

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT3740644

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	SECURITY INTEREST
CONVEYING PARTY DATA	
Name	Execution Date
CGI WINDOWS AND DOORS, INC.	02/16/2016
RECEIVING PARTY DATA	
Name:	DEUTSCHE BANK AG NEW YORK BRANCH
Street Address:	60 WALL STREET
City:	NEW YORK
State/Country:	NEW YORK
Postal Code:	10005
PROPERTY NUMBERS Total: 4	
Property Type	Number
Patent Number:	8302354
Patent Number:	8490331
Patent Number:	5911763
Patent Number:	7036275
CORRESPONDENCE DATA	
Fax Number:	(650)213-8158
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	6502130300
Email:	iprecordations@whitecase.com
Correspondent Name:	WHITE & CASE LLP / CHRISTINA ISHIHARA
Address Line 1:	3000 EL CAMINO REAL, BLDG 5, 9TH FLOOR
Address Line 4:	PALO ALTO, CALIFORNIA 94306
ATTORNEY DOCKET NUMBER:	1111779-2568
NAME OF SUBMITTER:	CHRISTINA ISHIHARA
SIGNATURE:	/Christina Ishihara/
DATE SIGNED:	02/16/2016
Total Attachments: 5	
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PATENT SECURITY AGREEMENT

PATENT SECURITY AGREEMENT dated as of February 16, 2016 (“Agreement”), between CGI WINDOWS AND DOORS, INC. (together with its successors and assigns, the “Grantor”), and DEUTSCHE BANK AG NEW YORK BRANCH, as administrative agent and collateral agent (together with its successors and assigns in such capacity, the “Administrative Agent”), for the benefit of the Secured Creditors (as defined in the Security Agreement referred to below):

PRELIMINARY STATEMENTS:

(1) This Agreement is made pursuant to the Credit Agreement, dated as of February 16, 2016 (as amended, restated or otherwise modified from time to time, the “Credit Agreement”), among PGT, INC., a Delaware corporation (together with its successors and assigns, the “Borrower”), the lending institutions named as lenders therein (together with their successors and assigns, the “Lenders”), and the Administrative Agent.

(2) In connection with the Credit Agreement, the Grantor is a party to a Security Agreement, dated as of February 16, 2016 (as amended, restated or otherwise modified, from time to time, the “Security Agreement”), among the Grantor, the other Grantors named therein and the Administrative Agent, pursuant to which the Grantor has granted to the Administrative Agent, for the benefit of the Secured Creditors, a continuing security interest in and lien on substantially all of its assets, whether now owned or existing or hereafter acquired or arising.

NOW, THEREFORE, in consideration of the foregoing, the mutual covenants contained herein and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Grantor hereby makes the following representations and warranties to the Administrative Agent and the other Secured Creditors and hereby covenants and agrees with the Administrative Agent and the other Secured Creditors as follows:

Section 1. Defined Terms. Terms used herein without definition shall have the respective meanings ascribed thereto in the Security Agreement.

Section 2. Grant of Security Interest.

(a) As security for the prompt payment and performance of the Obligations, the Grantor hereby pledges and grants to the Administrative Agent, for the benefit of the Secured Creditors, a continuing security interest in all right, title and interest of the Grantor in and to the following, whether now existing or hereafter acquired:

(1) all of the Patents issued by the United States Patent and Trademark Office (including, without limitation, those listed on Schedule A to this Agreement);

(2) all applications for Patents to be issued by the United States Patent and Trademark Office (including, without limitation, those listed on Schedule A to this Agreement);

(3) all registrations and recordings with respect to any of the foregoing;

(4) all reissues, continuations, continuations-in-part, extensions and divisions of any of the foregoing;

(5) all Proceeds and products of the Patents; and

(6) all causes of action arising prior to or after the date hereof for infringement of any of the Patents.

Section 3. Reference to Separate Security Agreement. This Agreement has been entered into by the Grantor and the Administrative Agent primarily for the purpose of recording the grant of security interest herein with the United States Patent and Trademark Office as contemplated by the Security Agreement. In the event of any inconsistency between any of the terms or provisions hereof and the terms and provisions of such Security Agreement, the terms and provisions of such Security Agreement shall govern.

Section 4. Applicable Law. This Agreement shall be governed by, and be construed and interpreted in accordance with, the laws of the State of New York without regard to conflicts of law principles.

Section 5. Counterparts. This Agreement may be executed in multiple counterparts, each of which shall be an original and all of which collectively shall be one and the same agreement.

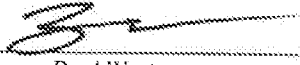
Section 6. Jury Trial Waiver. **THE GRANTOR AND THE ADMINISTRATIVE AGENT EACH WAIVE ANY RIGHT TO HAVE A JURY PARTICIPATE IN RESOLVING ANY DISPUTE, WHETHER SOUNDING IN CONTRACT, TORT OR OTHERWISE, BETWEEN THE ADMINISTRATIVE AGENT AND THE GRANTOR ARISING OUT OF, IN CONNECTION WITH, RELATED TO, OR INCIDENTAL TO THE RELATIONSHIP ESTABLISHED BETWEEN THEM IN CONNECTION WITH THIS AGREEMENT OR ANY NOTE OR OTHER INSTRUMENT, DOCUMENT OR AGREEMENT EXECUTED OR DELIVERED IN CONNECTION HERewith OR THE TRANSACTIONS RELATED THERETO.**

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IN WITNESS WHEREOF, the parties hereto have duly executed this Agreement as of the day and year first above written.

CGI WINDOWS AND DOORS, INC.
as the Grantor

By: _____


Name: Brad West

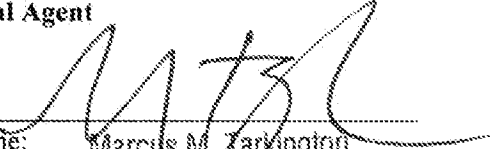
Title: Treasurer

[Signature page to PGT Patent Security Agreement]

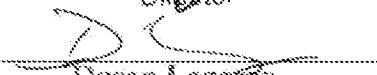
PATENT
REEL: 037742 FRAME: 0321

**DEUTSCHE BANK AG NEW YORK BRANCH,
as Collateral Agent**

By: _____


Name: Marcus M. Tarkington
Title: Director

By: _____


Name: Dusan Lazarov
Title: Director

[Signature page to PGT Patent Security Agreement]

Schedule I

United States Patents and Pending Patent Applications

Title	Record Owner	Patent or Application No.
WINDOW OR DOOR FRAME RECEPTOR BUCK AND RECEPTOR BUCK SYSTEM	CGI Windows and Doors, Inc.	8302354
ROLLER FOR A SLIDING PANEL ASSEMBLY, AND METHOD OF INSTALLING A SLIDING PANEL ASSEMBLY	CGI Windows and Doors, Inc.	8490331
THREE POINT LOCK MECHANISM	CGI Windows and Doors, Inc.	5911763
HUNG WINDOW CARRIER SYSTEM AND METHOD	CGI Windows and Doors, Inc.	7036275