

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3737522

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
VTU HOLDING GMBH	01/14/2016
TECHNISCHE UNIVERSITAT GRAZ	01/15/2016
RECEIVING PARTY DATA	
Name:	BOEHRINGER INGELHEIM RCV GMBH & CO KG
Street Address:	DR. BOEHRINGER-GASSE 5-11
City:	WIEN
State/Country:	AUSTRIA
Postal Code:	A-1121
Name:	VTU TECHNOLOGY GMBH
Street Address:	PARKRING 18
City:	GRAMBACH
State/Country:	AUSTRIA
Postal Code:	A-8074
PROPERTY NUMBERS Total: 2	
Property Type	Number
Patent Number:	9012175
Application Number:	12759763
CORRESPONDENCE DATA	
Fax Number:	(203)837-5616
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	2034481844
Email:	alison.angarola@boehringer-ingelheim.com
Correspondent Name:	BOEHRINGER INGELHEIM USA CORP
Address Line 1:	900 RIDGEBURY ROAD
Address Line 2:	PO BOX 368
Address Line 4:	RIDGEFIELD, CONNECTICUT 06877
ATTORNEY DOCKET NUMBER:	12-0395-US-2
NAME OF SUBMITTER:	ATABAK R. ROYAE

SIGNATURE:	/Atabak R. Royae/
DATE SIGNED:	02/12/2016
Total Attachments: 17 source=12-0395-2016-02-12-Assignment#page1.tif source=12-0395-2016-02-12-Assignment#page2.tif source=12-0395-2016-02-12-Assignment#page3.tif source=12-0395-2016-02-12-Assignment#page4.tif source=12-0395-2016-02-12-Assignment#page5.tif source=12-0395-2016-02-12-Assignment#page6.tif source=12-0395-2016-02-12-Assignment#page7.tif source=12-0395-2016-02-12-Assignment#page8.tif source=12-0395-2016-02-12-Assignment#page9.tif source=12-0395-2016-02-12-Assignment#page10.tif source=12-0395-2016-02-12-Assignment#page11.tif source=12-0395-2016-02-12-Assignment#page12.tif source=12-0395-2016-02-12-Assignment#page13.tif source=12-0395-2016-02-12-Assignment#page14.tif source=12-0395-2016-02-12-Assignment#page15.tif source=12-0395-2016-02-12-Assignment#page16.tif source=12-0395-2016-02-12-Assignment#page17.tif	

ASSIGNMENT OF RIGHTS

By this instrument,

VTU HOLDING GMBH
Parkring 18
A-8074 Grambach
A U S T R I A

(the "Assignor 1")

and

TECHNISCHE UNIVERSITÄT GRAZ

Rechbauerstraße 12
A-8010 Graz
A U S T R I A

(the "Assignor 2")

hereby assign and transfer to

BOEHRINGER INGELHEIM RCV GMBH & CO KG
Dr. Boehringer-Gasse 5-11
A-1121 Wien
A U S T R I A

(the "Assignee 1")

90% of its world-wide rights, title and interest to in connection with the invention identified below for and in consideration of the sum of Ten (10) Euros, of which the Assignee 1 acknowledges full receipt:

and

hereby assign and transfer to

VTU TECHNOLOGY GMBH
Parkring 18
A-8074 Grambach
A U S T R I A

(the "Assignee 2")

10% of its world-wide rights, title and interest to in connection with the invention identified below for and in consideration of the sum of Ten (10) Euros, of which the Assignee 2 acknowledges full receipt:

LEERSEITE

PCT/AT2006/000079 entitled **Mutated AOX 1 Promoters** (BI case No. P12-0395) filed February 23, 2006, for which a priority application was filed at the Austrian Patent Office on February 23, 2005 under Application No. A-304-2005 -- (see Annex)

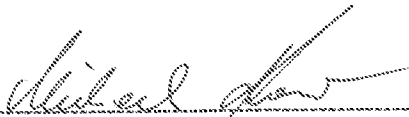
(the "Inventions")

including the right to claim priority from the applications, all divisional, renewal, substitute, continuation, nonprovisionals, continuation-in-parts, and International Convention applications (including the PCT International Application and national stages of any such PCT International Application based in whole or in part upon said Inventions or upon said Application, and any and all Letters Patent and reissues, reexaminations, and extensions of Letters Patent granted for said Inventions or upon any patent application claiming priority rights from the applications, and every priority right that is or may be predicated upon or arise from said Inventions, or from said application, said Assignee being hereby authorized to file and prosecute patent applications, including completing formalities and recordation of ownership claims, in any or all countries on any or all said Inventions in the name of the Assignee or otherwise as said Assignee may deem advisable, under the International Convention or otherwise.

