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A. Patent Application No.(s)

B. Patent No.(s)

13/682,240

Additional numbers attached? ☐ Yes ☒ No**5. Name and address to whom correspondence concerning document should be mailed:**

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**6. Total number of applications and patents involved:** 1**7. Total fee (37 CFR 1.21(h) & 3.41) \$**

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Invention Title: Development and optimization of substrate pretreatment method for reduction/elimination of basal plane dislocation and in-grown stacking fault with no surface degradation for high quality SiC epitaxial films

Inventor(s): T. S. Sudarshan, Haizheng Song

U.S. Filing/Issue Date: 11/20/12

Patent or Application Serial No.: 13/082,240

Grant/Contract Number(s): N00014-10-1-0530

Foreign Applications filed/intended in (countries): \_\_\_\_\_

The invention identified above is a Subject Invention under **35 U.S.C. 200, et seq.**, and the Standard Patent Rights clause at **37 CFR 401.14, FAR 52.227-11** or **FAR 52.227-12** (if applicable) which are included among the terms of the above identified grant or contract award from the United State Government. This document is confirmatory of:

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Signed this 4th day of December, 20 12.

By Patricia Spotts  
(Institutional Business Official)

Patricia Spotts  
(Signature)

Title Assoc. Director, Patent Management

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