

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3718092

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| SUBMISSION TYPE: | CORRECTIVE ASSIGNMENT |
| NATURE OF CONVEYANCE: | Corrective Assignment to correct the RECEIVING PARTY/ASSIGNOR DATA previously recorded on Reel 036824 Frame 0193. Assignor(s) hereby confirms the ORIGINAL ASSIGNMENT INCORRECTLY LISTS BIOVATION LLC, 110 DEERPLACE, MANKATO, MINNESOTA 56001 AS THE ASSIGNEE. |
| CONVEYING PARTY DATA | |
| Name | Execution Date |
| MICHAEL RIEBEL | 01/28/2016 |
| MILTON RIEBEL | 01/28/2016 |
| RECEIVING PARTY DATA | |
| Name: | BIOVATION ACQUISITION COMPANY |
| Street Address: | 13660 NC 27 WEST, SUITE 201 |
| City: | BROADWAY |
| State/Country: | NORTH CAROLINA |
| Postal Code: | 27505 |
| PROPERTY NUMBERS Total: 2 | |
| Property Type | Number |
| Application Number: | 14215595 |
| Application Number: | 14955631 |
| CORRESPONDENCE DATA | |
| Fax Number: | |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> | |
| Phone: | 612-604-6400 |
| Email: | patent@winthrop.com |
| Correspondent Name: | WINTHROP & WEINSTINE, P.A. |
| Address Line 1: | CAPELLA TOWER, SUITE 3500 |
| Address Line 2: | 225 S 6TH STREET |
| Address Line 4: | MINNEAPOLIS, MINNESOTA 55402 |
| ATTORNEY DOCKET NUMBER: | 16036.79 & 16036.103 |
| NAME OF SUBMITTER: | BRETT A. KLEIN |
| SIGNATURE: | /Brett A. Klein/ |
| DATE SIGNED: | 01/29/2016 |
| Total Attachments: 3 | |

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PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3575673

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| SUBMISSION TYPE: | NEW ASSIGNMENT | |
| NATURE OF CONVEYANCE: | ASSIGNMENT | |
| CONVEYING PARTY DATA | | |
| | Name | Execution Date |
| | MICHAEL RIEBEL | 10/07/2015 |
| | MILTON RIEBEL | 10/07/2015 |
| RECEIVING PARTY DATA | | |
| Name: | BIOVATION, LLC | |
| Street Address: | 110 DEERPLACE | |
| City: | MANKATO | |
| State/Country: | MINNESOTA | |
| Postal Code: | 56001 | |
| PROPERTY NUMBERS Total: 1 | | |
| Property Type | Number | |
| Application Number: | 14215595 | |
| CORRESPONDENCE DATA | | |
| Fax Number: | | |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> | | |
| Phone: | 612-604-6400 | |
| Email: | patent@winthrop.com | |
| Correspondent Name: | WINTHROP & WEINSTINE, P.A. | |
| Address Line 1: | CAPELLA TOWER, SUITE 3500 | |
| Address Line 2: | 225 SOUTH SIXTH STREET | |
| Address Line 4: | MINNEAPOLIS, MINNESOTA 55402 | |
| ATTORNEY DOCKET NUMBER: | 16036.79.22.US.U2 | |
| NAME OF SUBMITTER: | BRETT A. KLEIN | |
| SIGNATURE: | /Brett A. Klein/ | |
| DATE SIGNED: | 10/19/2015 | |
| Total Attachments: 2 | | |
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CORRECTIVE ASSIGNMENT

Dkt. Nos.:
16036.79.22.US.U2
16036.103.22.US.C3

Page 1 of 2

First Named Inventor: Michael Riebel

WHEREAS, we, Michael Riebel residing at 110 Deerplace, Mankato, Minnesota 56001 and Milton Riebel residing at 217 Stonehenge Drive, Mankato, Minnesota 56001; invented new and useful inventions as described in U.S. Patent Applications U.S. Patent Applications Nos. 14/215,595 and 14/955,631, the applications being identifiable by the Attorney Docket Numbers 16036.79.22.US.U2 and 16036.103.22.US.C3 and/or being identifiable in the United States Patent and Trademark Office by the above-mentioned application Nos. and Filing Dates of March 17, 2014 and December 1, 2015, respectively, (the Patent "Applications");

WHEREAS, an assignment document was executed on October 7, 2015 and recorded on October 19, 2015 at the United States Patent and Trademark Office at reel/frame 36824-193 reflecting assignment from the listed inventors to Biovation, LLC;

WHEREAS, the above assignment was executed in error because all right title and interest in the listed patent applications was captured by Biovation Acquisition Company by way of (1) the credit bid of MVC Capital, Inc. of the personal property assets of, inter alia, Biovation, LLC, and (2) the Quitclaim Bill of Sale entered into between MVC Capital, Inc. and Biovation Acquisition Company on April 29, 2015;

WHEREAS, U.S. Patent Application No.: 14/215,595 was filed on March 17, 2014, well before the above transfer of assets, was captured by Biovation, LLC by way of an employment contract, and, as such, was part of the above transfer of assets to Biovation Acquisition Company; and

WHEREAS, Biovation Acquisition Company, a Delaware Corporation ("Assignee") is entitled to and is desirous of acquiring the entire right, title, and interest in and to the Inventions, the Applications, and any and all Letters Patent or similar legal rights, foreign or domestic, to be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Biovation, LLC, hereby transfers to Assignee, its successors and assigns, the entire right, title, and interest in and to, including the right to sue for and collect damages for past, present, and future infringement of, (1) the Invention throughout the world, (2) the above-identified Application and all corresponding domestic and foreign applications including any provisional, non-provisional, divisional, continuation, continuation-in-part, reissue application, or any other application based on the Invention, (3) all Letters Patent or similar legal protection issuing thereon, (4) all trade secrets and know-how pertaining to the Invention, and (5) all rights and benefits under any applicable treaty or convention; and we each authorize the Commissioner of Patents and Trademarks of the United States or foreign equivalent therefor to issue the Letters Patent or similar legal protection to the Assignee.

In light of the above listed statements, the above transfer shall be effective by direct transfer from the inventors to the Assignee or the transfer shall be effective as being subject to the (1) the credit bid of MVC Capital, Inc. of the personal property assets of, inter alia, Biovation, LLC, and (2) the Quitclaim Bill of Sale entered into between MVC Capital, Inc. and Assignee on April 29, 2015.

We each, individually and separately, authorize the Assignee, its successors and assigns or anyone it may properly designate, to apply for Letters Patent or similar legal protection for the Inventions, in its own name if desired, in the U.S. and any and all foreign countries and to make a claim of priority to any applications as entitled under U.S. law or applicable international conventions, treaties, or otherwise.

We each, individually and separately, represent to Assignee, its successors and assigns, that we each, individually and separately, have not and shall not execute any writing or do any act whatsoever conflicting with this Assignment. We each, individually and separately, our executors or administrators, will at any time upon request, without additional consideration, but at the expense of the Assignee, its successors and assigns, execute such additional writings and do such additional acts as the Assignee, its successors and assigns, may deem desirable to

perfect its enjoyment of this grant, and render all assistance in making application for and obtaining, maintaining, and enforcing the Letters Patent or similar legal protection on the Invention in any and all countries.

We each, individually and separately, represent and warrant that we each, individually and separately, have full power to enter into and perform this assignment, and that we each, individually and separately, have not granted any other person or entity any interest in the Inventions and/or the Applications.

IN WITNESS WHEREOF, we have executed this Assignment on the date written below.



Michael Riebel

January 28, 2016

Date



Milton Riebel

January 28, 2016

Date

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