Assignors and Assignees agree that this assignment should be duly recorded in the Registers of the Patent Offices.

Graz, am 14.01.2016

VTU HOLDING GMBH


DI Dr. Michael KONCAR

(Name in Block Letters : _____)
Managing Director



VTU Holding GmbH
Parkring 18, A-8074 Grambach
Tel.: +43 (310) 4009-200, Fax: +43 (310) 4009-210

(Name in Block Letters : _____)
Managing Director

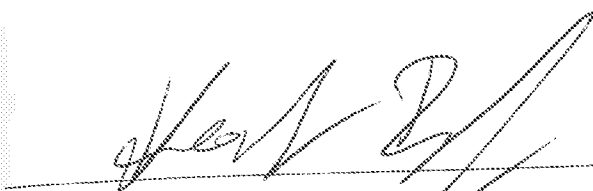
Notarized _____ Notarized _____

and

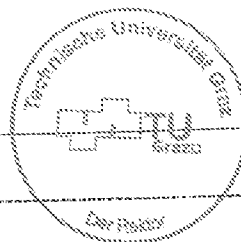
LEERSEITE

Graz, am 15.01.2016

TECHNISCHE UNIVERSITÄT GRAZ



Univ.-Prof. DI Dr. Horst Bischof



(Name in Block Letters : _____)
Managing Director

(Name in Block Letters : _____)
Managing Director

Notarized _____ Notarized _____

Herewith the above Assignment of Rights has been accepted:

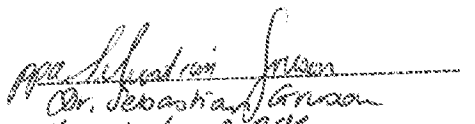
BOEHRINGER INGELHEIM RCV GMBH & CO KG

Wien am

21. JAN. 2016



Dr. Christian Ritzmann
Site Head Biopharma Austria
(Name in Block Letters : _____)
Managing Director



Dr. Sebastian Grieser
Head, legal R&D
(Name in Block Letters : _____)
Managing Director

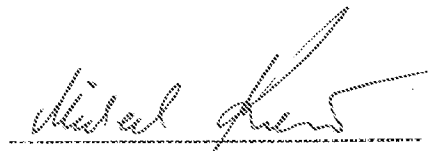
Notarized _____ Notarized _____

LEERSEITE

and

Graz, am 14.01.2016

VTU TECHNOLOGY GMBH



DI Dr. Michael KONCAR

(Name in Block Letters : _____)
Managing Director



VTU Technology GmbH
Parkring 18, A-8074 Grambach
Tel.: +43 (0)318 4008-4000, Fax: +43 (0)318 4008-4010

(Name in Block Letters : _____)
Managing Director

Notarized _____ Notarized _____

Patent
No. 1,111,111
Inventor
John Doe
Attorney
John Doe

LEERSEITE

ANNEX

Boehringer Ingelheim Case no.	Country	Filing date	Application no.	Publication/Patent no.
P12-0395/AT/2	Austria	23.02.2006	06704721.7	E487788
P12-0395/AU/1	Australia	23.02.2006	2006216094	2006216094
P12-0395/CA/1	Canada	23.02.2006	2598514	2598514
P12-0395/CH/1	Switzerland	23.02.2006	06704721.7	1.851.312
P12-0395/CN/1	China	23.02.2006	200680005888.1	ZL200680005888.1
P12-0395/DE/1	Germany	23.02.2006	06704721.7	602006018113.2-08
P12-0395/ES/1	France	23.02.2006	06704721.7	1.851.312
P12-0395/FR/1	France	23.02.2006	06704721.7	1.851.312
P12-0395/GB/1	Great Britain	23.02.2006	06704721.7	1.851.312
P12-0395/IN/1	India	23.02.2006	6085/DELNP/2007	258.881
P12-0395/IT/1	Italy	23.02.2006	06704721.7	1.851.312
P12-0395/JP/1	Japan	23.02.2006	2007-556461	5.497.986
P12-0395/NL/1	The Netherlands	23.02.2006	06704721.7	1.851.312
P12-0395/KR/1	South Korea	23.02.2006	10-2007-7021821	10-1.352.399
P12-0395/US/1	USA	23.02.2006	11/817.005	US 9,012,175

