#### 503678199 02/03/2016

# PATENT ASSIGNMENT COVER SHEET

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| SUBMISSION TYPE:      | NEW ASSIGNMENT |
|-----------------------|----------------|
| NATURE OF CONVEYANCE: | ASSIGNMENT     |

#### **CONVEYING PARTY DATA**

| Name                   | Execution Date |
|------------------------|----------------|
| MICHELE L. BACA DENTON | 08/26/2014     |
| SHAWN M. DIRK          | 02/01/2016     |
| ROSS STEFAN JOHNSON    | 01/13/2016     |

## **RECEIVING PARTY DATA**

| Name:           | SANDIA CORPORATION     |
|-----------------|------------------------|
| Street Address: | P.O. BOX 5800, MS-0161 |
| City:           | ALBUQUERQUE            |
| State/Country:  | NEW MEXICO             |
| Postal Code:    | 87185                  |

## **PROPERTY NUMBERS Total: 1**

| Property Type       | Number   |
|---------------------|----------|
| Application Number: | 14455702 |

## CORRESPONDENCE DATA

Fax Number: (505)844-9955

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

505-844-7283 Phone: Email: dcates@sandia.gov

SANDIA NATIONAL LABORATORIES **Correspondent Name:** 

Address Line 1: P.O. BOX 5800, MS-0161

Address Line 4: ALBUQUERQUE, NEW MEXICO 87185

| ATTORNEY DOCKET NUMBER: | SD13195/S139177   |  |
|-------------------------|-------------------|--|
| NAME OF SUBMITTER:      | DIANA SCHANNING   |  |
| SIGNATURE:              | /Diana Schanning/ |  |
| DATE SIGNED:            | 02/03/2016        |  |

## **Total Attachments: 6**

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**PATENT** REEL: 037656 FRAME: 0325 503678199

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PATENT REEL: 037656 FRAME: 0326

#### **ASSIGNMENT**

WHEREAS, Michele L. Baca Denton of Bosque, New Mexico; Shawn M. Dirk of Albuquerque, New Mexico; and Ross Stefan Johnson of Wilmington Deleware, (hereinafter "Inventor(s)") has(have) made an invention relating to SWITCHABLE ANTIFOULING COATINGS AND USES THEREOF, in the course of the work authorized by Contract DE-AC04-94AL85000 between SANDIA CORPORATION and the UNITED STATES DEPARTMENT OF ENERGY, said invention being disclosed and claimed in an application for United States patent, identified as Application No.: 14/455,702, Filed on August 8, 2014, DOE Docket No: S-139,177 duly executed by said Inventor(s); and

WHEREAS Inventor(s), in consideration of employment by Sandia Corporation, has(have) assigned to Sandia Corporation, its successors and assigns, all of Inventor(s) rights to inventions, discoveries, or improvements made or conceived in the course of their employment; and

WHEREAS, title to the above-identified invention vests in the Government of the United States, as represented by the U.S. Department of Energy, as governed by 42 U.S.C. 5908; and

WHEREAS, the Government of the United States, as represented by the U.S. Department of Energy has granted a waiver of Government rights in the above-identified invention to Sandia Corporation; and

THEREFORE, Inventor(s) hereby sells, assigns, and transfers unto Sandia Corporation their entire right, title, and interest in and to said invention in the United States of America and all foreign countries, including, without limitation, said invention as described in the aforesaid application, and in and to any United States Letters Patent therefor, when issued together with all improvements thereon and betterments thereof, all related applications including, but not limited to, utilities, divisions, continuations, continuations-in-part, reissues and reexaminations thereof and substitutions of or for said application, and all foreign rights including the right to make application for Letters Patent for said invention in any and all foreign countries and the right to claim priority as to the filing date under the International Convention on the basis for the aforesaid application for United States Letters Patent, to the extent that Inventor(s) right, title, and interest in and to said invention has(have) not already been sold, assigned, or transferred to Sandia Corporation by Inventor(s) in consideration of Inventor(s) employment by Sandia Corporation. Inventor(s) hereby authorizes and requests the Commissioner of Patents to issue all Letters Patent issuing therefrom to Sandia Corporation, for its interest as Sandia Corporation, its successors and assigns.

Inventor(s) covenants with Sandia Corporation that, except for the rights, if any, of the Government, his/her interest in the rights and property herein conveyed is free and clear of any encumbrance and that they have full right to convey the same as herein expressed. Inventor(s) agrees, at the request of Sandia Corporation, to make, execute, and deliver to Sandia Corporation, the Government, or other party prosecuting patent applications on this invention, any and all papers, documents, affidavits, applications, statements or other instruments relating to said invention, take all rightful oaths and do all acts as may reasonably be required of them in

Page 1 of 3

or incident to the prosecution of any or all U.S. and foreign applications on said invention or in the adjustment or settlement of any interferences or other actions or proceedings that said applications or patents thereon may encounter or in which they may become involved.

Signed at Albuquerque, New Mexico.

