

## PATENT ASSIGNMENT COVER SHEET

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<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	TRANSFER BY OPERATION OF LAW
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
TERRY FLINT	07/13/2011
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	NEXXUS LIGHTING, INC.
<b>Street Address:</b>	124 FLOYD SMITH DRIVE
<b>Internal Address:</b>	SUITE 300
<b>City:</b>	CHARLOTTE
<b>State/Country:</b>	NORTH CAROLINA
<b>Postal Code:</b>	28262
<b>PROPERTY NUMBERS Total: 3</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	61363903
<b>PCT Number:</b>	US2011043836
<b>Patent Number:</b>	8726505
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<b>NAME OF SUBMITTER:</b>	CYNTHIA BRANCA
<b>SIGNATURE:</b>	/cynthia branca/
<b>DATE SIGNED:</b>	01/26/2016
<b>Total Attachments: 4</b>	
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**TRANSFER OF PATENT RIGHTS  
BY OPERATION OF LAW  
MINNESOTA COMMON LAW**

Notwithstanding anything in any rule of law, where an invention is made by an employee hired to invent, the employer obtains by common law, a "shop right" in the invention if - (a) it was made in the course of the normal engineering duties of the employee and the circumstances were such that an invention might reasonably be expected to result from the carrying out of his duties; or (b) the invention was made in the course of the duties of the employee and, at the time of making the invention, because of the nature of his duties and the particular responsibilities arising from the nature of his duties he had a special obligation to further the interests of the employer's undertaking.

Whereas the following inventor(s):

**Terry Flint**, of 22684 246<sup>th</sup> Avenue, Richmond, MN 56368;

was an/were employee(s) of:

**Nexus Lighting, Inc.**, a Delaware Corporation having its principal place of business at 124 Floyd Smith Drive, Suite 300, Charlotte, NC 28262,

at the time of creation and reduction to practice of a certain invention entitled;

**IMPROVED HEAT SINKING METHODS FOR PERFORMANCE AND SCALABILITY**

and for which an application for U.S. Letters Patent was filed 13 July 2011 as PCT Patent Application No. PCT/US2011/043836;

be it known, that by operation of Minnesota common law, effective as of the first patent filing date hereinabove, a full and exclusive shop right to said invention and said application and to any and all inventions described in said application belongs to and is/was transferred by operation of law to:

**Nexus Lighting, Inc.**, a Delaware Corporation having its principal place of business at 124 Floyd Smith Drive, Suite 300, Charlotte, NC 28262,

Attached as Exhibits are the following documents:

1. PCT Application Cover Page
2. Proof of Employment
3. Nexus Lighting, Inc. Exit Interview form

by:                   /stephen holmes/                    
Stephen Holmes  
Reg. No. 34621

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61/363,903 13 July 2010 (13.07.2010) US
- (71) Applicant (for all designated States except US):  
**NEXXUS LIGHTING, INC** [US/US]; 124 Floyd Smith Drive, Suite 300, Charlotte, NC 28262 (US).
- (72) Inventor; and
- (75) Inventor/Applicant (for US only): **FLINT, Terry, J.** [US/US]; 22684 246th Avenue, Richmond, MN 56368 (US).
- (74) Agent: **HILTEN, John, S.**; Baker & Hostetler LLP, 1050 Connecticut Avenue, N.W., Suite 1100, Washington, D.C. 20036 (US).

(81) Designated States (unless otherwise indicated, for every kind of national protection available): AF, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PE, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NF, SN, TD, TG).

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(54) Title: IMPROVED HEAT SINKING METHODS FOR PERFORMANCE AND SCALABILITY

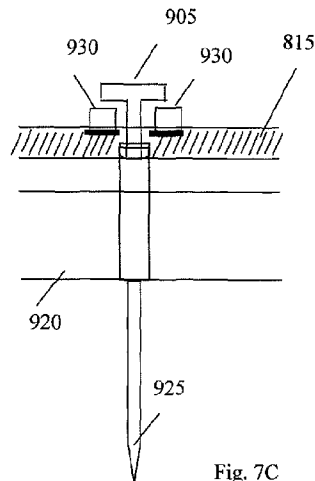


Fig. 7C

(57) Abstract: A technique and apparatus for heat dissipation in electrical devices is described. A bulk body may be configured with a plurality of radiating devices so that the bulk body may be divided into smaller bulk bodies to be used in conjunction with other electrical type assemblies to quickly and efficiently provide for a heat dissipation sub-assembly. In one aspect, the bulk bodies may be configured with internal voids such as a duct or tunnel interconnecting at least one input port and at least one output port for aiding in heat dissipation of an electrical device employing bulk body technique.