LEERSEITE

Boehringer Ingelheim Case no.	Country	Filing date	Application no.	Publication/Patent no.
P12-0395/AT/3	Austria	23.02.2006	10155180.2	E 496.125
P12-0395/AU/2	Australia	23.02.2006	2011200187	2011200187
P12-0395/CA/2	Canada	23.02.2006	2.785.161	WO2006/089329
P12-0395/CH/2	Switzerland	23.02.2006	10155180.2	2.199.389
P12-0395/CN/2	China	23.02.2006	201010173878.7	ZL201010173878.7
P12-0395/DE/2	Germany	23.02.2006	10155180.2	602006019789.6-08
P12-0395/ES/2	France	23.02.2006	10155180.2	2.199.389
P12-0395/FR/2	France	23.02.2006	10155180.2	2.199.389
P12-0395/GB/2	Great Britain	23.02.2006	10155180.2	2.199.389
P12-0395/IN/2	India	23.02.2006	6694/DELNP/2012	WO2006/089329
P12-0395/IT/2	Italy	23.02.2006	10155180.2	2.199.389
P12-0395/JP/2	Japan	23.02.2006	2011-245688	5.552.107
P12-0395/NL/2	The Netherlands	23.02.2006	10155180.2	2.199.389
P12-0395/KR/2	South Korea	23.02.2006	10-2012-7018581	10-1.358.663
P12-0395/US/2	USA	23.02.2006	12/759.763	US 2010-0196913 A1

LEERSEITE

BRZ.: 79/16

Die Echtheit der Unterschriften: -----

- a) des Herrn **Diplomingenieur Doktor Michael Koncar**, Teichstraße 9, 8501 Lieboch, als Geschäftsführer der **VTU Holding GmbH, FN 297612s**, mit dem Sitz in Grambach, politische Gemeinde Raaba-Grambach und der Geschäftsanschrift Parkring 18, 8074 Grambach, -----
- b) des Herrn **Diplomingenieur Doktor Michael Koncar**, Teichstraße 9, 8501 Lieboch, als Geschäftsführer der **VTU Technology GmbH, FN 140709a**, mit dem Sitz in Grambach, politische Gemeinde Raaba-Grambach und der Geschäftsanschrift Parkring 18, 8074 Grambach, -----

wird bestätigt. -----

Gemäß § 89a der Notariatsordnung bestätige ich aufgrund vorgenommener Einsichtnahme in das Firmenbuch, dass -----

- a) Herr **Diplomingenieur Doktor Michael Koncar** in der oben genannten Funktion heute berechtigt ist, für die unter **FN 297612s** eingetragene **VTU Holding GmbH** selbständig rechtsverbindlich zu zeichnen und -----
- b) Herr **Diplomingenieur Doktor Michael Koncar** in der oben genannten Funktion heute berechtigt ist, für die unter **FN 140709a** eingetragene **VTU Technology GmbH** selbständig rechtsverbindlich zu zeichnen. -----

Graz, am 14.01.2016 (vierzehnter Jänner zweitausendsechzehn). -----



Mag. Johannes Marko
als Substitut des öffentlichen Notars
Dr. Peter Wenger in Graz

I hereby certify by my seal and signature that these are the signatures of -----

- * **Diplomingenieur Doktor Michael Koncar**, Teichstraße 9, 8501 Lieboch, as managing director of the company **VTU Holding GmbH, FN 297612s**, with its registered seat in Grambach (community of Raaba-Grambach) and its business address Parkring 18, 8074 Grambach and, -----
- * **Diplomingenieur Doktor Michael Koncar**, Teichstraße 9, 8501 Lieboch, as managing director of the company **VTU Technology GmbH, FN 140709a**, with its registered seat in Grambach (community of Raaba-Grambach) and its business address Parkring 18, 8074 Grambach. -----

In accordance with § 89 a of the Austrian Notarial Act and based on the entries in the Austrian commercial register as of today I hereby certify that -----

