

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT3713451

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT	
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT	
<b>CONVEYING PARTY DATA</b>		
	<b>Name</b>	<b>Execution Date</b>
	KENYA JOHNSON	03/06/2015
	FRANK BEDU-ADDO	03/23/2015
<b>RECEIVING PARTY DATA</b>		
<b>Name:</b>	PDS BIOTECHNOLOGY CORPORATION	
<b>Street Address:</b>	3130 HIGHLAND AVENUE, THIRD FLOOR	
<b>City:</b>	CINCINNATI	
<b>State/Country:</b>	OHIO	
<b>Postal Code:</b>	45219	
<b>PROPERTY NUMBERS Total: 1</b>		
	<b>Property Type</b>	<b>Number</b>
	Application Number:	14407419
<b>CORRESPONDENCE DATA</b>		
<b>Fax Number:</b>	(215)656-2498	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
<b>Phone:</b>	215-656-3381	
<b>Email:</b>	pto.phil@dlapiper.com	
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<b>Address Line 1:</b>	ONE LIBERTY PLACE	
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<b>ATTORNEY DOCKET NUMBER:</b>	PDS-1-B	
<b>NAME OF SUBMITTER:</b>	PAUL CARANGO	
<b>SIGNATURE:</b>	/PAUL CARANGO/	
<b>DATE SIGNED:</b>	01/27/2016	
<b>Total Attachments: 4</b>		
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PCT APPLICATION  
ASSIGNMENT TO BUSINESS CONCERN

Attorney Docket No.: 50165-225611  
Client Reference No.: \_\_\_\_\_

In consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration paid to each of the undersigned,

Name(s) of Inventor(s) Kenya JOHNSON  
Frank BEDU-ADDO, and  
Eric JACOBSON,

Check applicable boxes and enter serial number and date if available  
maker(s) of an invention which is the subject of a United States Provisional Patent Application identified as Serial No. \_\_\_\_\_ with a filing date of \_\_\_\_\_ and/or  
a ☒ PCT International Application serial no. PCT/US2013/045578, filed June 13, 2013, entitled

Title of Application CATIONIC LIPID VACCINE COMPOSITIONS AND METHODS OF USE  
hereinafter (the "Application(s)")

the undersigned hereby sell(s), assign(s), and set(s) over to

Name of Assignee PDS BIOTECHNOLOGY CORPORATION

Address of principal place of business 500 Industrial Drive, Suite A  
Lawrenceburg, Indiana 47025

Insert State of Incorporation (if applicable) or "Not Applicable"  
a corporation of Delaware

(hereinafter designated as the Assignee) their entire right, title and interest in, to and under the Application(s), including all priority rights for the United States and other countries arising therefrom, all inventions therein disclosed, and any and all Letters Patent of the United States and of all other countries, including Canada and Mexico, which may be granted for such inventions, or any of them, all such inventions and all rights in such Application(s) and Letters Patent to be held and enjoyed by Assignee for its own use and enjoyment to the full end of the term or terms for which such Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by them had this assignment and sale not been made.

The undersigned agree(s) to execute all papers necessary in connection with the Application(s) in the United States and counterpart applications in foreign countries and any continuing, divisional, or reissue applications thereof, and any reexamination of any of such Applications, and also to execute separate assignments in connection with such Applications as the Assignee may deem necessary or expedient.

The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared or litigation concerning the Application(s), U.S. national counterparts thereof, or continuation(s), division(s), reissue(s), reexamination(s) thereof, and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference or litigation.

The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

PCT APPLICATION  
ASSIGNMENT TO BUSINESS CONCERN

The undersigned agree(s) to do all other acts which, in the opinion of Assignee, may be necessary or desirable to secure the grant of Letters Patent to Assignee or its nominees, in the United States and in all other countries where Assignee may desire to have such inventions, or any of them, patented, with specifications and claims in such form as shall be approved by Assignee and to vest and confirm in Assignee or its nominees the full and complete legal and equitable title to all such Letters Patent.

The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patent of the United States resulting or following from said Application(s) or any division or divisions or continuing or reissue applications thereof, and any reexamination of any of such applications, to the said Assignee, as Assignee of the entire interest, and hereby covenants that the undersigned has full right to convey the interest herein assigned, and that the undersigned has not executed, and will not execute, any agreement in conflict herewith.