WO 2012/009424 A2

ADP

The Essential Guide to No... Login Time Clock G2 solutions KY Kentucky Department of R... ODP1 Online ODPS Online Office of D...

## Employment Profile

**Flint Terry**  
 User: **FLINTTERRY** | ADMIN POSITIONS  
 Email: **FLINTTERRY@KDOT.KY.GOV** | CONTACT INFO

Ter ID: 0778 | Process ID: 1000000000 | Date Last: 03/03/2012 | Status: **Terminated**

Employee Search: [SEARCH] | 171 of 561

ADP Information | [EMAIL] | [PHONE] | [FAX] | [ADDRESS]

11/6/2015

Position: This employee has more than one position

Position		Status		Regular Pay	
Employment	Management	Termination	Employment	Regular Pay	Regular Pay
<b>Position Start Date</b> 8/6/2008 <b>Job Position</b> Pat Counselor <b>Job Change Reason</b> No Change <b>Job Code</b> GKZ <b>FLSA</b> No	<b>Management Position</b> No <b>Pay Grade</b> GK210043 <b>Compensation Code</b> GKZ <b>Pay Rate</b> 101943	<b>Termination Date</b> 3/30/2012 <b>Termination Reason Code</b> No <b>Termination Reason</b> No Reason <b>Comments</b> 	<b>Employment Status</b> Termed <b>Termination Date</b> 3/30/2012 <b>Employment Status</b> No <b>Last Day Worked</b> 	<b>Hourly Salary</b> \$90,458.87 <b>Regular Rate Factor</b> 1.5 * 1.0 <b>Time in Status</b> No <b>Current Position Prio</b> No <b>Time in Status</b> 	<b>Rate 2 Above Rate</b> <b>Standard Pay</b> 80.00 <b>Current Payment</b> MERIT

https://www.adp.com/portal/employee.jsp 5/100%

**NEXXUS LIGHTING INC.  
EXIT CHECKLIST**

**EMPLOYEE NAME:** Terry Flint **EXIT DATE:** 3/30/2012 **LOCATION:** Maple Grove

1. I have returned the following to Nexxus Lighting:

Building Keys		Amounts Due & Payable to Accounts Payable	
Building Access Card(s)		Building Security Codes	
Laptop Computer		Corporate Credit Card(s)	
File and Desk Keys		Business Cards	
Passwords			
Other Company Documents		See attached list of items	

2. I have the following items at home and will see that they are returned to Nexxus Lighting (please list):

*Company Laptop*

3. I have received the appropriate 401k Plan Information (only if applicable): n/a

n/a (initials) Termination Form  
n/a (initials) Special Tax Notice

4. I have received information regarding my final paycheck, including compensation for all unpaid PTO accrual. Nexxus Lighting Inc. does not owe me any additional money. TF(initials)

5. I understand I have 60 days from the last day of the month in which my termination is effective to notify Nexxus Lighting Inc., in writing if I wish to continue group insurance under the COBRA provisions. Information will be mailed directly to my home and I will review the notice explaining my rights regarding continued coverage. TF

6. I understand that I should retain the information below for my health insurance coverage which continues through the end of the month of my employment termination, and for COBRA coverage thereafter:

Dental Benefits I.D. Card TF(initials) Insurance Policy Booklets TF(initials)  
Medical Benefits ID Card TF(initials)

7. I understand that any claims for my Flexible Spending Account(s) (FSA) must be submitted within 30 days of my termination date in order for them to be eligible for reimbursement and that I am expected to repay any outstanding balances due to the company. n/a (initials)

8. I previously received a copy of my stock option grant(s) and understand that I have 90 days to exercise any unexercised portion of the grant(s) or they will expire. TF (initials)

9. I understand that I may request a copy of the non-compete agreement I signed when I began my employment with Nexxus Lighting. TF(initials)

10. Your PTO balance based upon accruals through the end of the day is 151.61 hours.

11. Be advised that the United States securities laws prohibit any person who has material, non-public information of the Company, including the information that is the subject matter of this letter, from purchasing or selling securities of the Company or from communicating such information to any other person under circumstances in which it is reasonably foreseeable that such person is likely to purchase or sell securities. In addition, and without limiting the foregoing, you will be subject to the applicable provisions of the Securities Exchange Act of 1934, as amended, and the rules and regulations thereunder, including without limitation, the short-swing profit provisions and restrictions on trading while in possession of material non-public information, which provisions may limit the timing of sales of any of the Company's securities by you.

**EMPLOYEE SIGNATURE:** Terry Flint  
*Witnessed by:* Heath Bradford

**DATE:** 3-30-2012

3-30-2012