

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3733185

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
YVES DUROCHER	04/08/2009
MARTIN LOIGNON	04/06/2009
RECEIVING PARTY DATA	
Name:	NATIONAL RESEARCH COUNCIL OF CANADA
Street Address:	1200 MONTREAL ROAD
City:	OTTAWA
State/Country:	ONTARIO
Postal Code:	K1A 0R6
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14068336
CORRESPONDENCE DATA	
Fax Number:	(613)952-6082
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	613-990-7500
Email:	NRCIPPM.General@nrc-cnrc.gc.ca
Correspondent Name:	SUSAN ANDERSON
Address Line 1:	1200 MONTREAL ROAD
Address Line 4:	OTTAWA, ONTARIO K1A 0R6
ATTORNEY DOCKET NUMBER:	11992-1A
NAME OF SUBMITTER:	SONIA PATENAUDE
SIGNATURE:	/Sonia Patenaude/
DATE SIGNED:	02/11/2016
Total Attachments: 5	
source=11992-1A-AssignmentDocument-Executed#page1.tif	
source=11992-1A-AssignmentDocument-Executed#page2.tif	
source=11992-1A-AssignmentDocument-Executed#page3.tif	
source=11992-1APowerofAttorneyandStatement37CFR-Executed#page1.tif	
source=11992-1APowerofAttorneyandStatement37CFR-Executed#page2.tif	

CONFIRMATORY ASSIGNMENT

Whereas, We, Yves DUROCHER and Martin LOIGNON, citizens of Canada, and residing at 5335 place D'Argenteuil, Montreal Quebec H4J 1M1, Canada and 23 Tarragone, Kirkland, Quebec H9J 1R4, Canada, respectively, in the course of our work at and/or for the National Research Council of Canada have made an invention for which we have made application for Letters Patent; and

Whereas National Research Council of Canada, a body corporate under the *National Research Council Act* by the Parliament of Canada, and situated in the city of Ottawa, Province of Ontario, Canada, is our employer and/or maintains facilities or equipment we used in making this invention, and is the owner of our rights in this invention by action of law, namely s. 3 of the *The Public Servants Inventions Act* of Canada, or by agreement;

NOW, THEREFORE, in consideration of having been provided with an opportunity to participate in scientific research at the National Research Council of Canada and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we, Yves DUROCHER and Martin LOIGNON, did transfer to the National Research Council of Canada, and hereby confirm said transfer, the legal ownership of our full and exclusive right, for the territory of Canada and the United States of America and all other countries, including the right to claim priority, the right to file foreign applications under the provisions of the International Convention and national laws, the right to file any divisional and continuation applications and our full and exclusive right in and to said divisional and continuation applications, in and to the said invention as described and claimed in the application for patent entitled

"Process, Vectors and Engineered Cell Lines for Enhanced Large-Scale Transfection"

International Patent Application PCT/CA2009/000263 filed on March 9, 2009 in the Patent Cooperation Treaty Receiving Office therefor; and we hereby request the Commissioner of Patents and Trademarks to issue said Letters Patent, as far as our rights are concerned, to National Research Council of Canada, as the assignee, for the sole behoof of said National Research Council of Canada, and its legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by us in the event that ownership rested with us, the inventors. In addition, we confirm that the National Research Council of

Canada has full rights to abandon the invention and any related patents or patent applications or any aspect thereof in any and all jurisdictions at its sole discretion and without providing compensation to us.

The inventors signing this document acknowledge that, except as explicitly noted herein, their entire inventive contribution made toward this invention was completed in the course of their work with and/or for the National Research Council of Canada and no other person or organization has any claim to their rights in the invention or any aspect thereof and the inventors have not granted or purported to grant rights in the invention to any other party or organization at any time.

This document has been read and understood prior to signature. Receipt of sufficient opportunity to seek clarification of any concerns is acknowledged.

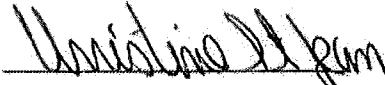
Executed this 8 day of April, 2009 at Montreal.


Yves DUROCHER

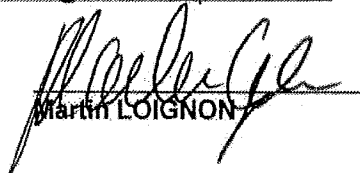
Declaration by Witness

I, Christine St JEAN, whose full post office address is
6100 Royalmount Ave say:

That I was personally present and did hear and see, Yves DUROCHER, who is personally known to me duly sign and execute the above Assignment and confirm having read and understood all that it contains.


(signature of witness)

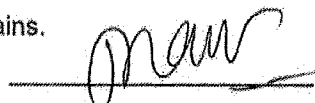
Executed this 6th day of April, 2009 at Montréal.


Martin LOIGNON

Declaration by Witness

I, Phuonlan Pham, whose full post office address is
5335 place Agenceville say: Montréal H4J 1M1

That I was personally present and did hear and see, **Martin LOIGNON**
who is personally known to me duly sign and execute the above Assignment and
confirm having read and understood all that it contains.


(signature of witness)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

POWER OF ATTORNEY OR REVOCATION OF POWER OF ATTORNEY WITH A NEW POWER OF ATTORNEY AND CHANGE OF CORRESPONDENCE ADDRESS	Application Number	12/989,898
	Filing Date	10/27/2010
	First Named Inventor	Yves Durocher
	Title	Process, Vectors and Engineered...
	Art Unit	
	Examiner Name	
	Attorney Docket Number	11992-1

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint Practitioner(s) associated with the following Customer Number as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith:

25277

OR

☐ I hereby appoint Practitioner(s) named below as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith:

Practitioner(s) Name	Registration Number

Please recognize or change the correspondence address for the above-identified application to:

☐ The address associated with the above-mentioned Customer Number.

OR

☐ The address associated with Customer Number:

OR

☐ Firm or Individual Name

Address

City

State

Zip

Country

Telephone

Email

I am the:

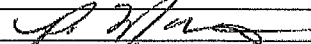
☐ Applicant/Inventor.

OR

☒ Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) (Form PTO/SB/96) submitted herewith or filed on _____

SIGNATURE of Applicant or Assignee of Record

Signature		Date	30 Aug 2011
Name	Pat Mortimer - A/Secretary General	Telephone	613 998 3664
Title and Company	on Behalf of Rob James - Secretary General - NRC		

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☒ *Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Yves DUROCHER and Martin LOIGNON

Application No./Patent No.: 12/989,898

Filed/Issue Date: 10/27/2010

Titled:

Process, Vectors and Engineered Cell Lines for Enhanced Large-Scale Transfection

National Research Council of Canada

, a body corporate

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title, and interest in
(The extent (by percentage) of its ownership interest is _____ %); or
3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)

the patent application/patent identified above, by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 026787, Frame 0200, or for which a copy therefore is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at

Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at

Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at

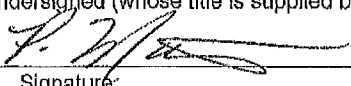
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.


Signature

30 Aug 2011
Date

Pat Mortimer

A/Secretary General- NRC

Printed or Typed Name

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PATENT

RECORDED: 02/11/2016

REEL: 037705 FRAME: 0516