The undersigned hereby grant(s) the attorney of record the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

IN WITNESS WHEREOF, I have executed this assignment at Los Angeles California  
this 6<sup>th</sup> day of March, 2012 2015

Outside the USA: \_\_\_\_\_  
Witnesses are \_\_\_\_\_  
required when \_\_\_\_\_  
acknowledgment \_\_\_\_\_  
before a Notary \_\_\_\_\_  
Public is not \_\_\_\_\_  
feasible. \_\_\_\_\_  
Witness

Kenya JOHNSON  
Inventor (Signature)  
Kenya Johnson  
Inventor (Printed Name)

ACKNOWLEDGMENT

STATE OF California }  
COUNTY OF Los Angeles }  
SS:

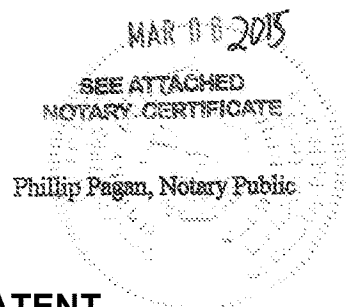
Acknowledged before me, a Notary Public, within and for said County and State. Witness my hand and Notarial Seal  
this 6<sup>th</sup> day of March, 2015

Phillip Pagan  
Notary Public

Phillip Pagan, Notary Public  
Printed Name

My Commission Expires: Oct 28, 2018

Resident of Los Angeles County



IN WITNESS WHEREOF, I have executed this assignment at PNC Bank, Carmel, IN  
this 23rd day of March, 2015

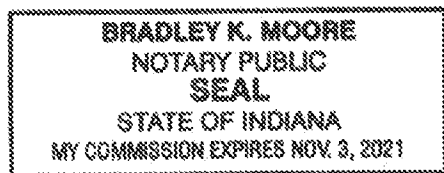
Outside the USA: \_\_\_\_\_  
Witnesses are required when acknowledgment before a Notary Public is not feasible.  
Witness \_\_\_\_\_  
Witness \_\_\_\_\_

[Signature]  
Inventor (Signature)  
Frank BEDU-ADDO  
Inventor (Printed Name)

ACKNOWLEDGMENT

STATE OF Indiana }  
COUNTY OF Hamilton } SS:

Acknowledged before me, a Notary Public, within and for said County and State. Witness my hand and Notarial Seal  
this 23rd day of March, 2015



[Signature]  
Notary Public  
Bradley K. Moore  
Printed Name

My Commission Expires: 11/3/2021

Resident of Marion County

ALL-PURPOSE ACKNOWLEDGMENT  
Duplicate Embossment  
On Original Document  
Phillip Pagan, Notary Public



MAR 06 2015

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

CIVIL CODE § 1189

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California )

County of Los Angeles )

On MAR 06 2015 before me, Phillip Pagan, Notary Public

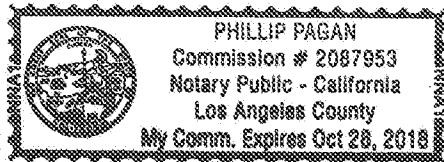
Date

Here Insert Name and Title of the Officer

personally appeared Kanya Johnson

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Phillip Pagan, Notary Public

Place Notary Seal Above

Signature Phillip Pagan Phillip Pagan, Notary Public

Signature of Notary Public

Phillip Pagan, Notary Public

OPTIONAL

Though this section is optional, completing this information can deter alteration of the document or fraudulent reattachment of this form to an unintended document.

Description of Attached Document

Title or Type of Document: \_\_\_\_\_ Document Date: \_\_\_\_\_

Number of Pages: \_\_\_\_\_ Signer(s) Other Than Named Above: \_\_\_\_\_

Capacity(ies) Claimed by Signer(s)

Signer's Name: \_\_\_\_\_

☐ Corporate Officer — Title(s): \_\_\_\_\_

☐ Partner — ☐ Limited ☐ General

☐ Individual ☐ Attorney in Fact

☐ Trustee ☐ Guardian or Conservator

☐ Other: \_\_\_\_\_

Signer Is Representing: \_\_\_\_\_

Signer's Name: \_\_\_\_\_

☐ Corporate Officer — Title(s): \_\_\_\_\_

☐ Partner — ☐ Limited ☐ General

☐ Individual ☐ Attorney in Fact

☐ Trustee ☐ Guardian or Conservator

☐ Other: \_\_\_\_\_

Signer Is Representing: \_\_\_\_\_