- * **Diplomingenieur Doktor Michael Koncar** has the adequate authorities to solely sign for the aforementioned company **VTU Holding GmbH**, that is incorporated with the commercial register under **FN 297612s** and -----
- * **Diplomingenieur Doktor Michael Koncar** has the adequate authorities to solely sign for the aforementioned company **VTU Technology GmbH**, that is incorporated with the commercial register under **FN 140709a**. -----

Graz, January 14, 2016. -----



Mag. Johannes Marko
als Substitut des öffentlichen Notars
Dr. Peter Wenger in Graz

Gebühr in Höhe von EUR 14,30 entrichtet.
öff. Notar Dr. Walter Pisk

BRZ.: 63/16

Die Echtheit der Unterschrift des Herrn **Universitätsprofessor** Diplomingenieur Doktor **Horst Bischof**, geboren 26.03.1967 (sechszwanzigster März neunzehnhundertsiebenundsechzig), Inffeldgasse 16/II, 8010 Graz, als Vizerektor der **Technische Universität Graz**, Rechbauerstraße 12, 8010 Graz, wird bestätigt. -----
Graz, am 15.01.2016 (fünfzehnter Jänner zweitausendsechzehn). -----



Mag. Johannes Marko
als Substitut des öffentlichen Notars
Dr. Walter Pisk in Graz

I hereby certify by my seal and signature that this is the signature of **Universitätsprofessor** Diplomingenieur Doktor **Horst Bischof**, born on March, 26, 1967, Inffeldgasse 16/II, 8010 Graz, as vice chancellor of **Technische Universität Graz**, Rechbauerstraße 12, 8010 Graz. -----
Given at Graz, January 15, 2016 -----



Mag. Johannes Marko
als Substitut des öffentlichen Notars
Dr. Walter Pisk in Graz



Gebühr in Höhe von EUR 14,30 entrichtet

Dr. Wolfgang Lenhart, öff. Notar, Wien-Innere Stadt

BRZ 228/2016/am

Die Echtheit der Zeichnung-----

1. des Herrn Dr. Christian Eckermann, geboren am 31.07.1972 (einunddreißigsten Juli neunzehnhundertzweiundsiebzig), als Prokurist und -----
2. des Herrn Dr. Sebastian Gruson, geboren am 22.06.1971 (zweiundzwanzigsten Juni neunzehnhunderteinundsiebzig), als Prokurist -----

beide für die Boehringer Ingelheim RCV GmbH & Co KG in Dr.Boehringer-Gasse 5-11, 1121 Wien, wird bestätigt. -----

Gemäß § 89 a Notariatsordnung bestätige ich gleichzeitig nach heute vorgenommener Einsicht in das Firmenbuch des Handelsgerichtes Wien, dass Herr Dr. Christian Eckermann als Prokurist und Herr Dr. Sebastian Gruson als Prokurist am heutigen Tage berechtigt sind, die im Firmenbuch zu FN 312077 m eingetragene Boehringer Ingelheim RCV GmbH & Co KG gemeinsam rechtsverbindlich zu vertreten. -----

Wien, am 21.01.2016 (einundzwanzigsten Jänner zweitausendsechzehn). -----
I herewith certify that the signature of Dr. Christian Eckermann, born on 31.07.1972, holder of procuration and Dr. Sebastian Gruson, born on 22.06.1971, holder of procuration of Boehringer Ingelheim RCV GmbH & Co KG, with corporate seat in Vienna and the business address 1121 Vienna, Dr. Bohringer-Gasse 5-11, is authentic. -----

Following my inspection of the data bank of the Commercial Register I hereby also certify pursuant to sec. 89 a NO (section eightynine a of the Code for Notaries Public) that Dr. Christian Eckermann, born on 31.07.1972, in his capacity as holder of procuration and Dr. Sebastian Gruson, born on 22.06.1971, in his capacity as holder of procuration, are authorized and empowered today to duly represent and collectively sign for Boehringer Ingelheim RCV GmbH & Co KG, with corporate seat in Vienna, Austria, entered into the Commercial Register under file number 312077 m -----
Vienna, this 21.01.2016 (twentyfirst of January twothousandsixteen). -----




Dr. Alexander Kemetter, L.M.
als Substitut des öffentlichen Notars
Dr. Wolfgang Lenhart
in Wien-Innere Stadt

PATENT

REEL: 037726 FRAME: 0121

