address shown above, the power to insert on this assignment any further information, including, but not limited to application number(s) and filing date(s), which may be necessary or desirable in order to comply with any rule or request of the United States Patent and Trademark Office for the purposes of recording this document.

ASSIGNOR also does now hereby execute this document on the date(s) indicated below:

IN WITNESS WHEREOF, I have hereunto set my hand and seal this <u>/3</u> day of <u>January</u> . 2016.
Name: Tsung-Liang CHEN
COMMONWEALTH/STATE OF MASSACHUSETTS COUNTY OF ESSEX
Before me, a Notary Public did personally appear the above-named Tsung-Liang CHEN, personally known to me and/or proved to me on the basis of satisfactory evidence to be the person who signed and sealed the foregoing instrument and acknowledged the same to be his or her own free act and deed, this 122 day of 120 120 140 150 160.
My Commission Expires:  Notary Public  Notary Public
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IN WITNESS WHEREOF, I have hereunto set my hand and seal this day of, 2016.
Name: John Hautala
COMMONWEALTH/STATE OF MASSACHUSETTS COUNTY OF ESSEX
Before me, a Notary Public did personally appear the above-named John Hautala, personally known to me and/or proved to me on the basis of satisfactory evidence to be the person who signed and sealed the foregoing instrument and acknowledged the same to be his or her own free act and deed, this day of, 2016.
My Commission Expires: Notary Public

IN WITNESS WHEREOF, I have hereunto set my hand and seal this day of, 2016.
Name: Shurong Liang
COMMONWEALTH/STATE OF MASSACHUSETTS COUNTY OF ESSEX
Before me, a Notary Public did personally appear the above-named Shurong Liang, personally known to me and/or proved to me on the basis of satisfactory evidence to be the person who signed and sealed the foregoing instrument and acknowledged the same to be his or her own free act and deed, this day of, 2016.
My Commission Expires: Notary Public
IN WITNESS WHEREOF, I have hereunto set my hand and seal this day of, 2016.
Name: Joseph Olson
COMMONWEALTH/STATE OF MASSACHUSETTS COUNTY OF ESSEX
Before me, a Notary Public did personally appear the above-named Joseph Olson, personally known to me and/or proved to me on the basis of satisfactory evidence to be the person who signed and sealed the foregoing instrument and acknowledged the same to be his or her own free act and deed, this day of, 2016.
My Commission Expires: Notary Public

WHEREAS, the undersigned, to wit:

(X only one):

Tsung-Liang Chen John Hautala Shurong Liang Joseph Olson

(Hereinafter collectively ASSIGNOR), have/has made a certain new and useful invention as set forth in an application for United States Letters Patent, entitled:

# TECHNIQUES FOR CONTROLLING ION/NEUTRAL RATIO OF A PLASMA SOURCE

(A)	which was executed concurrently herewith and is being filed herewith in the United States
	Patent and Trademark Office;
(B)	which was executed on, 20, and is being filed herewith in the United States
	Patent and Trademark Office;
(C)	which was filed as US Patent Application No. 14/976.675, filed on December 21, 2015

AND WHEREAS, Varian Semiconductor Equipment Associates, Inc., (hereinafter ASSIGNEE), a corporation organized and existing under the laws of the state of Delaware, and having its principal place of business at 35 Dory Road, Gloucester, Massachusetts, 01930, is desirous of acquiring the entire right, title, and interest in and to said invention and any and all patents that may be obtained therefore, and in and to said application and any and all patents that may issue therefrom;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, ASSIGNOR does hereby sell, assign, transfer, and set over unto said ASSIGNEE, its successors, assigns, and legal representatives, all right, title, and interest in and to said invention throughout the world, and any and all patents of the United States and foreign countries that may be obtained therefor, and in and to said application, including any and all continuations, continuations-in-part, divisionals, reissues, re-examinations, substitutions, and extensions thereof, and any and all patents of the United States and foreign countries that may issue therefrom, such right, title, and interest including the right to file applications and obtain patents, utility models, industrial models and designs for said invention in the name of said ASSIGNEE, its successors, assigns, and legal representatives throughout the world, including all rights of priority, all rights to publish cautionary notices reserving ownership of said invention, and all rights to register said invention in appropriate registries;

ASSIGNOR also does hereby covenant that it has the full right to convey the entire right, title, and interest herein assigned, and that it has not and will not execute any agreement in conflict herewith;

