

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
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EPAS ID: PAT3740293

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
ANDREW RICKMAN	03/18/2015
AARON JOHN ZILKIE	03/13/2015
RECEIVING PARTY DATA	
Name:	ROCKLEY PHOTONICS LIMITED
Street Address:	C/O COOLEY (UK) LLP
Internal Address:	10TH FLOOR, DASHWOOD, 69 OLD BROAD STREET
City:	LONDON
State/Country:	UNITED KINGDOM
Postal Code:	EC2 1QS
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15042803
CORRESPONDENCE DATA	
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<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
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ATTORNEY DOCKET NUMBER:	79514/R776 MWR
NAME OF SUBMITTER:	CHRISTINA L. MALINOSKY
SIGNATURE:	/Christina L. Malinosky/
DATE SIGNED:	02/16/2016
Total Attachments: 3	
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ASSIGNMENT

This Assignment is made by ANDREW RICKMAN of Marlborough, Wiltshire, Great Britain, and AARON JOHN ZILKIE of Pasadena, California, Assignors, to ROCKLEY PHOTONICS LIMITED, a Private Limited Company, Assignee, having a place of business at Rockley Manor, Rockley, Marlborough Wiltshire, Great Britain SN8 1RT;

WHEREAS, Assignors have invented a new and useful TUNABLE SOI LASER and Assignors believe themselves to be the original joint inventors of the invention(s) disclosed and claimed in U.S. Application Number 14/601,107 (the "Application"); and

WHEREAS, Assignee desires to acquire by formal, recordable assignment the entire right, title and interest in and to said invention(s), said Application, any Letters Patent, and all other related and associated intellectual property that may be granted therefor in the United States and throughout the world;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors have sold, assigned, transferred and set over, and by these presents hereby sell, assign, transfer and set over to Assignee all of Assignors' right, title and interest in, to and under said invention(s) said Application, and all other related and associated intellectual property (including, but not limited to copyrights, trademarks, service marks, trade dress, trade names, domain names, designs, know-how and trade secrets), including (a) the right to apply for patents or any other intellectual property protection in the United States of America and in all foreign countries for said invention(s), said Application and all other related intellectual property (b) all application for patents for said invention(s) or based on said Application in all countries, now filed or to be filed, including all non-provisional, divisional, renewal, substitute, continuation, continuation-in-part and convention applications based in whole or in part upon said invention(s) or upon said Application, (c) all patents which may issue on said invention(s) and on any application transferred by this Assignment in the United States and foreign countries, and any and all reissues, reexams, extensions, divisions, renewals, substitutes, continuations or continuations-in-part of patents granted for said invention(s) or upon such Application, for the full term or terms for which the patents may be issued, (d) every priority right that is or may be predicated upon or arise from said invention(s), said Application and such patents under any applicable international or bilateral treaty, agreement or convention; (e) all trademarks, service marks, copyrights, registrations and other rights arising from seeking and/or procuring protection of all such other intellectual property rights; and (f) all rights to sue and recover for any past or future infringements of any and all of the foregoing. Assignors hereby authorize Assignee to file the Application or any other application in all countries for any or all of said invention(s) or other intellectual property in Assignors' name, or in Assignee's name or otherwise as Assignee may deem advisable, under any international or bilateral treaty, agreement or convention, or otherwise.

Further, Assignors agree that, upon request and without further compensation, but at no expense to Assignors, they and their legal representative(s) and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing or enforcing a Letters Patent or other intellectual property rights in the United States and throughout the world for said invention, said Application and/or other intellectual property rights and for perfecting, recording or maintaining the title of Assignee, its successors and assigns, to said invention(s), said Application, any Letters Patent granted for said invention(s), or any other intellectual property rights in the United States and throughout the world. If Assignor fails, refuses, is unavailable, or, despite Assignee's reasonable efforts, cannot be located to execute such documents, Assignor hereby irrevocably designates and appoints Assignee and its duly authorized officers and agents as Assignor's agent and attorneys-in-fact to act for and on Assignor's behalf solely to execute such documents or papers with the same legal force and effect as if executed by Assignor.

118 2015

Attorney Docket No. 84327.0007

Assignors represent and warrant that they have not granted and will not grant to others any rights inconsistent with the rights granted herein.

IN WITNESS WHEREOF, Assignors have executed this Assignment on the dates written hereinbelow.

Dated: 18 MARCH 2015


ANDREW RICKMAN

Dated: _____

AARON JOHN ZILKIE

Doc. # DC-8462783 v.1

Assignors represent and warrant that they have not granted and will not grant to others any rights inconsistent with the rights granted herein.

IN WITNESS WHEREOF, Assignors have executed this Assignment on the dates written hereinbelow.

Dated: _____

ANDREW RICKMAN

Dated: 03/13/2015



AARON JOHN ZILKIE