Shawn M. Dirk

STATE OF NEW MEXICO

) ss:

COUNTY OF BERNALILLO

On the above dates, personally appeared before me, Lori L. Holslin, Notary Public, Michele L. Baca Denton and Shawn M. Dirk, to me known to be the individual(s) described in and who executed the foregoing instrument, and who acknowledged to me that they executed the same.

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OFFICIAL SEAL Diana Schanning My Commission Expires:

Approved and consented by:

SANDIA CORPORATION

Kerry Kampschmidt

Sr. Managing IP Counsel

Legal Technology Transfer Center

STATE OF NEW MEXICO )

) ss:

COUNTY OF BERNALILLO)

On the above date, personally appeared before me, Diana Schanning, Notary Public, **Kerry Kampschmidt**, to me known to be the individual described in and who executed the foregoing instrument, and who acknowledged to me that he executed the same.

OFFICIAL SEAL

Diana Schanning

NOTABY PUBLIC

STATE OF NEW MEXICO

My Commission Expires: 1/24/2018

Page 3 of 3

# <u>ASSIGNMENT</u>

WHEREAS, Michele L. Baca Denton of Bosque, New Mexico; Shawn M. Dirk of Albuquerque, New Mexico; and Ross Stefan Johnson of Wilmington Deleware, (hereinafter "Inventor(s)") has(have) made an invention relating to SWITCHABLE ANTIFOULING COATINGS AND USES THEREOF, in the course of the work authorized by Contract DE-AC04-94AL85000 between SANDIA CORPORATION and the UNITED STATES DEPARTMENT OF ENERGY, said invention being disclosed and claimed in an application for United States patent, identified as Application No.: 14/455,702, Filed on August 8, 2014, DOE Docket No: S-139,177 duly executed by said Inventor(s); and

WHEREAS Inventor(s), in consideration of employment by Sandia Corporation, has(have) assigned to Sandia Corporation, its successors and assigns, all of Inventor(s) rights to inventions, discoveries, or improvements made or conceived in the course of their employment; and

WHEREAS, title to the above-identified invention vests in the Government of the United States, as represented by the U.S. Department of Energy, as governed by 42 U.S.C. 5908; and

WHEREAS, the Government of the United States, as represented by the U.S. Department of Energy has granted a waiver of Government rights in the above-identified invention to Sandia Corporation; and

THEREFORE, Inventor(s) hereby sells, assigns, and transfers unto Sandia Corporation their entire right, title, and interest in and to said invention in the United States of America and all foreign countries, including, without limitation, said invention as described in the aforesaid application, and in and to any United States Letters Patent therefor, when issued together with all improvements thereon and betterments thereof, all related applications including, but not limited to, utilities, divisions, continuations, continuations-in-part, reissues and reexaminations thereof and substitutions of or for said application, and all foreign rights including the right to make application for Letters Patent for said invention in any and all foreign countries and the right to claim priority as to the filing date under the International Convention on the basis for the aforesaid application for United States Letters Patent, to the extent that Inventor(s) right, title, and interest in and to said invention has(have) not already been sold, assigned, or transferred to Sandia Corporation by Inventor(s) in consideration of Inventor(s) employment by Sandia Corporation. Inventor(s) hereby authorizes and requests the Commissioner of Patents to issue all Letters Patent issuing therefrom to Sandia Corporation, for its interest as Sandia Corporation, its successors and assigns.

Inventor(s) covenants with Sandia Corporation that, except for the rights, if any, of the Government, his/her interest in the rights and property herein conveyed is free and clear of any encumbrance and that they have full right to convey the same as herein expressed. Inventor(s) agrees, at the request of Sandia Corporation, to make, execute, and deliver to Sandia Corporation, the Government, or other party prosecuting patent applications on this invention, any and all papers, documents, affidavits, applications, statements or other instruments relating to said invention, take all rightful oaths and do all acts as may reasonably be required of them in

or incident to the prosecution of any or all U.S. and foreign applications on said invention or in the adjustment or settlement of any interferences or other actions or proceedings that said applications or patents thereon may encounter or in which they may become involved.

Signed at Wilminston, Delaware
City State

Ross Stefan Johnson

January 13, 2016 Date

STATE OF DELAWARE)

COUNTY OF NEW (ASTLE) ST

On the above dates, personally appeared before me, Lauren E. McLendon, Notary Public, Ross Stefan Johnson, to me known to be the individual(s) described in and who executed the foregoing instrument, and who acknowledged to me that they executed the same.

(Seal)

Notary Public

LAUREN E. MCCLENDON NOTARY PUBLIC, STATE OF DELAWARE MY COMMISSION EXPIRES JUNE 11, 2017 Approved and consented by:

Date: 2/3//6\_\_\_

SANDIA CORPORATION

Kerry Kampschmidt

Sr. Managing IP Counsel

Legal Technology Transfer Center

STATE OF NEW MEXICO

) ss:

COUNTY OF BERNALILLO

On the above date, personally appeared before me, Diana Schanning, Notary Public, Kerry Kampschmidt, to me known to be the individual described in and who executed the foregoing instrument, and who acknowledged to me that he executed the same.

OFFICIAL SEAL

Diana Schanning

NOTARY PUBLIC STATE OF NEW MEXICO...

My Commission Expires:

Page 3 of 3