ASSIGNOR also does hereby agree to, at any time, upon request, without further or additional consideration, but at the expense of said ASSIGNEE, its successors, assigns, or legal representatives, execute and deliver any and all papers, make all rightful oaths, and do all lawful acts that may be necessary or desirable to perfect the entire right, title, and interest here assigned in said ASSIGNEE, its successors, assigns, and legal representatives, and generally do everything possible to vest the entire right, title and interest herein assigned in said ASSIGNEE, its successors, assigns, and legal representatives;

ASSIGNOR also does hereby agree to, at any time, upon request, without further or additional consideration, but at the expense of said ASSIGNEE, its successors, assigns, or legal representatives, execute and deliver any and all papers, make all rightful oaths, and do all lawful acts that may be necessary or desirable to make application for and obtain any and all continuations, continuations-in-part, divisions, reissues, re-examinations, substitutions, and extensions of said application, or any application on said invention, or any patent issuing therefor or therefrom;

ASSIGNOR also does hereby agree to, at any time, upon request, without further or additional consideration but at the expense of said ASSIGNEE, its successors, assigns, and legal representatives, communicate to said ASSIGNEE, its successors, assigns, and legal representatives, any known facts relating to said invention or said application or any patent issuing therefor or therefrom, and testify as to the same in any interference or other litigation proceeding when requested to do so, and aid said ASSIGNEE, its successors, assigns, and legal representatives, in obtaining and enforcing property protection for said invention in all countries;

ASSIGNOR also does hereby grant the Legal Department of Varian Semiconductor Equipment Associates, Inc., at the address shown above, the power to insert on this assignment any further information, including, but not limited to application number(s) and filing date(s), which may be necessary or desirable in order to comply with any rule or request of the United States Patent and Trademark Office for the purposes of recording this document.

ASSIGNOR also does now hereby execute this document on the date(s) indicated below:

IN WITNESS WHEREOF, I have hereunto set my hand and seal this day of, 2016.
Name: Tsung-Liang CHEN
COMMONWEALTH/STATE OF MASSACHUSETTS COUNTY OF ESSEX
Before me, a Notary Public did personally appear the above-named Tsung-Liang CHEN, personally known to me and/or proved to me on the basis of satisfactory evidence to be the person who signed and sealed the foregoing instrument and acknowledged the same to be his or her own free act and deed, this day of, 2016.
My Commission Expires: Notary Public
IN WITNESS WHEREOF, I have hereunto set my hand and seal this 12th day of JQuesty 2016.  Name John Hautala
COMMONWEALTH/STATE OF MASSACHUSETTS COUNTY OF ESSEX
Before me, a Notary Public did personally appear the above-named John Hautala, personally known to me and/or proved to me on the basis of satisfactory evidence to be the person who signed and sealed the foregoing instrument and acknowledged the same to be his or her own free act and deed, this 19 day of 100000000000000000000000000000000000
<u>Λους</u> Σ. Ου-Ης My Commission Expires:
VALERIE E. ALTMAN  NOIST PUBLIC COMMONWEALTH OF MASSACHUSETTS  My Commission Expires  January 11, 2019

IN WITNESS WHEREOF, I have hereunto set my hand and seal this day of, 2016.
Name: Shurong Liang
COMMONWEALTH/STATE OF MASSACHUSETTS COUNTY OF ESSEX
Before me, a Notary Public did personally appear the above-named Shurong Liang, personally known to me and/or proved to me on the basis of satisfactory evidence to be the person who signed and sealed the foregoing instrument and acknowledged the same to be his or her own free act and deed, this day of, 2016.
My Commission Expires:
Notary Public
IN WITNESS WHEREOF, I have hereunto set my hand and seal this day of
Name: Joseph Olson
COMMONWEALTH/STATE OF MASSACHUSETTS COUNTY OF ESSEX
Before me, a Notary Public did personally appear the above-named Joseph Olson, personally known to me and/or proved to me on the basis of satisfactory evidence to be the person who signed and sealed the foregoing instrument and acknowledged the same to be his or her own free act and deed, this day of, 2016.
My Commission Expires: Notary Public

WHEREAS, the undersigned, to wit:

(X only one).

Tsung-Liang Chen John Hautala Shurong Liang Joseph Olson

(Hereinafter collectively ASSIGNOR), have/has made a certain new and useful invention as set forth in an application for United States Letters Patent, entitled:

# TECHNIQUES FOR CONTROLLING ION/NEUTRAL RATIO OF A PLASMA SOURCE

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(A)	which was executed concurrently herewith and is being filed herewith in the United States
	Patent and Trademark Office;
(B)	which was executed on, 20, and is being filed herewith in the United States
	Patent and Trademark Office;
(C)	which was filed as US Patent Application No. 14/976,675, filed on December 21, 2015

AND WHEREAS, Varian Semiconductor Equipment Associates, Inc., (hereinafter ASSIGNEE), a corporation organized and existing under the laws of the state of Delaware, and having its principal place of business at 35 Dory Road, Gloucester, Massachusetts, 01930, is desirous of acquiring the entire right, title, and interest in and to said invention and any and all patents that may be obtained therefore, and in and to said application and any and all patents that may issue therefrom;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, ASSIGNOR does hereby sell, assign, transfer, and set over unto said ASSIGNEE, its successors, assigns, and legal representatives, all right, title, and interest in and to said invention throughout the world, and any and all patents of the United States and foreign countries that may be obtained therefor, and in and to said application, including any and all continuations, continuations-in-part, divisionals, reissues, re-examinations, substitutions, and extensions thereof, and any and all patents of the United States and foreign countries that may issue therefrom, such right, title, and interest including the right to file applications and obtain patents, utility models, industrial models and designs for said invention in the name of said ASSIGNEE, its successors, assigns, and legal representatives throughout the world, including all rights of priority, all rights to publish cautionary notices reserving ownership of said invention, and all rights to register said invention in appropriate registries;

ASSIGNOR also does hereby covenant that it has the full right to convey the entire right, title, and interest herein assigned, and that it has not and will not execute any agreement in conflict herewith;

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ASSIGNOR also does hereby agree to, at any time, upon request, without further or additional consideration, but at the expense of said ASSIGNEE, its successors, assigns, or legal representatives, execute and deliver any and all papers, make all rightful oaths, and do all lawful acts that may be necessary or desirable to perfect the entire right, title, and interest here assigned in said ASSIGNEE, its successors, assigns, and legal representatives, and generally do everything possible to vest the entire right, title and interest herein assigned in said ASSIGNEE, its successors, assigns, and legal representatives;

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ASSIGNOR also does now hereby execute this document on the date(s) indicated below:

IN WITNESS WHEREOF, I have hereunto set my hand and seal this day of, 2016.
Name: Tsung-Liang CHEN
COMMONWEALTH/STATE OF MASSACHUSETTS COUNTY OF ESSEX
Before me, a Notary Public did personally appear the above-named Tsung-Liang CHEN, personally known to me and/or proved to me on the basis of satisfactory evidence to be the person who signed and sealed the foregoing instrument and acknowledged the same to be his or her own free act and deed, this day of, 2016.
My Commission Expires:
Notary Public
IN WITNESS WHEREOF, I have hereunto set my hand and seal this day of
Name: John Hautala
COMMONWEALTH/STATE OF MASSACHUSETTS COUNTY OF ESSEX
Before me, a Notary Public did personally appear the above-named John Hautala, personally known to me and/or proved to me on the basis of satisfactory evidence to be the person who signed and sealed the foregoing instrument and acknowledged the same to be his or her own free act and deed, this day of, 2016.
My Commission Expires:
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IN WITNESS WHEREOF, I have hereunto set my hand and seal this day of, 2016.
Name: Shurong Liang
ranc. Simong Liang
COMMONWEALTH/STATE OF MASSACHUSETTS COUNTY OF ESSEX
Before me, a Notary Public did personally appear the above-named Shurong Liang, personally known to me and/or proved to me on the basis of satisfactory evidence to be the person who signed and sealed the foregoing instrument and acknowledged the same to be his or her own free act and deed, this day of, 2016.
My Commission Expires:
Notary Public my Commission Expires.
IN WITNESS WHEREOF, I have hereunto set my hand and seal this <u>月</u> day of <u>) メメレルシフ</u> . 2016.
Name Joseph Olson
COMMONWEALTH/STATE OF MASSACHUSETTS
COUNTY OF ESSEX
Before me, a Notary Public did personally appear the above-named Joseph Olson, personally known to me and/or proved to me on the basis of satisfactory evidence to be the person who signed and sealed the foregoing instrument and acknowledged the same to be his or her own free act and deed, this 14 day of 1000 and 2000
My Commission Expires: Notary Public
VALERIE E. ALTMAN  Notary Public  Notary Public
A SAY COMMISSION EXCHANGE

WHEREAS, the undersigned, to wit:

(V antu ana)

Tsung-Liang Chen John Hautala Shurong Liang Joseph Olson

(Hereinafter collectively ASSIGNOR), have/has made a certain new and useful invention as set forth in an application for United States Letters Patent, entitled:

# TECHNIQUES FOR CONTROLLING ION/NEUTRAL RATIO OF A PLASMA SOURCE

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(A)	which was executed concurrently herewith and is being filed herewith in the United States
	Patent and Trademark Office;
(B)	which was executed on, 20, and is being filed herewith in the United States
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(C)	which was filed as US Patent Application No. 14/976,675, filed on December 21, 2015

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NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, ASSIGNOR does hereby sell, assign, transfer, and set over unto said ASSIGNEE, its successors, assigns, and legal representatives, all right, title, and interest in and to said invention throughout the world, and any and all patents of the United States and foreign countries that may be obtained therefor, and in and to said application, including any and all continuations, continuations-in-part, divisionals, reissues, re-examinations, substitutions, and extensions thereof, and any and all patents of the United States and foreign countries that may issue therefrom, such right, title, and interest including the right to file applications and obtain patents, utility models, industrial models and designs for said invention in the name of said ASSIGNEE, its successors, assigns, and legal representatives throughout the world, including all rights of priority, all rights to publish cautionary notices reserving ownership of said invention, and all rights to register said invention in appropriate registries;

ASSIGNOR also does hereby covenant that it has the full right to convey the entire right, title, and interest herein assigned, and that it has not and will not execute any agreement in conflict herewith;

\*

ASSIGNOR also does hereby agree to, at any time, upon request, without further or additional consideration, but at the expense of said ASSIGNEE, its successors, assigns, or legal representatives, execute and deliver any and all papers, make all rightful oaths, and do all lawful acts that may be necessary or desirable to perfect the entire right, title, and interest here assigned in said ASSIGNEE, its successors, assigns, and legal representatives, and generally do everything possible to vest the entire right, title and interest herein assigned in said ASSIGNEE, its successors, assigns, and legal representatives;

ASSIGNOR also does hereby agree to, at any time, upon request, without further or additional consideration, but at the expense of said ASSIGNEE, its successors, assigns, or legal representatives, execute and deliver any and all papers, make all rightful oaths, and do all lawful acts that may be necessary or desirable to make application for and obtain any and all continuations, continuations-in-part, divisions, reissues, re-examinations, substitutions, and extensions of said application, or any application on said invention, or any patent issuing therefor or therefrom;

ASSIGNOR also does hereby agree to, at any time, upon request, without further or additional consideration but at the expense of said ASSIGNEE, its successors, assigns, and legal representatives, communicate to said ASSIGNEE, its successors, assigns, and legal representatives, any known facts relating to said invention or said application or any patent issuing therefor or therefrom, and testify as to the same in any interference or other litigation proceeding when requested to do so, and aid said ASSIGNEE, its successors, assigns, and legal representatives, in obtaining and enforcing property protection for said invention in all countries;

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ASSIGNOR also does now hereby execute this document on the date(s) indicated below:

IN WITNESS WHEREOF, I have hereunto set my hand and seal this day of
Name: Tsung-Liang CHEN
COMMONWEALTH/STATE OF MASSACHUSETTS COUNTY OF ESSEX
Before me, a Notary Public did personally appear the above-named Tsung-Liang CHEN, personally known to me and/or proved to me on the basis of satisfactory evidence to be the person who signed and sealed the foregoing instrument and acknowledged the same to be his or her own free act and deed, this day of, 2016.
My Commission Expires: Notary Public
IN WITNESS WHEREOF, I have hereunto set my hand and seal thisday of,
2016.
Name: John Hautala
COMMONWEALTH/STATE OF MASSACHUSETTS COUNTY OF ESSEX
Before me, a Notary Public did personally appear the above-named John Hautala, personally known to me and/or proved to me on the basis of satisfactory evidence to be the person who signed and sealed the foregoing instrument and acknowledged the same to be his or her own free act and deed, this day of, 2016.
My Commission Expires: Notary Public

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 13 day of January 2016.  Name: Shurong Liang
COMMONWEALTH/STATE OF MASSACHUSETTS COUNTY OF ESSEX
Before me, a Notary Public did personally appear the above-named Shurong Liang, personally known to me and/or proved to me on the basis of satisfactory evidence to be the person who signed and sealed the foregoing instrument and acknowledged the same to be his or her own free act and deed, this \( \frac{1}{2}\text{0}\text{day of } \) \( \frac{1}{2}\text{0}\text{day of } \).
Notary Public  My Commission Expired VALERIE E. ALTMAN Notary Public  My Commission Expires My Commission Expires
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IN WITNESS WHEREOF, I have hereunto set my hand and seal this day of, 2016.
Name: Joseph Olson
COMMONWEALTH/STATE OF MASSACHUSETTS COUNTY OF ESSEX
Before me, a Notary Public did personally appear the above-named Joseph Olson, personally known to me and/or proved to me on the basis of satisfactory evidence to be the person who signed and sealed the foregoing instrument and acknowledged the same to be his or her own free act and deed, this day of, 2016.
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